

# TUTORIAL

# PHOTODETECTION

*Rémi BARBIER*

*University of Lyon*  
*IPNL / IN2P3 / CNRS*  
*[www.ipnl.in2p3.fr/ebcmos](http://www.ipnl.in2p3.fr/ebcmos)*

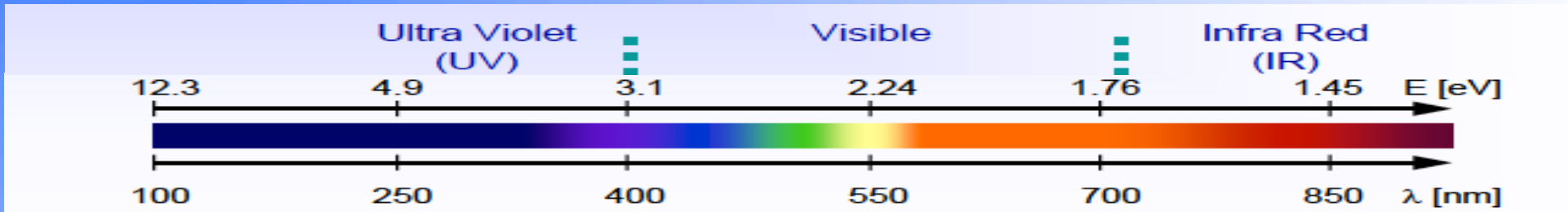
- Sources: slides from ...
  - Yuri MUSIENKO (Boston / INR RAS Moscow)
  - Katsushi ARISAKA (UCLA)
  - Philippe MANGEOT (CEA/DSM/DAPNIA)
  - Thierry GYS (CERN)
  - Alain BARDOUX (CNES)
  - P. DE MOOR (IMEC)
  - And many others ....
- On the Web
  - Hamamatsu, Photonis SA, Philips, SensL, Radiation Monitoring Devices, Photonique SA, Voxtel, Zecotek Photonics, Amplification technologies, STM, Id Quantique, Micro Photon Device, Intevac, Fairchild Imaging...
- What will not be discussed in this tutorial:
  - IR Imaging devices
  - Gaseous devices
  - X ray detectors
  - ....
  - Due to personal choice and time constraint

- Part I: The key parameters of the photon detection
  
- Part II: Photodetectors (basics & trends)
  - Vacuum devices:
    - Photomultiplier Tubes
    - MicroChannel Plate
    - Hybrid
  - Solid State devices:
    - PhotoDiode
    - Avalanche Photo Diode : APD
    - Geiger Mode APD : Arrays of SPAD: SiPM / MPPC ...
    - Imaging devices: CCD and sCMOS, EMCCD

# Part one : key parameters

- Photometric units
- Photoelectric effect
- The steps of the photon detection
- Quantum Efficiency and Photon Detection Efficiency
- Energy Resolution: Excess Noise Factor, Equivalent Noise Charge
- Spatial resolution & Pixels
- Temporal resolution

- We will consider only the detection of VIS photon spectrum



Some Photometric Units:

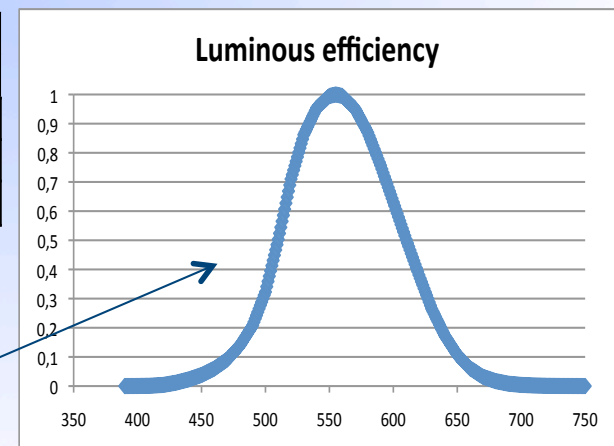
- Candela (cd): luminous intensity of a source  $1 \text{ cd} = 1.464 \cdot 10^{-3} = 1/683 \text{ W/sr @ } 555 \text{ nm}$  (sr=steradian)
- Lumen (lm): Luminous flux through a solid angle of 1 sr by a source of 1 cd ( $1 \text{ lm} = 1 \text{ cd} \times 1 \text{ sr}$ ) @555 nm  
Rq: Lumen is related to eye sensitivity. For other wavelengths you should use the luminous efficiency.
- Luminous efficiency  $V(\lambda)$ : relative eye sensitivity to a given wavelength with a maximum (100%) @ 555 nm
- Lux (lx): unit of illumination measurement per surface unit ( $1 \text{ lx} = 1 \text{ lm/m}^2$ ). This unit is standard in Night Vision

Night Level	#ph/mm <sup>2</sup> /s	#ph/pixel*/ms	μLux
overcast starlight	1,30E+06	1,30E-01	1,00E+02
starlight	1,30E+07	1,30E+00	1,00E+03
quarter moon	1,30E+08	1,30E+01	1,00E+04
full moon	1,30E+09	1,30E+02	1,00E+05
deep twilight	1,30E+10	1,30E+03	1,00E+06
twilight	1,30E+11	1,30E+04	1,00E+07
very dark day	1,30E+12	1,30E+05	1,00E+08
overcast day	1,30E+13	1,30E+06	1,00E+09
daylight	1,30E+14	1,30E+07	1,00E+10
direct sunlight	1,30E+15	1,30E+08	1,00E+11

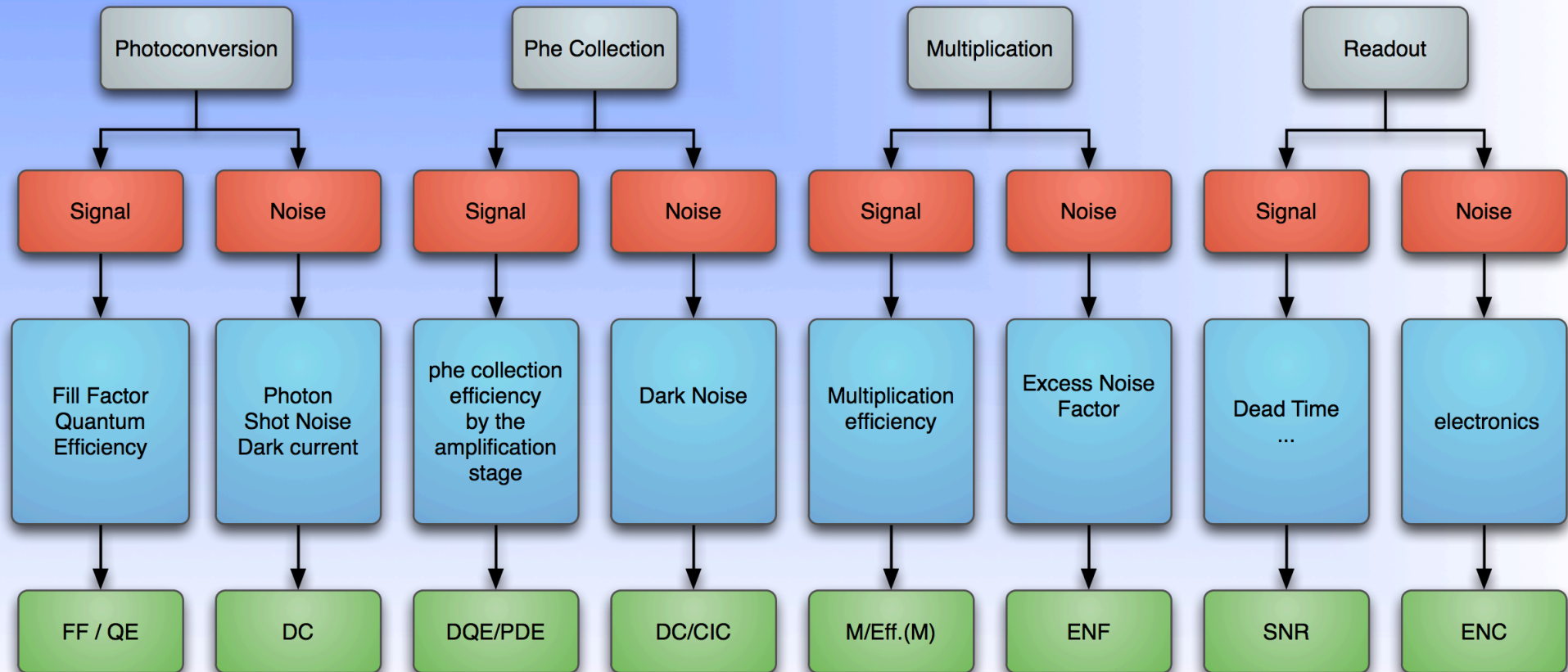
\* Pixel pitch 10 microns

ph	λ		luminance
#/s/μm <sup>2</sup>	nm	V(λ)	lx 10 <sup>-6</sup>
1,000	400	0,0004	0,1
1,000	555	1,0000	243,9
1,000	650	0,1070	22,3

- Photopic: Day Vision
- Scotopic: Night Vision



- The 4 steps of the photo-detection process:
  1. The primary charge carrier (pe, e/h) **is produced**
  2. The primary charge carrier **is collected**
  3. The primary charge carrier **is multiplied/amplified or not** (CMOS/CCD/PD)
  4. The secondary (or primary) charges **are collected and read out**
- The measurement process is modified by noise sources and by signal collection inefficiency at each step:

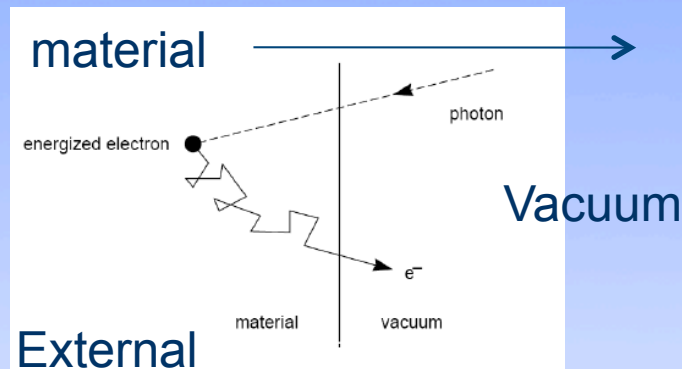


- The photoelectric effect is responsible for the photon detection.

We can distinguish two types:

1. **External:** the phe is emitted into the vacuum from a photocathode material.
2. **Internal:** the phe is excited and occupies the conduction band of the semiconductor material, the photoconductive effect.

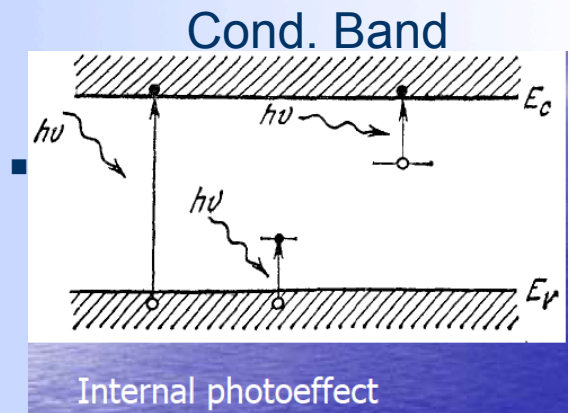
Two types of photon detection



pe escapes the material

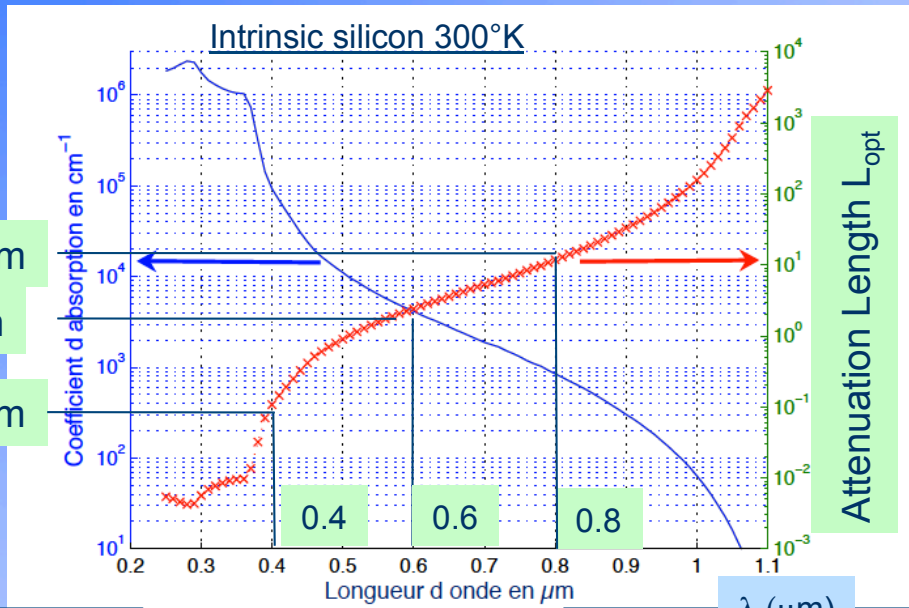
Notation in what follows:

- ph = photon
- pe = photoelectron
- e/h = electron/hole



pe in the Cond. band

Light absorption: depends on  $\lambda$



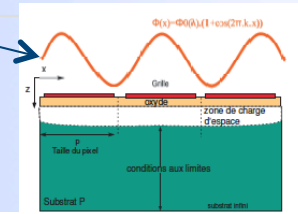
20  $\mu\text{m}$   
2  $\mu\text{m}$   
100 nm

$$\alpha(\lambda) = \frac{1}{L_{opt}(\lambda)}$$

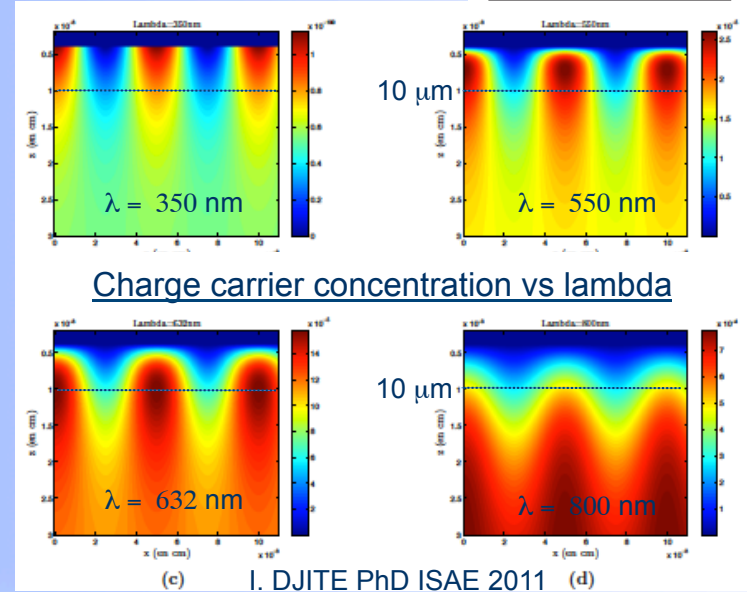
I. DJITE PhD ISAE 2011

$$L_{opt}(\lambda) = \frac{\lambda}{4 \times \pi \times \kappa}$$

input light



$$\phi(z) = \phi_0 \exp(-\alpha(\lambda) \cdot z)$$



Charge carrier concentration vs lambda

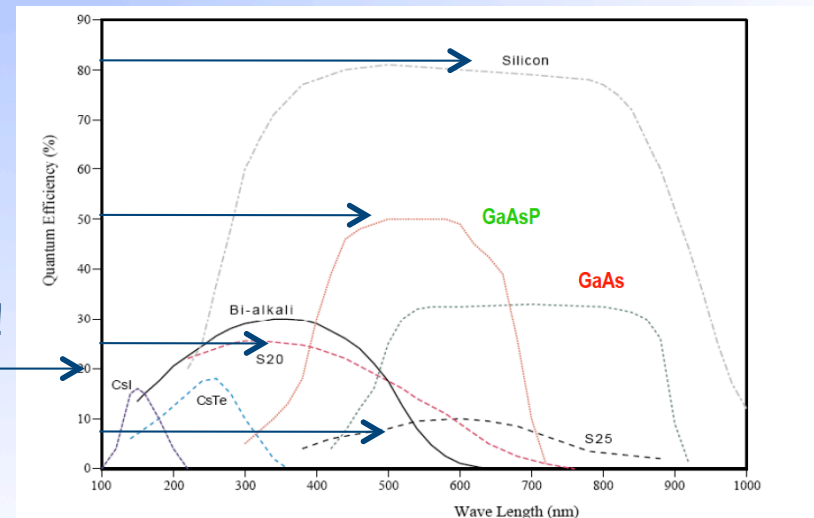
I. DJITE PhD ISAE 2011

Quantum efficiency:

$$QE(\%) = \frac{N_{pe}}{N_{\gamma}} \times 100$$

Silicon is at least two times better than Photocathode !!

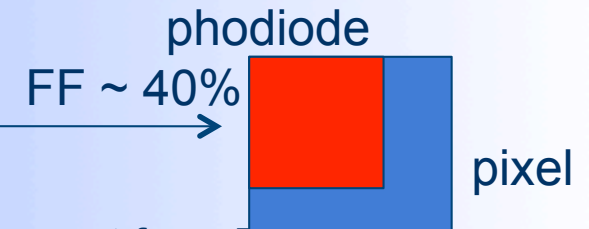
... But ... it is only the beginning of the story ...





- Be careful ! each photodetector type has his proper definition of QE and PDE...

Example: QE for CCD is  $QE_{FF}$  ...



- Definitions:

- The radiant sensitivity (S) [A/W]: is the ratio between the output current from PC and the input radiant power at a given wavelength. S is related to QE by:
 
$$QE(\%) \sim 124 \times \frac{S(mA/W)}{\lambda(nm)}$$
- The Fill Factor (FF) is the ratio between the sensitive surface and the detector surface also called geometrical efficiency ( $\epsilon_{geom}$ ).
- Collection Efficiency (CE) is the probability to transfer the primary pe or e/h to the amplification stage or readout channel.
- Multiplication Efficiency (ME) is the prob. that the amplification process give a detectable signal or trigger a multiplication ( $\epsilon_{Geiger}$ ).
- Photon Detection Efficiency (PDE) is the probability that a single photon trigger a detectable output pulse also called the Detective Quantum Efficiency (DQE).

$$DQE = PDE = (FF) \cdot (QE) \cdot (TE) \cdot (ME)$$

$$PDE = QE \cdot \epsilon_{geom} \cdot \epsilon_{geiger} \quad (\text{SiPM})$$

- Energy = Number of collected secondary carriers

$$E = M \times PDE \times N_\gamma$$

- Energy Resolution with Readout Noise

$$\frac{1}{SNR} = \frac{\sigma}{E} = \sqrt{\frac{ENF}{PDE \times N_\gamma} + \left( \frac{ENC}{M \times PDE \times N_\gamma} \right)^2}$$

Multiplication Noise      Readout Noise

- M is the Mean Multiplication coefficient  
M is a stochastic variable with variance  $\sigma_M^2$
- ENF is the excess noise factor. **ENF is the noise due to the multiplication process**
- ENC : Equivalent Noise Charge (readout noise from the electronics)
- PDE is the Photon Detection Efficiency

- Excess Noise Factor for single pe:  $ENF_{1pe}$  also noted F or sometimes  $F^2$

$$ENF_{1pe} = 1 + \frac{\sigma_M^2}{M^2}$$

Many different definitions in the literature

- Excess Noise Factor for  $N_{pe}$  input carriers:  $ENF_{Npe}$

Experimentalist definition

$$ENF_{Npe} = \frac{\sigma_{n_{out}}^2}{\sigma_{n_{in}}^2}$$

$$n_{out} = \sum_{i=1}^{n_{in}} m_i \quad \langle n_{out} \rangle = \langle m \rangle \langle n_{in} \rangle$$

$$\sigma_{n_{out}}^2 = \langle m \rangle^2 \sigma_{n_{in}}^2 + \langle n_{in} \rangle \sigma_m^2$$

Burgess's theorem

$$ENF_{Npe} = M^2 \left[ 1 + \frac{\langle n_{in} \rangle}{\sigma_{n_{in}}^2} (ENF_{1pe} - 1) \right]$$

$$ENF_{Npe} = M^2 ENF_{1pe} \quad \text{If } n_{in} \text{ Poisson}$$

Summary:

K. Arisaka, NIM A 442 (2000) 80

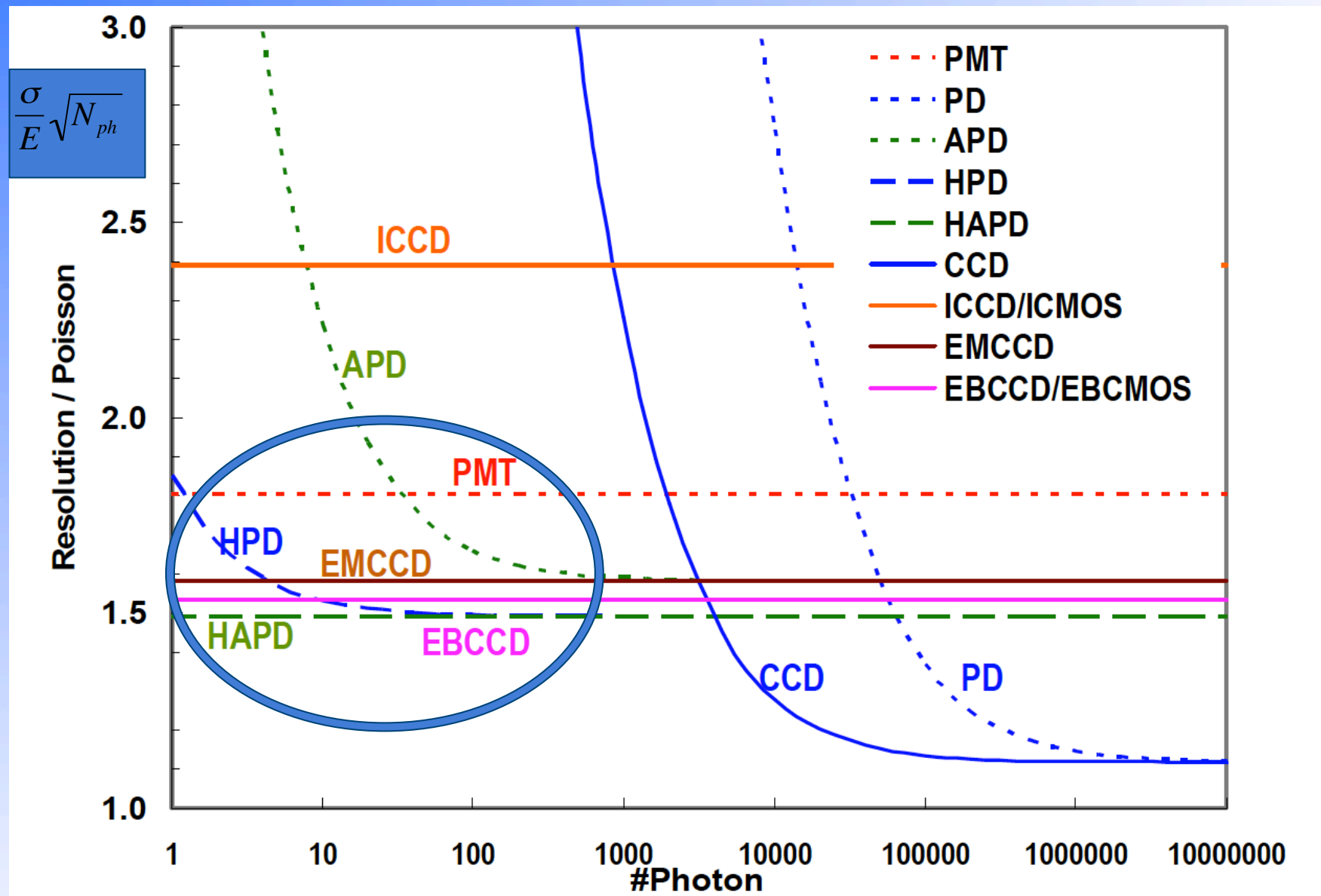
$$\frac{1}{SNR} = \frac{\sigma}{E} = \sqrt{\frac{1}{N_\gamma}}$$

Ideal case: shot noise

$$\frac{1}{SNR} = \frac{\sigma}{E} = \sqrt{\frac{ENF}{PDE \times N_\gamma} + \left(\frac{ENC}{M \times PDE \times N_\gamma}\right)^2}$$

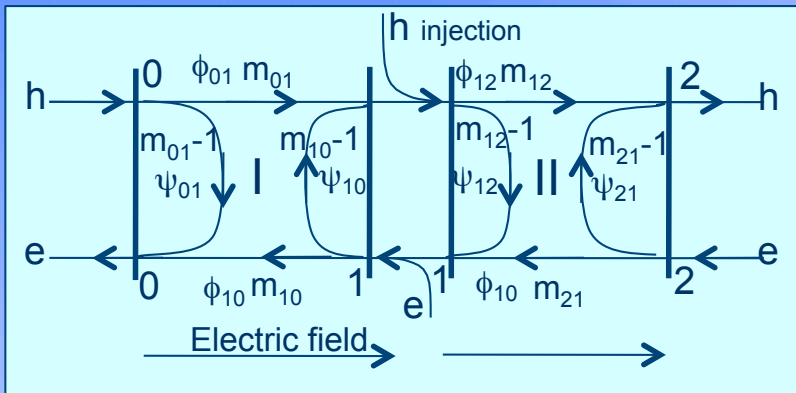
Slide from K. Arisaka Lecture UCLA

	QE	CE	$\delta_i$	ENF	G	ENC	$\sigma/E$
<b>Ideal</b>	1.0	1.0	1000	1.0	$10^6$	0	$\sqrt{1/N}$
PMT	0.5	0.8	10	1.3	$10^6$	200	$\sqrt{3.6/N}$
PD	0.8	1.0	-	1.0	1	200	$\sqrt{1.3/N+(300/N)^2}$
APD	0.8	1.0	2	2.0	50	200	$\sqrt{2.5/N+(5/N)^2}$
HPD	0.5	0.9	1000	1.0	$10^3$	200	$\sqrt{2.2/N+(1.1/N)^2}$
HAPD	0.5	0.9	1000	1.0	$10^5$	200	$\sqrt{2.2/N}$
CCD	0.8	1.0	-	1.0	1	50	$\sqrt{1.3/N+(60/N)^2}$
ICCD / ICMOS	0.8	0.7	-	2.0	$10^4$	50	$\sqrt{5.7/N}$
EMCCD	0.8	1.0	2	2.0	$10^3$	50	$\sqrt{2.5/N}$
EBCCD / EBCMOS	0.5	0.85	1000	1.0	$10^3$	50	$\sqrt{2.35/N}$



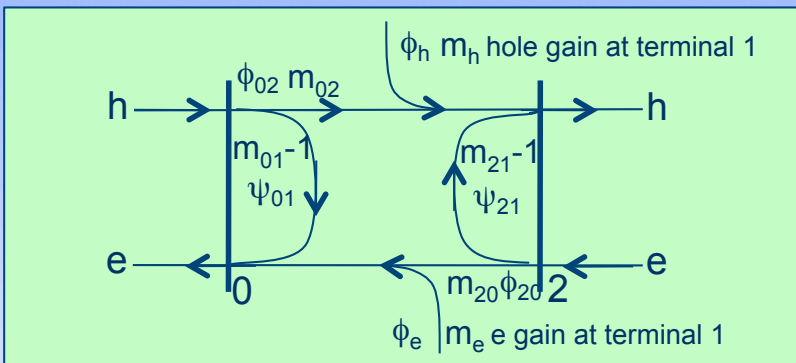
**Two stages gain: definitions and notations.**

- $m_{ij}$  multiplication gain from  $i$  to  $j$  is described by a probability distribution function (pdf)  $P_{ij}(m_{ij})$  with  $ij=01,10,12,21$
- $m_{ij-1}$  secondary carrier multiplication gain from  $i$  to  $j$  related to primary carrier gain  $m_{ij}$
- $\Phi_{ij}$  the generating function of the pdf of  $m_{ij}$
- $\Psi_{ij}$  the generating function of the pdf of  $m_{ij-1}$



Aim: compute the gain and the ENF of a two stage multiplication process and then to generalize to N identical stages using generating function probability theory:

- PMT
- APD
- EMCCD ...



**Probability theory: generating function, moments of order l**

$$\phi_{ij}(z) \equiv \sum_{m=0}^{\infty} P_{ij}(m) z^m \quad P_{ij}(n) = \frac{1}{n!} \left. \frac{d^n}{dz^n} \phi_{ij}(z) \right|_{z=0}$$

$$\psi_{ij}(z) = z^{-1} \phi_{ij}(z) \quad \langle m_{ij}^l \rangle = \sum_{m=1}^{\infty} m^l P_{ij}(m) = \left[ z \frac{d}{dz} \right]^l \phi_{ij}(z) \Big|_{z=1}$$

$$\begin{cases} \phi_{ij}(1) = 1 \\ \phi'_{ij}(1) = \langle m_{ij} \rangle = M_{ij} \\ \phi''_{ij}(1) = \langle m_{ij}^2 \rangle - M_{ij} \end{cases}$$

**2 stages generating functions:**

$$\phi_{02}(z) \equiv \phi_{01}[\phi_h(z)] \quad \leftarrow m_{02} = \sum_{k=1}^{m_{01}} m_h(k)$$

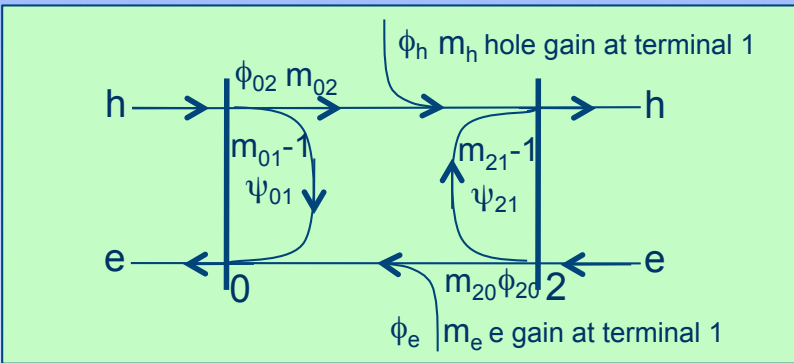
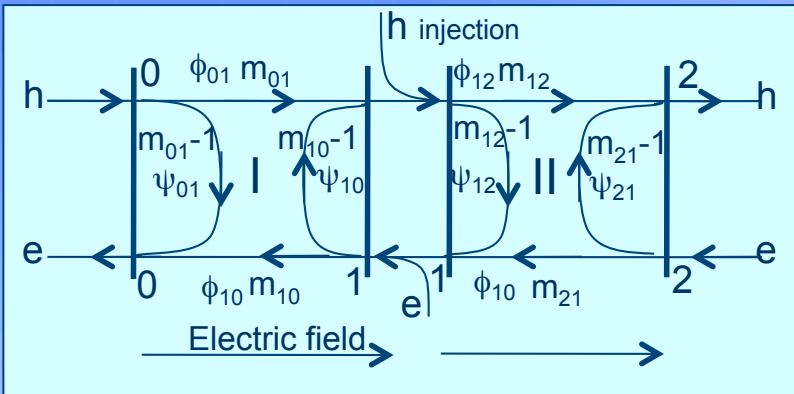
$$\phi_{20}(z) \equiv \phi_{21}[\phi_e(z)] \quad \phi_h(z) = z \psi_{12} [z \psi_{10} [\phi_h(z)]]$$

$$\phi_h(z) = z \psi_{12} [\phi_e(z)] \quad \phi_e(z) = z \psi_{10} [z \psi_{12} [\phi_e(z)]]$$

$$\phi_e(z) = z \psi_{10} [\phi_h(z)]$$

**Two stages gain: definitions and notations.**

- $m_{ij}$  multiplication gain from i to j is described by a probability distribution function (pdf)  $P_{ij}(m_{ij})$  with  $ij=01,10,12,21$
- $m_{ij-1}$  secondary carrier multiplication gain from i to j related to primary carrier gain  $m_{ij}$
- $\Phi_{ij}$  the generating function of the pdf of  $m_{ij}$
- $\Psi_{ij}$  the generating function of the pdf of  $m_{ij-1}$



**2 stages generating functions:**

$$\begin{aligned} \phi_{02}(z) &\equiv \phi_{01}[\phi_h(z)] \leftarrow m_{02} = \sum_{k=1}^{m_{01}} m_h(k) \\ \phi_{20}(z) &\equiv \phi_{21}[\phi_e(z)] \quad \phi_h(z) = z\psi_{12}[z\psi_{10}[\phi_h(z)]] \\ \phi_h(z) &= z\psi_{12}[\phi_e(z)] \quad \phi_e(z) = z\psi_{10}[z\psi_{12}[\phi_e(z)]] \\ \phi_e(z) &= z\psi_{10}[\phi_h(z)] \end{aligned}$$

**Probability theory: generating function, moments of order l**

$$\begin{aligned} \phi_{ij}(z) &\equiv \sum_{m=0}^{\infty} P_{ij}(m)z^m & P_{ij}(n) &= \frac{1}{n!} \frac{d^n}{dz^n} \phi_{ij}(z) \Big|_{z=0} \\ \psi_{ij}(z) &= z^{-1}\phi_{ij}(z) & \langle m_{ij}^l \rangle &= \sum_{m=1}^{\infty} m^l P_{ij}(m) = \left[ z \frac{d}{dz} \right]^l \phi_{ij}(z) \Big|_{z=1} \end{aligned} \quad \begin{cases} \phi_{ij}(1) = 1 \\ \phi'_{ij}(1) = \langle m_{ij} \rangle = M_{ij} \\ \phi''_{ij}(1) = \langle m_{ij}^2 \rangle - M_{ij} \end{cases}$$

**Excess Noise factor F and noise increment f definitions:**

$$F(M) = \frac{\langle m^2 \rangle}{\langle m \rangle^2} \quad f(M) = F(M) - 1 = \frac{\langle m^2 \rangle - \langle m \rangle^2}{\langle m \rangle^2} \quad f(M) = \frac{\sigma_M^2}{M^2}$$

**After some algebra**

$$F(M_{02}) = \frac{\langle m_{02}^2 \rangle}{\langle m_{02} \rangle^2} \quad \begin{cases} \phi'_{02}(1) & M_{02} = M_{01}M_h \quad f_{02} = f_{01} + \frac{f_h}{M_{01}} \\ \phi''_{02}(1) & M_h = \frac{M_{12}}{1 - (M_{12} - 1)(M_{10} - 1)} \\ \phi'_h(1) & \\ \phi''_h(1) & f_h = f_{01}M_{10}(M_h - 1) + f_{12}M_{10}(1 - M_h + M_hM_{10}) \end{cases}$$

Feedback leads to breakdown if  $(M_{12} - 1)(M_{10} - 1) = 1$   
 $M_h \rightarrow \infty$

**Solutions for 2 multiplication stages (e/h):**

$$\begin{aligned} M_{02} &= \frac{M_{01}M_{12}}{1 - (M_{12} - 1)(M_{10} - 1)} \\ f(M_{02}) = f_{02} &= f_{01} + \frac{M_{10}^2 M_{02}}{M_{01}^2 M_{12}} [f_{10}(M_{12} - 1) + f_{12}] \end{aligned}$$

**Single carrier Ionization:**

$$\begin{aligned} M_{02} &= M_{01}M_{12} \\ f_{02} &= f_{01} + \frac{f_{12}}{M_{01}} \end{aligned}$$

$M_N$  and  $f_N$ : Gain and noise increment of a of the N identical stage device

N stages : PMT / APD / EMCCD

$\Phi_N(z)$  Generating function of the N stage device

$\phi_N(z)$  Generating function of the stage N

$$\Phi_{N+1}(z) = \Phi_N[\phi_{N+1}(z)]$$

$$\Phi_N(z) = \phi_1[\phi_2[\dots\phi_{N-1}[\phi_N(z)]\dots]]$$

Recursion relations for  $M_N$  and  $f_N$ :

$$M_{N+1} = \frac{M_{01}M_N}{1 - (M_N - 1)(M_{10} - 1)}$$

$$f_{N+1} = f_{01} + \frac{M_{10}^2 M_{N+1}}{M_{01}^2 M_N} [f_{10}(M_N - 1) + f_N]$$

$$M_N = \frac{(M_{01} - M_{10})M_{01}^N}{(M_{01} - 1)M_{10}^N - (M_{10} - 1)M_{01}^N}$$

$$f_N = A(M_N - 1) + B\left(1 - \frac{1}{M_N}\right)$$

$$A = \frac{f_{01}M_{01}(M_{10} - 1) + f_{10}M_{10}(M_{01} - 1)}{(M_{01} - 1)^2(M_{10} + M_{01})}$$

$$B = \frac{M_{01}[f_{01}(M_{01}^2 - M_{10}) + f_{10}M_{10}(M_{01} - 1)]}{(M_{01} - 1)^2(M_{10} + M_{01})}$$

$M_N$  and  $f_N$ : PMT

$$M_{01} = \delta \quad M_{10} = 1$$

$$f_{01} = \frac{\sigma_{01}^2}{M_{01}} \quad f_{10} = 0$$

$$M_N = M_{01}^N$$

$$f_N = f_{01} \left(1 - \frac{1}{M_{01}}\right)^{-1} \left(1 - \frac{1}{M_N}\right)$$

$$\sigma_{01}^2 = \delta \quad (\text{Poisson})$$

$$f_{01} = \frac{1}{M_{01}} = \frac{1}{\delta}$$

$$M_N = \delta^N$$

$$f_N = \frac{1}{\delta - 1} \left(1 - \frac{1}{\delta^N}\right)$$

$M_N$  and  $f_N$ : APD

$$M_{01} = 1 + \mu \quad M_{10} = 1 + \nu$$

$$f_{01} = \mu \quad f_{10} = \nu$$

$$k \equiv \frac{\alpha}{\beta} < 1$$

$$\mu = \beta \Delta x$$

$$\nu = \alpha \Delta x$$

$$k = \frac{\nu}{\mu}$$

$$M_N = \frac{(1 - k)}{\exp[(k - 1)\mu N] - k}$$

$$M = M_{N \rightarrow \infty}$$

$$M = \frac{1 - k}{\exp[(k - 1) \int \beta(x) dx] - k}$$

$$f = k(M - 1) + (1 - k) \left(1 - \frac{1}{M}\right)$$

M and f: EMCCD

$$M_{01} = 1 \quad M_{10} = 1 + \nu$$

$$f_{01} = 0 \quad f_{10} = \frac{\nu(1 - \nu)}{(1 + \nu)^2}$$

$$\phi_{10} = (1 - \nu)z + \nu z^2$$

Bernoulli trial

$$M_N = (1 + \nu)^N$$

$$f_N = \frac{1 - \mu}{1 + \mu} \left[1 - \frac{1}{M_N}\right]$$

Do it as an exercise !

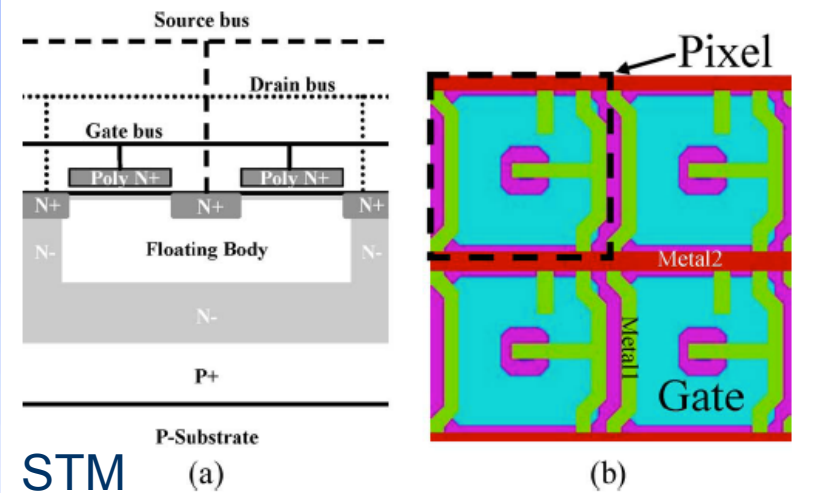
From large area detector PMT for Cherenkov detector to pixel array for highly resolved imaging

- Cherenkov detector : Large aperture devices
- PET scan ... MaPMT or pixelAPD: Typical pixel size  $\sim 2 \times 2 \text{mm}^2$
- Imaging camera system : MTF (lp/mm)
  - Typical pixel size (Pitch)  $\sim 5\text{-}15 \mu\text{m}$
  - Cellular phone  $2 \mu\text{m}$
  - DTI, doping profile, SOI

G-N Lu, A. Tournier, F. Roy, B. Deschamps  
*Sensors* 2009, 9, 131-147; doi:10.3390/s90100131

1.4- $\mu\text{m}$ -Pitch 50% Fill-Factor 1T Charge-Modulation Pixel for CMOS Image Sensors

Arnaud Tournier, F. Roy, G.-N. Lu, and B. Deschamps



Vacuum Devices

Stitching

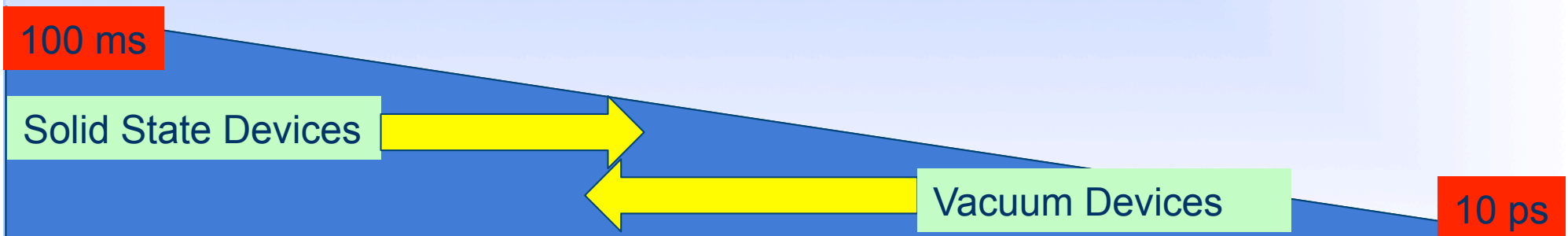
Solid State Devices

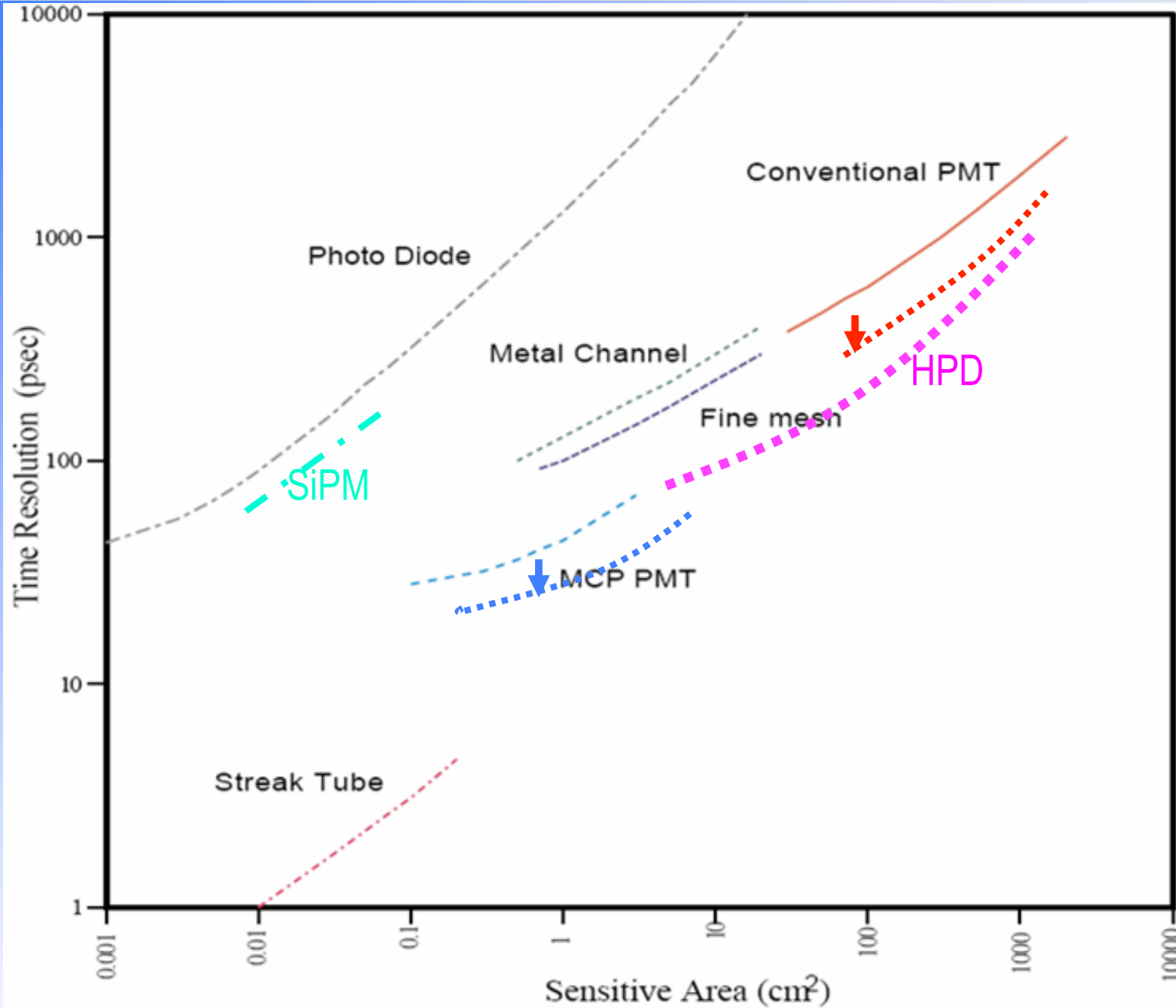
1.4  $\mu\text{m}$



Time resolution:

- Detection process – drift of the charge – jitter ...
- Front-end electronics: fast shaper
  - fast and slow shapers can be used (time stamping and energy measurement) ROC ASICs
- CMOS imager CCD are extremely slow ( $\sim$ s-ms) compare to PMT or APD, GAPD and MCP ( $\sim$ ns-ps).
- MCP based devices should have the best timing resolution (10 ps)





K. Arisaka

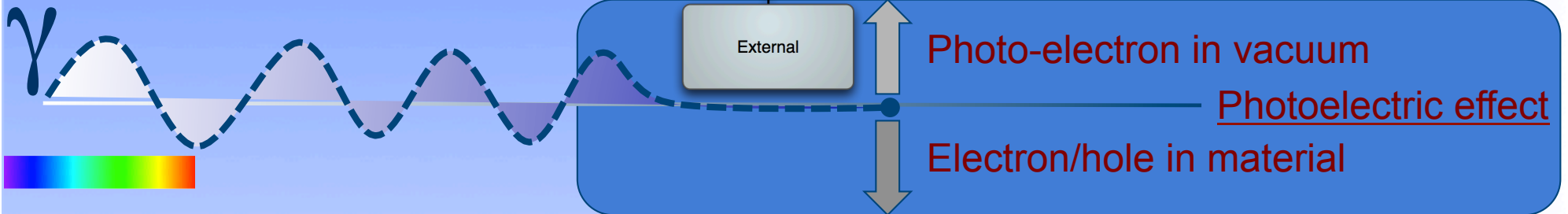
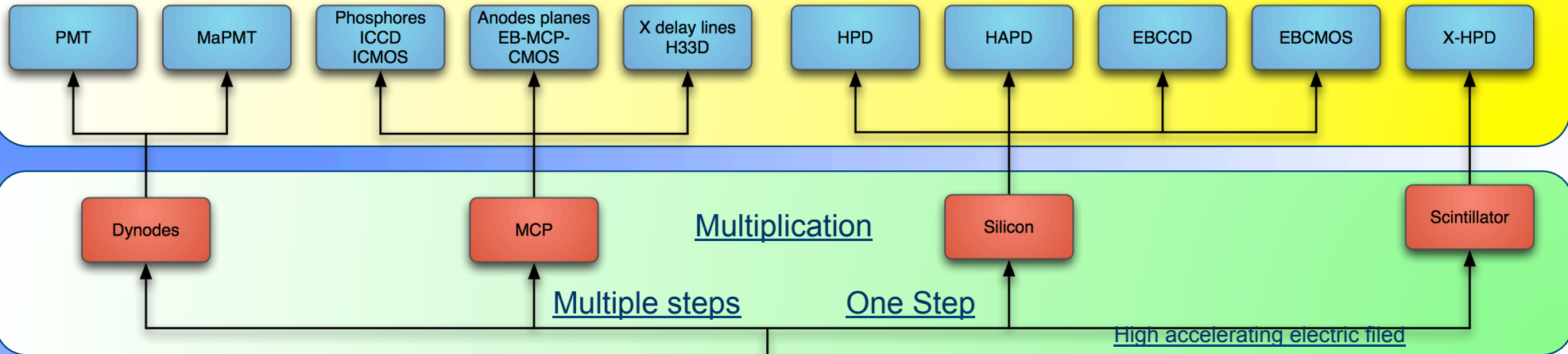
# Part two : Photodetectors

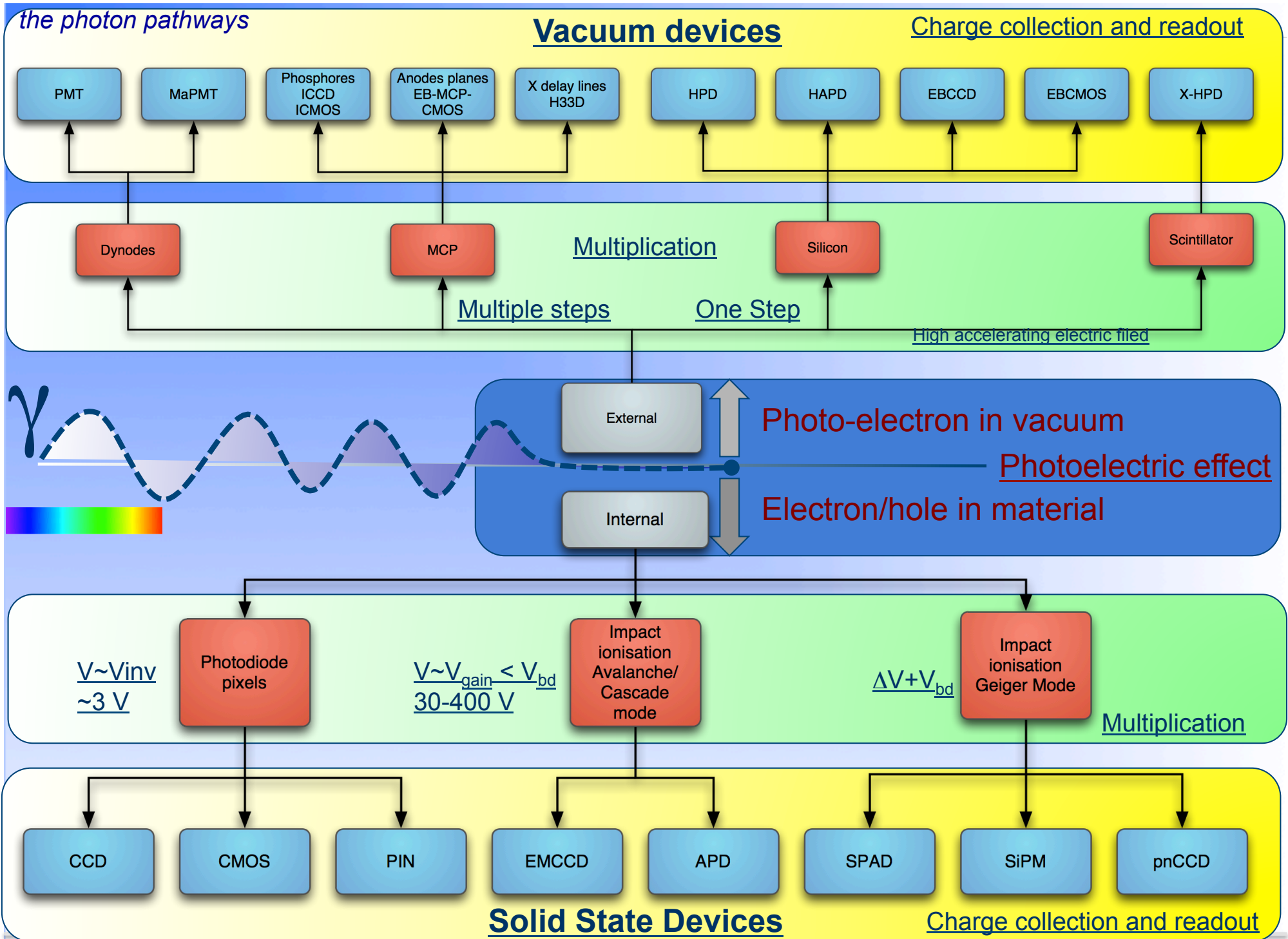
- ✓ Vacuum devices
- ✓ Solid State devices

the photon pathways

## Vacuum devices

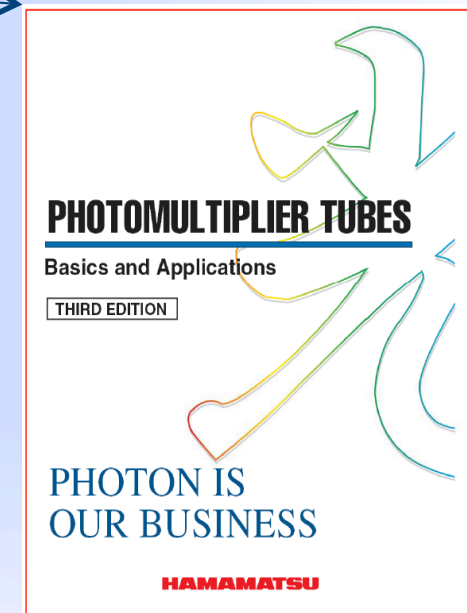
Charge collection and readout





# Vacuum devices

- Photomultiplier Tubes: PMT
- MultiChannel Plates: MCP-PMT
- Hybrid Photon Detectors: HPD



Can be downloaded  
on hamamatsu web page

# Photomultiplier Tubes

Single channel : 20 inch diam.

1740 cm<sup>2</sup>/channel

Multianodes

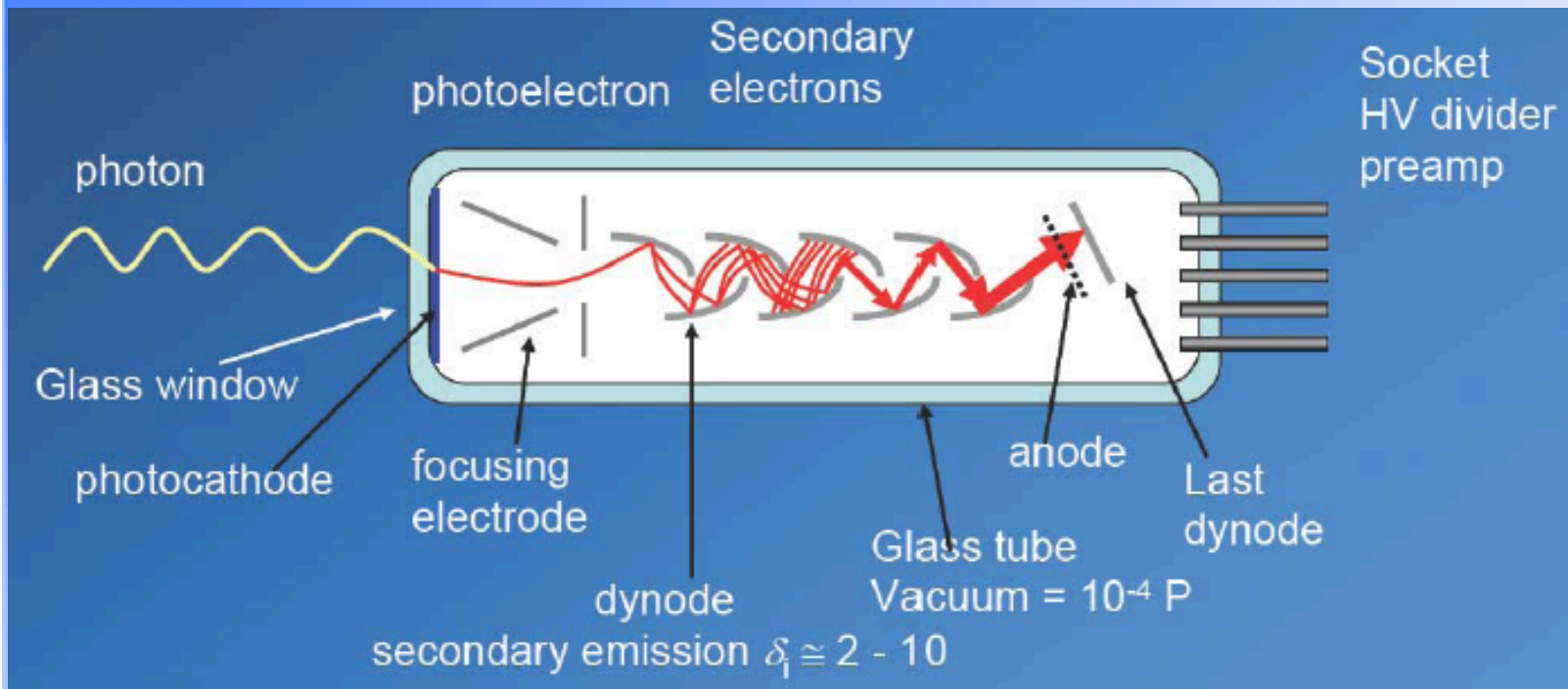
8x8 – 16x16

~2x2 mm<sup>2</sup>/channel



Different applications: medical... science

...

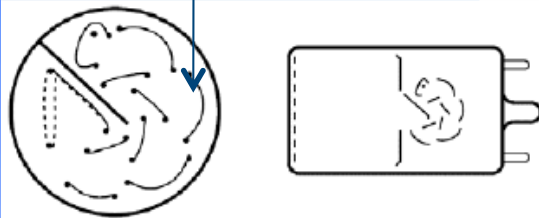


Philippe Mangeot CEA/DSM/DAPNIA

1. The photon (ph) produce a photoelectron (pe) (Quantum Eff.)
2. The pe is emitted into the vacuum (Quantum Eff.)
3. The pe is collected by the first dynode (Coll. Eff)
4. The pe is “amplified” by dynodes multiplication stages (M and ENF)
5. The secondary charges are collected by the anode
6. The anode signal is readout (Equ. Noise Charge ENC)

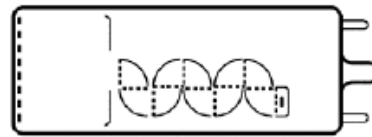


Circular-cage:  
very compact



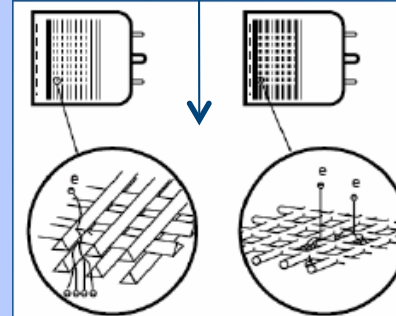
(1) CIRCULAR-CAGE TYPE

Box-and-Grid:  
Good CE  
Slow

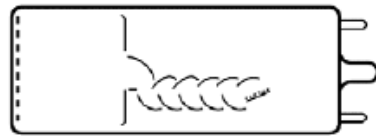


(2) BOX-AND-GRID TYPE

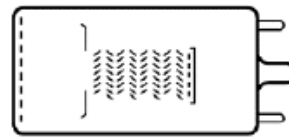
Fin-mesh:  
Fast, compact,  
Operate @ 1Tesla  
Low CE



(5) FINE MESH TYPE



(3) LINEAR-FOCUSED TYPE

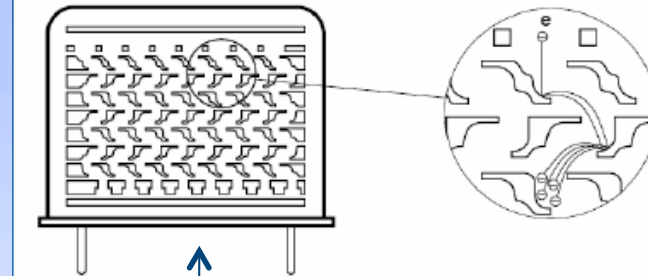


(4) VENETIAN BLIND TYPE

Linear-focused:  
Small TTS, fast,  
Sensitive to magnetic  
field

Venetian-blind:  
Good CE, Gain stability,  
Slow

**HAMAMATSU**



(7) METAL CHANNEL DYNODE

Metal Channel: compact high CE,  
operate in magnetic field 10 mT

PMT's are sensitive to magnetic field even  
to earth field → Magnetic Shielding required

- The Gain M is due to secondary emission.
- $\delta_i$  is the secondary emission coefficient of dynode i,

$$M = \delta_1 \delta_2 \delta_3 \dots \delta_n = \prod_{i=1}^n \delta_i$$

$$ENF_{1pe} = 1 + \frac{1}{\delta_1} + \frac{1}{\delta_1 \delta_2} + \frac{1}{\delta_1 \delta_2 \delta_3} + \dots + \frac{1}{\delta_1 \delta_2 \delta_3 \dots \delta_n}$$

$$\delta_i = a \times V_\delta^k$$

$a = cste$

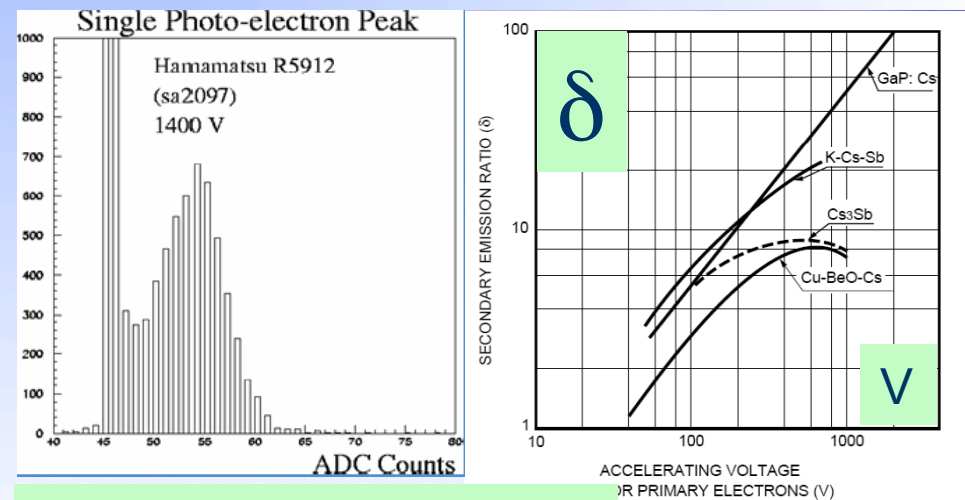
$k = 0.7 - 0.8$

$$M = (a \times V_\delta^k)^n = a^n \times \left(\frac{V}{n+1}\right)^{k.n} = A \times V^{k.n}$$

$$ENF \approx \frac{\delta}{\delta - 1}$$

The first dynode dominates the ENF  
 Increase  $\delta_1$  reduce ENF and increase  
 single photon sensitivity and  
 Peak to Valley Ratio

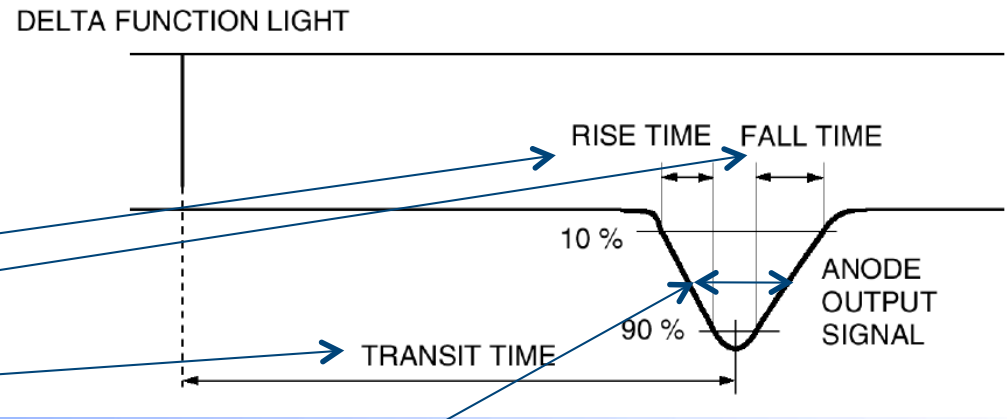
$$\left(\frac{\sigma}{E}\right)_{1pe} = \sqrt{ENF_{pe} - 1}$$



M  $10^6$  to  $10^8$  / ENF  $\sim 1.3$

Definitions:

- Rise Time (10% to 90%)
- Fall Time
- Electron Transit Time
- Transit Time Spread : FWHM of the distrib. of the TT (TTS) or Transit Time jitter



~0.3-1 ns

Dynode Type	Rise Time	Fall Time	Pulse Width (FWHM)	Electron Transit Time	TTS
Linear-focused	0.7 to 3	1 to 10	1.3 to 5	16 to 50	0.37 to 1.1
Circular-cage	3.4	10	7	31	3.6
Box-and-grid	to 7	25	13 to 20	57 to 70	Less than 10
Venetian blind	to 7	25	25	60	Less than 10
Fine mesh	2.5 to 2.7	4 to 6	5	15	Less than 0.45
Metal channel	0.65 to 1.5	1 to 3	1.5 to 3	4.7 to 8.8	0.4

Table 4-3: Typical time characteristics (2-inch dia. photomultiplier tubes)

- Different photocathode sensitivities
  - GEN II alkali metals (Sb K Rb Cs)
  - GEN III III-V compound semiconductors (GaAsP GaAs InGaAs)

QE 20 to 30% @ 400 nm  
CE 70 to 90 %

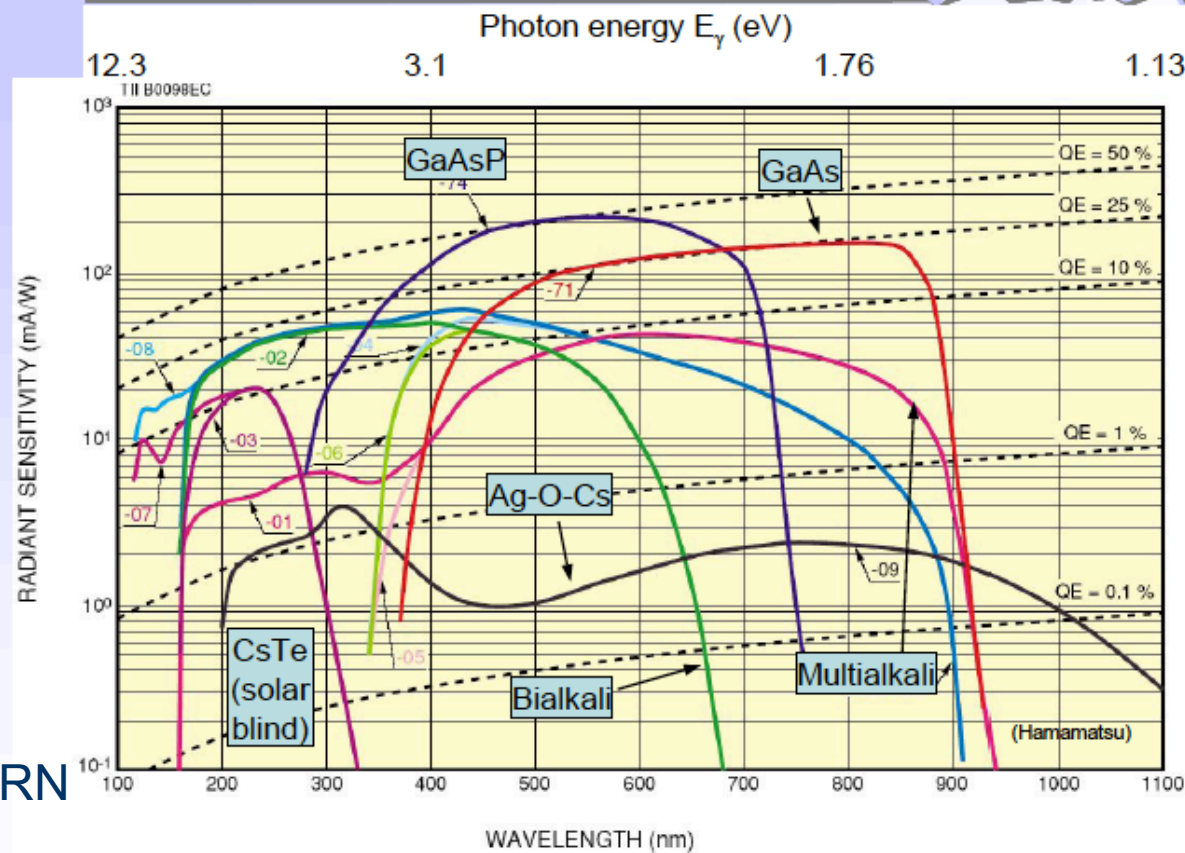
Q : Why QE is limited?  
(~40%)

A: Competing two factors:  
✓ Absorption of ph  
✓ Emission of pe



### QE's of typical photo-cathodes

3b Photo-detection



T Gys CERN

Bialkali: SbKCs, SbRbCs Multialkali: SbNa<sub>2</sub>KCs (alkali metals have low work function)

Definitions:

$$QE = (1 - R) \times \frac{P_v}{\mu} \times \frac{P_e}{1 + \frac{1}{\mu L}}$$

material  
 R: reflection coefficient  
 $P_v$ : pe Prob. to be above  $E_0$   
 $\mu$ : full absorption coefficient

PC band Model

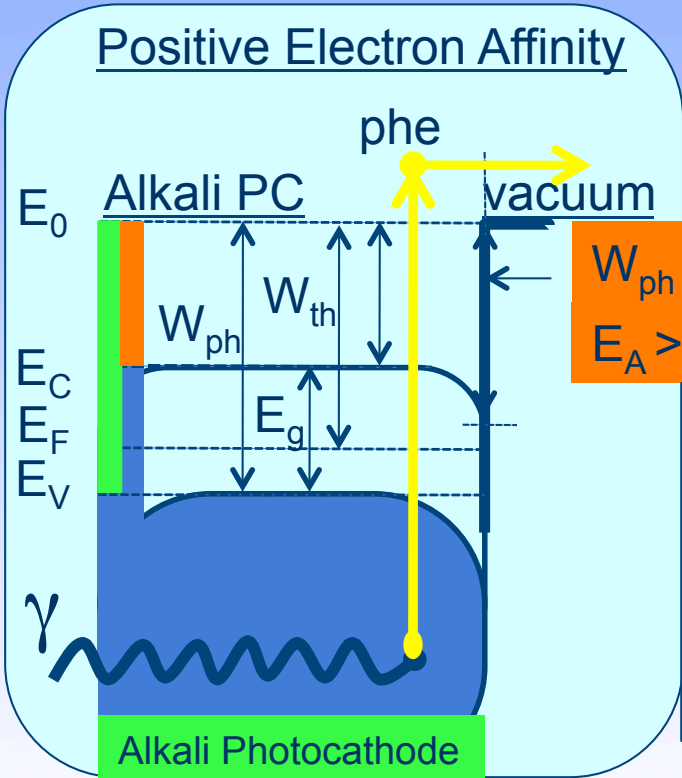
- $E_F$  Fermi level
- $E_V$  valence band
- $E_C$  conduction band
- $E_0$  vacuum level
- $E_C$  conduction band

Cristal quality      L: pe mean escape length  
Electron Affinity       $P_e$ : Prob. to escape

- $W_{th}$  thermionic Work Function:
- $E_A$  electron affinity:

$$W_{th} = E_0 - E_F$$

$$E_A = E_0 - E_C$$



$W_{ph}$  photoemission threshold  
 $W_{ph} = E_0 - E_V = E_g + E_A$

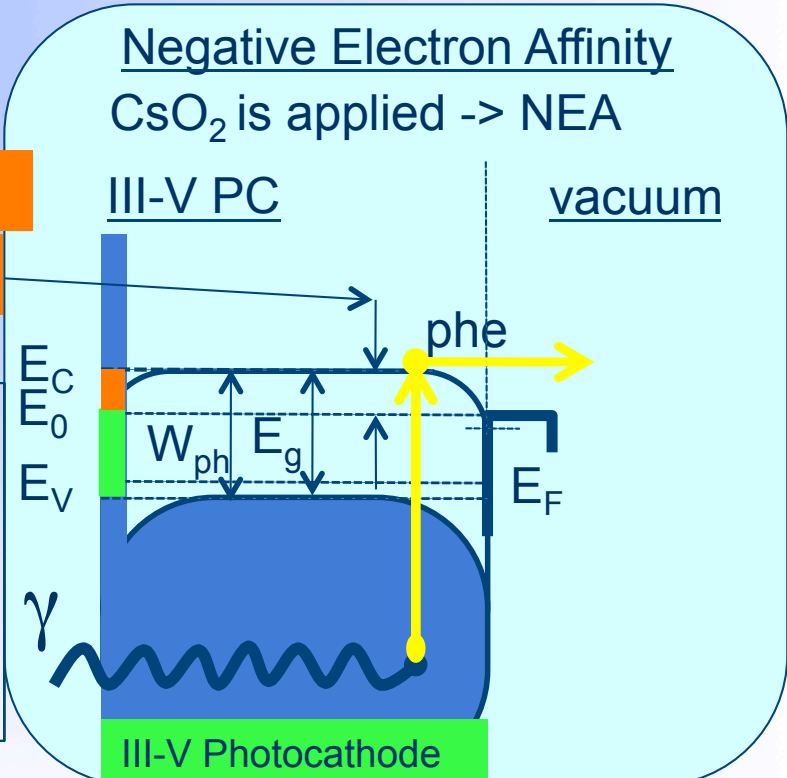
$W_{ph} > W_{th}$   
 $E_A > 0$

$W_{ph} = E_g$   
 $NE_A < 0$

Schottky effect:  
 An external electric field at the PC surface has an effect on the photoemission efficiency: the potential barrier is reduced by an amount  $\Delta W_{th}$

$$\Delta W_{th} = \sqrt{\frac{eE}{4\pi\epsilon_0}}$$

E accelerating field



**Recent progress of photocathodes for PMTs**

International Workshop on New Photon Detectors (PD09)

Shinshu University Matsumoto Japan

24-26 June 2009

**Motohiro Suyama<sup>1</sup>**

Electron Tube Division, Hamamatsu Photonics K.K.

314-5 Shimokanzo, Iwata 438-0193, Japan

E-mail: [suyama@etd.hpk.co.jp](mailto:suyama@etd.hpk.co.jp)

**Kimitsugu Nakamura**

Electron Tube Division, Hamamatsu Photonics K.K.

314-5 Shimokanzo, Iwata 438-0193, Japan

E-mail: [kimitugu@etd.hpk.co.jp](mailto:kimitugu@etd.hpk.co.jp)

PD09(013)

43% @ 350 nm

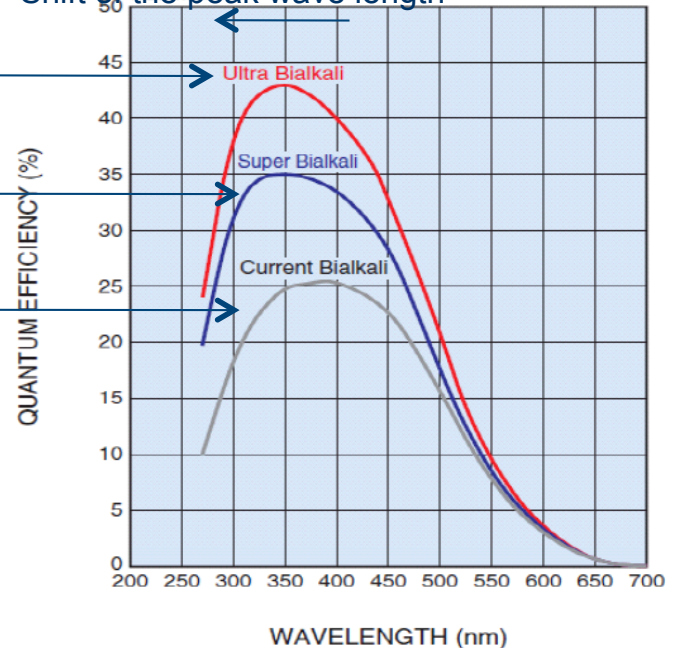
35% @ 350 nm

25% @ 350 nm

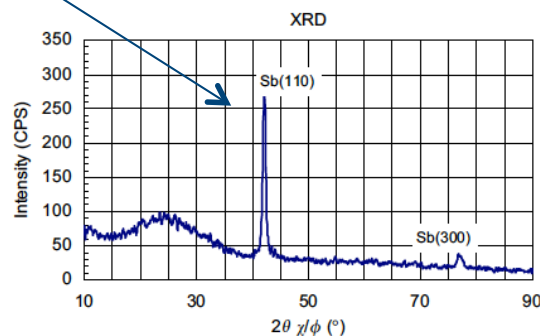
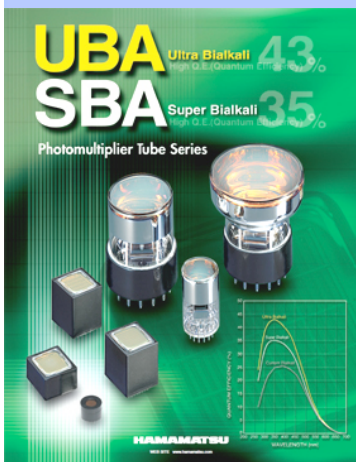


hamamatsu

Shift of the peak wave length

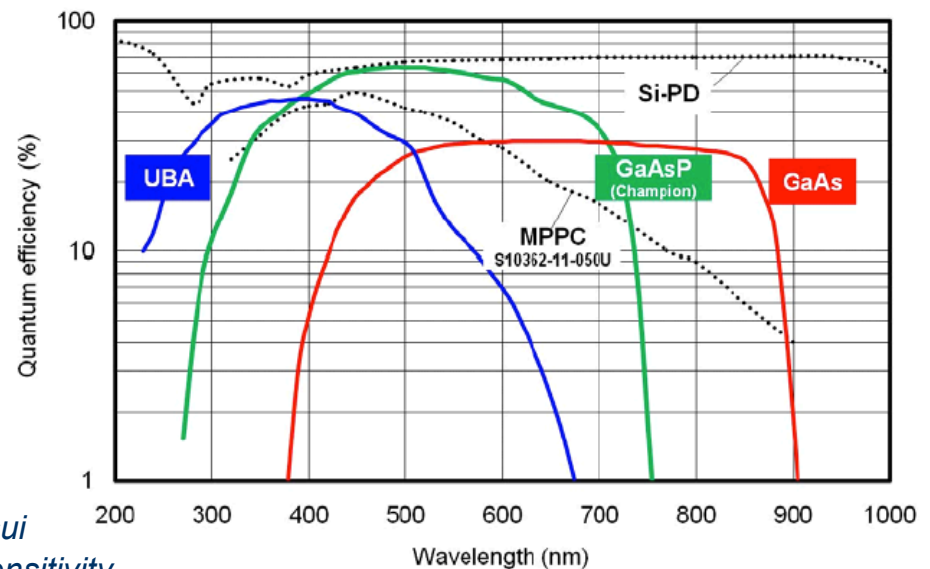


PC Bialkali : K-Sb-Cs  
 Sb thin film deposited with an improvement of the crystalline structure (the mean escape length L is increase)  
 X-ray diffraction analysis



REF:

K. Nakamura, Y Hamana, Y Ishigami, T. Matsui  
 Latest bialkali photocathode with ultra high sensitivity  
 NIM A 623 (2010) 276



**INCREASE FILL FACTOR**

Flat Panel H9500 Hamamatsu

- 16x16 (256) anodes
- Pixel size 2.8x2.8 mm<sup>2</sup>
- Pitch : 3.04 mm
- Effective area = 49x49 mm square
- FF = 89%
- G = 1.5 10<sup>6</sup>
- 12 Dynodes
- PC: Bialkali 24% @ 420 nm
- Transit Time 6 ns
- Transit Time Spread = 0.4 ns
- Rise Time = 0.8 ns
- Xtalk = 5%
- Anode Uniformity 1:4

Flat Panel is MaPMT

Planacon is MCP-PMT  
(see later)

Planacon XP85012 Photonis

- 8x8 (64) anodes
- Pixel size 5.9x5.9 mm<sup>2</sup>
- Pitch : 6.05 mm
- Effective area = 49x49 mm square
- FF = 80%
- G = 6. 10<sup>6</sup>
- 2 MCP chevron 25 micron pore 40:1 L:D ratio
- PC: Bialkali 24% @ 420 nm
- Pulse Width = 1.8 ns
- Rise Time = 0.6 ns



Medical applications



# Multichannel plates MCP-PMT

## Image Intensifier: Night Vision

**Input Window**

Quartz	Solar blind	18
Glass	S20 (UV)	25
Fiber Optic	S20	40
MgF2	Broadband	
	Hot S20	
	Supergen	
	(=Super S25)	

**Photocathode**

**Active Ø (mm)**

**MCP**

None	
Single	50:1
Double	2x50:1
Double+	50:1+90:1

**L:D**

**Gating Sublayer**

None
Slow
Fast
Ultra

**Phosphor**

P22
P24
P43
P46
P47

**Output Window**

Straight fiber optic
Twisted fiber optic
Glass

**Power Supply**

Standard fixed gain
EGAC (ext gain contr)
Autogating
Autogating EGAC + ext sync
EGAC with gate-unit

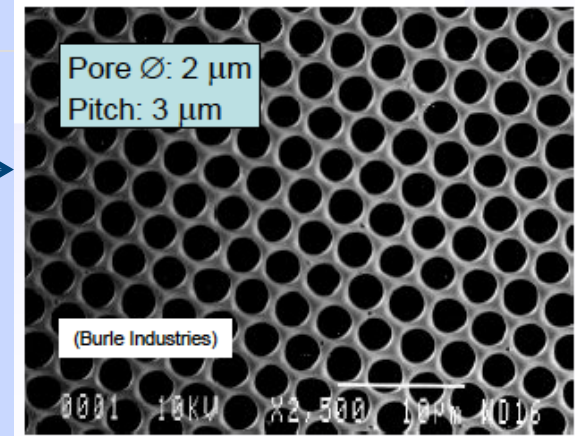
With USB connector

ICU  
ICMOS  
Photonis

**PHOTONIS**  
INDUSTRY & SCIENCE



1. The  $pe$  is emitted and accelerated to the MCP  $V \sim 300V$
2. MCP multiplies the  $pe$  ( $V \sim 3000V - G \sim 10^4$ )  $\longrightarrow$
3. Readout of the secondary electrons
  - Phosphor + eye
  - Phosphor + CCD = ICCD
  - Phosphor + CMOS = ICMOS
  - Multi Anodes + ROC = ebMCP-CMOS
  - X Delay Line or X strip = H33D

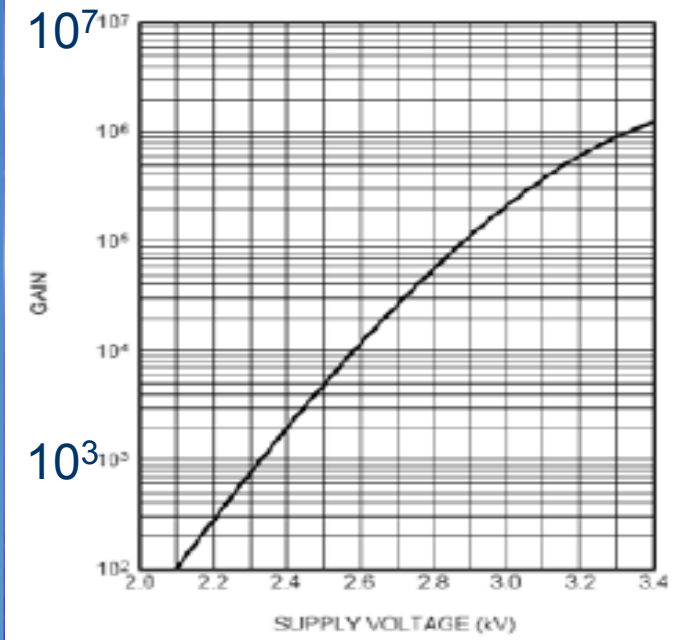
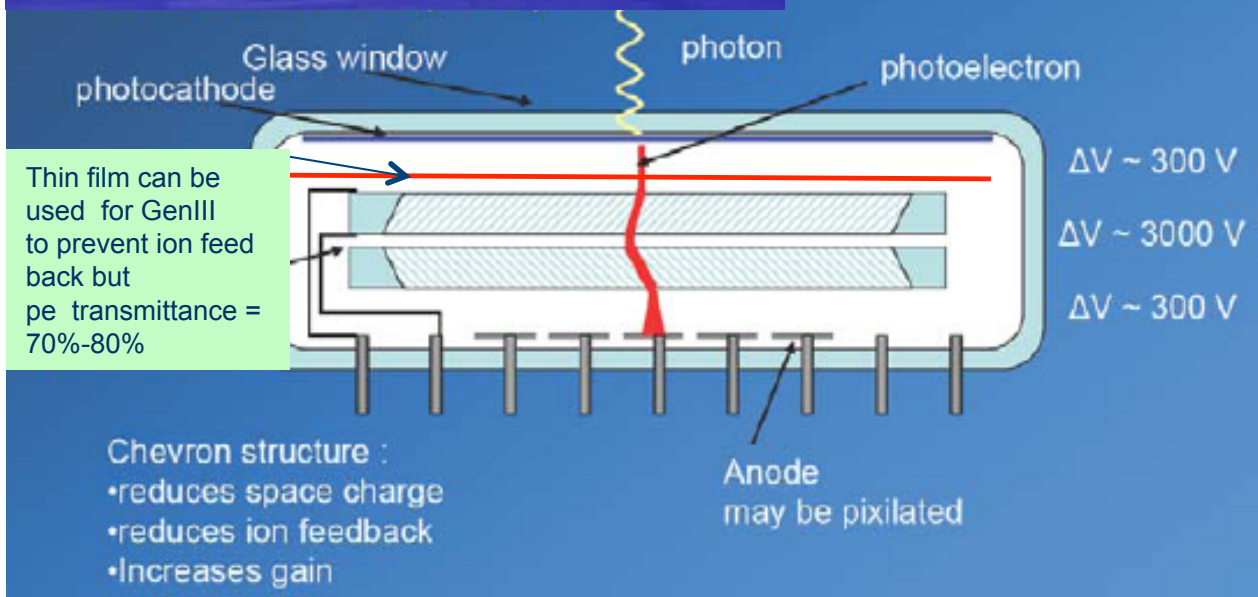


FF  $\sim < 80\%$

Picture of the MCP's pores

GAIN vs V

Philippe Mangeot CEA/DSM/DAPNIA



Gain:

- Single stage:  $G \sim 10^3$  to  $10^4$
- Dual MCP:  $G \sim 10^6$  to  $10^7$

Very Good Temporal resolution:  
ultra-fast devices

- Low Transient Time  $\sim 1$  ns
- Transient Time Spread  $\sim 50$  ps
- Sub-ns rise and fall time
- Ex: 30 ps resolution (Hamamatsu R3809)
- Gating capability (Mesh 250 ps – 10 ns D=18mm)

Photocathodes:

- Bialkali
- Multi alkali (Photonis/Photek/Hamamatsu)
- GaAsP or GaAs (Hamamatsu ...)
- Cs-Te for UV

Phosphor screen:

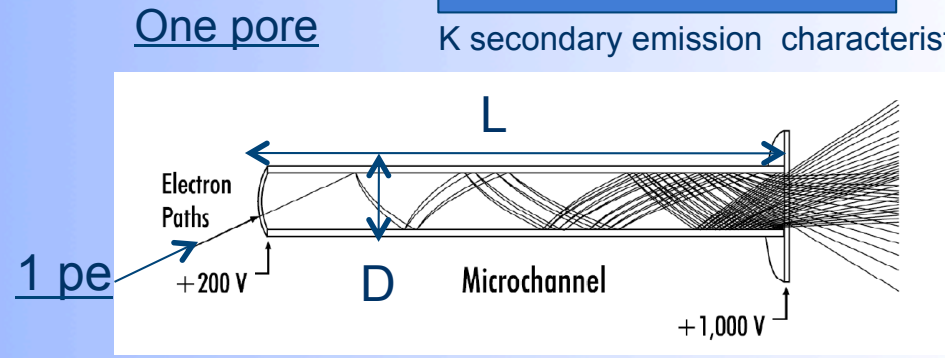
P24, P46, P47 (fast  $\mu$ s) ;P43 (slow 1ms);

Sensitive to single photon

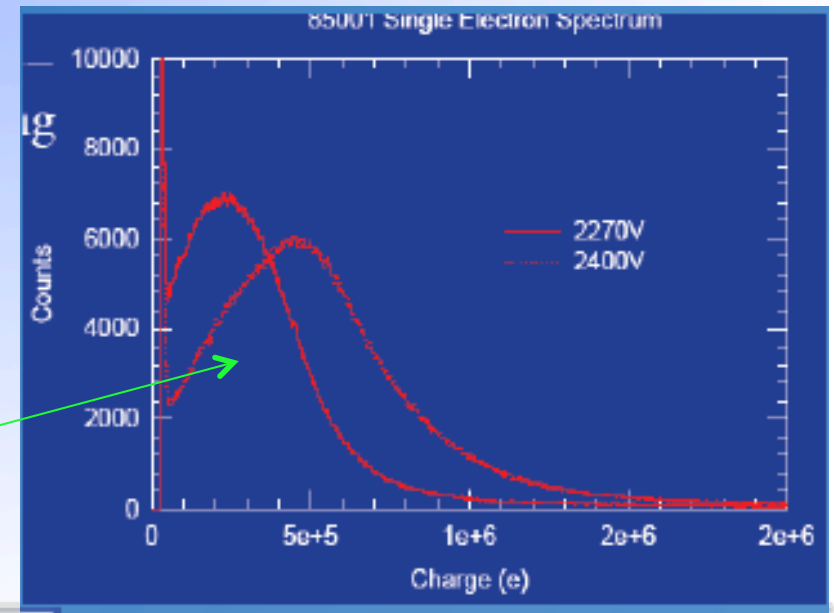
$$M = \exp(K \times \alpha)$$

$$\alpha = \frac{\text{Length}}{\text{Diameter}}$$

K secondary emission characteristics



Diameter pore  $\sim 2$  to  $\sim 12 \mu$ m



### Image Intensifiers:

- Improve PDE
- Improve readout with CMOS: ICCD → ICMOS
- Power consumption, functionalities, integration for night vision ...
- Aging – compactness
- Fast Gating

### ebMCP-CMOS

Improve time resolution !

Time of Flight System: 10 ps Fermi Lab & Photek (see below).

FLIM system: 25 ps CERN Nino chip & Photek

*J.S. Lapington, T. Conneely, Nucl. Instr. and Meth. A (2011), doi:10.1016/j.nima.2010.11.175*

10 ps

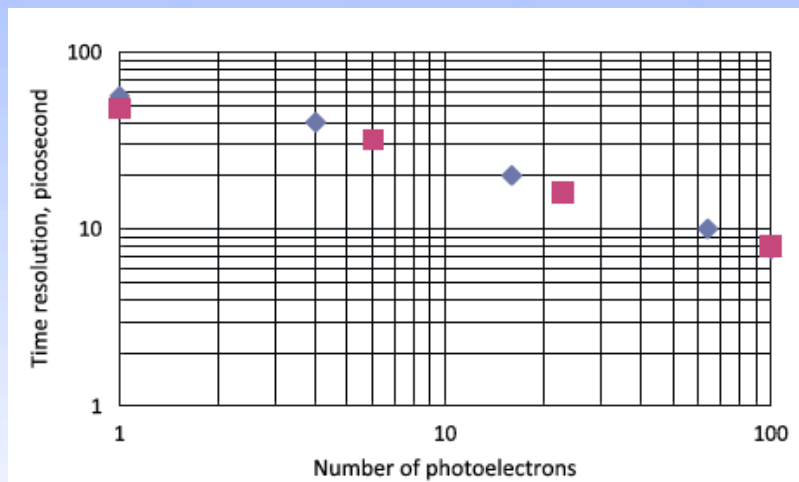
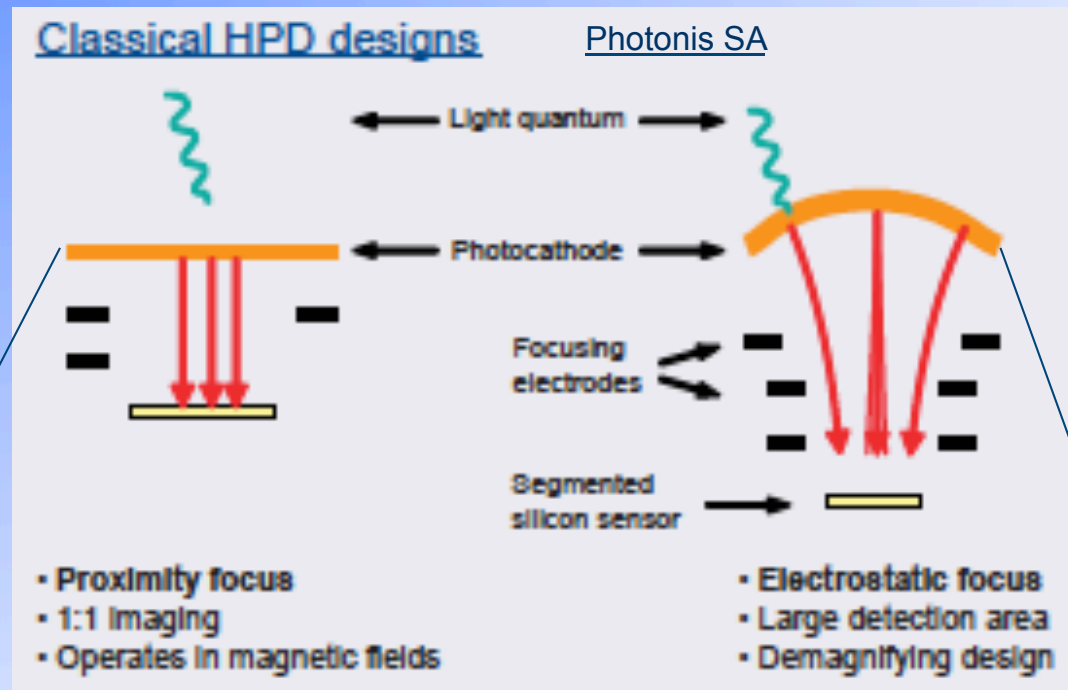


Fig. 7. Time resolution ( $\sigma$ ) of the Photek 240 as a function of the number of photoelectrons. The data are from the test bench.

### Photek 240

**Ronzhin et al. NIM A623 (2010) 931:  
Development of a 10 ps level time of flight system  
in the Fermilab Test Beam Facility**

# Hybrid Photon Detector



Imaging with single photon sensitivity and spatial resolution

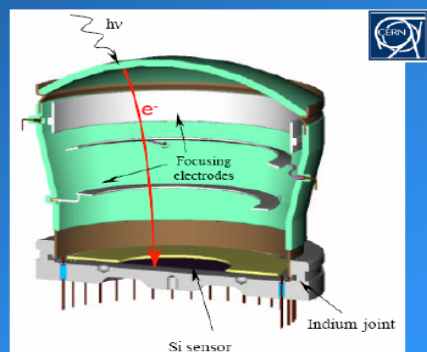
Large aperture & single photon sensitive  
Fast : Cherenkov detectors ...

1. Photocathode (Alkali / GaAs)
2. High Electric field (HV 2 to 20 kV)
3. Gain in one step by energy dissipation of keV pe's in solid-state detector; ENF  $\sim 1$
4. Secondary carriers for multiplication are produced and directly readout by
  - Si-Anode+ROC = HPD / ISPA Tube ...
  - APD = HAPD
  - Back thinned CCD = EBCCD
  - Back thinned CMOS = EBCMOS

Large Area HPD / Small number of pixels

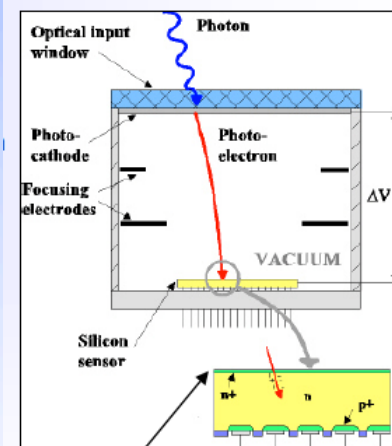


LHCb CERN



5" HPD  
Bi-alkali KCsSb UV extend  
Si 2048 ch 1x1mm<sup>2</sup>  
HV = 20 kV  
C.Joram Beaune 2002

Imaging / Megapixel device



Energy loss  $eV_{th}$  in (thin) ohmic contact

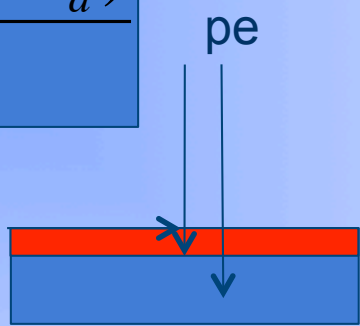


- Gain: Energy dissipation through ionization and phonons → e-h
- To generate 1 e-h pair in Si:  $W_{Si}=3.6$  eV

▪ Gain

$$M = \frac{e \times (\Delta V - V_d)}{W_{Si}}$$

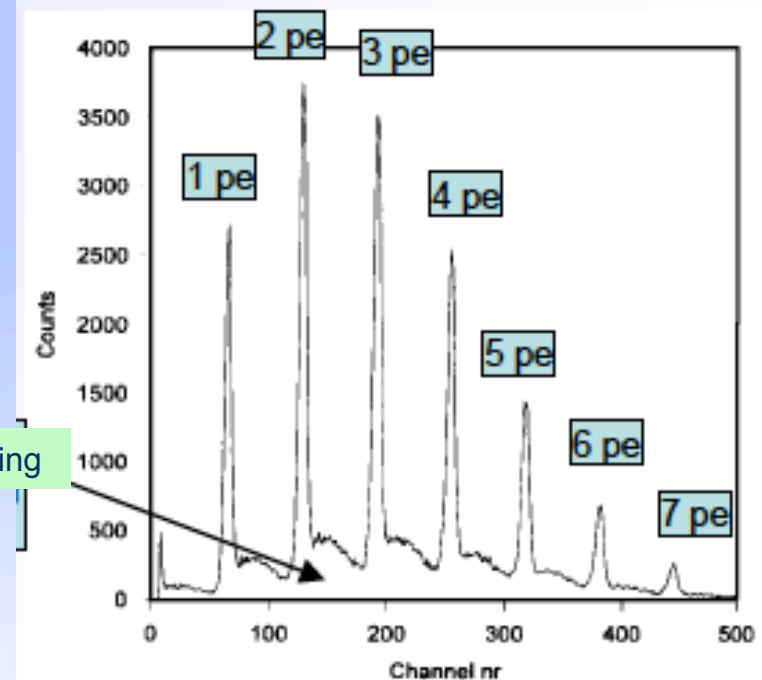
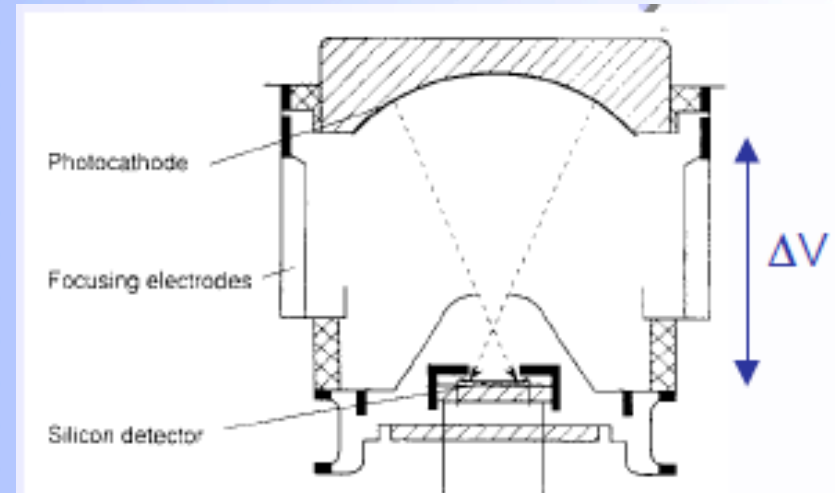
- $V_d$  is the threshold voltage (entrance dead layer !!!!)



- $\Delta V = 2$  to  $20$  kV depends on
  - dead layer thickness
  - readout noise
  - electron charge collection efficiency
  - DC required
  - cathode gap length

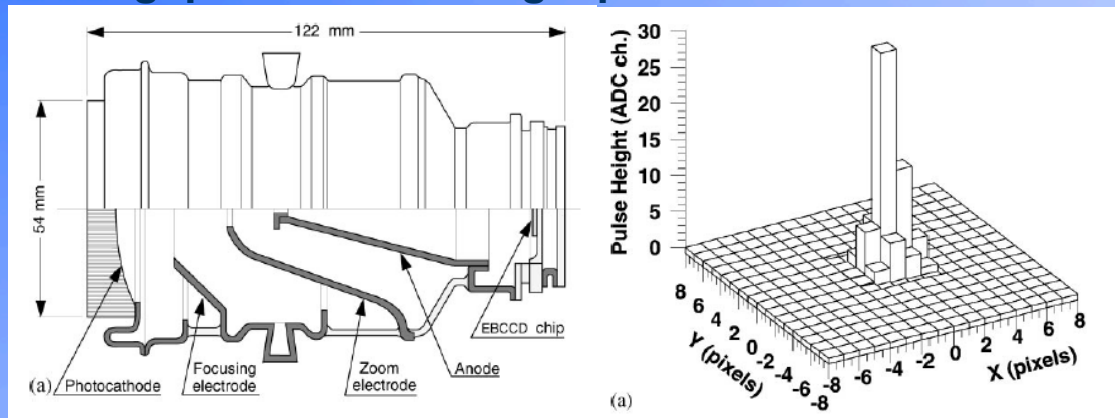
- Single-photon sensitive device

- Time resolution depends on:
  - Charge collection
  - Readout sequence of the chip
  - Number of channels to readout 64 to  $10^6$



(C.P. Datema et al., NIM A 387(1997) 100)

▪ **Megapixel ebCCD single photon sensitive**



L. Benussi et al. NIMA 442 (2000) 154

▪ **Novel large aperture ebCCD (Hamamatsu)**

**Table 1**  
Device specifications.

Parameters	Description/value	Unit
Spectral response	300-650	nm
Photocathode	Material: Bi-alkali	-
	Effective area: 46 × 36	mm
Window material	Fiber optic plate (FOP)	-
Magnification	1/5	-
Target	Type: FT-CCD	-
	Effective area: 9.0(H) × 6.7 (V)	mm
	Number of pixels: 640(H) × 480(V)	-
	Pixel size: 14 × 14	µm
Frame rate	30	Hz

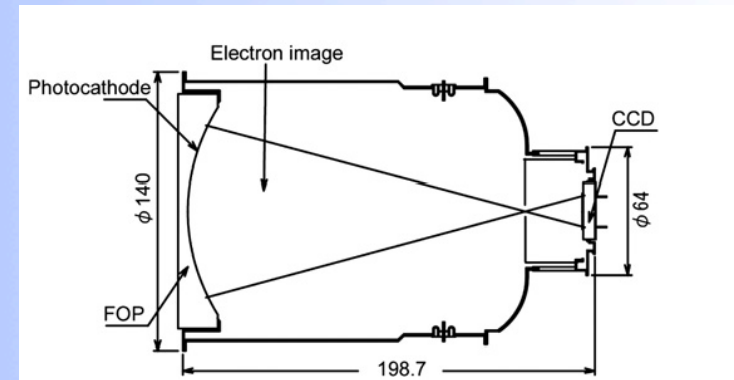
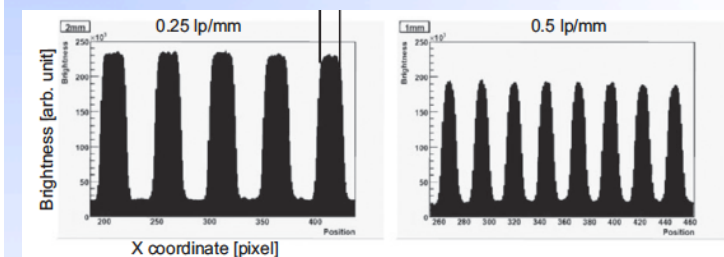
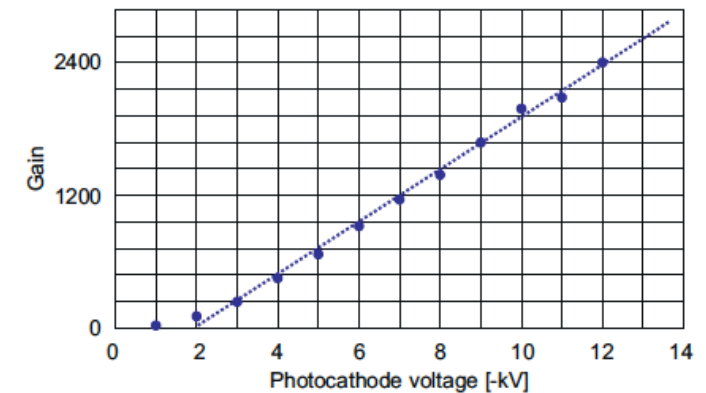


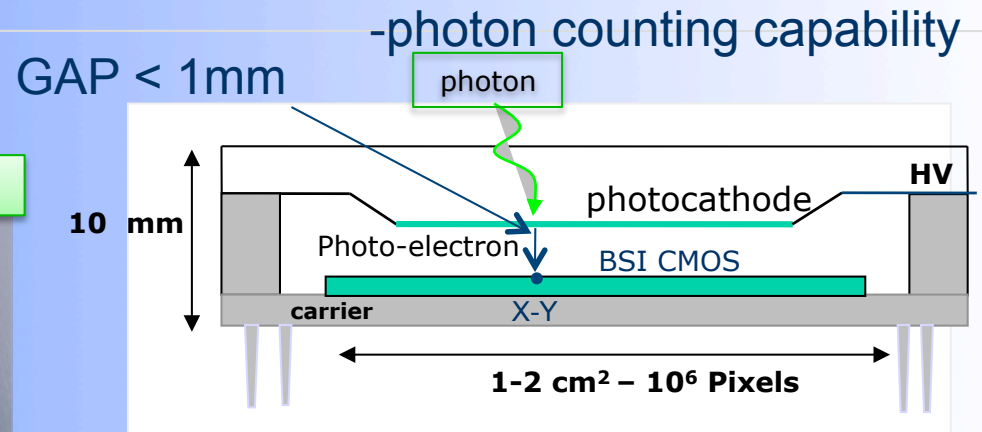
Fig. 1. Structure of the EBCCD.



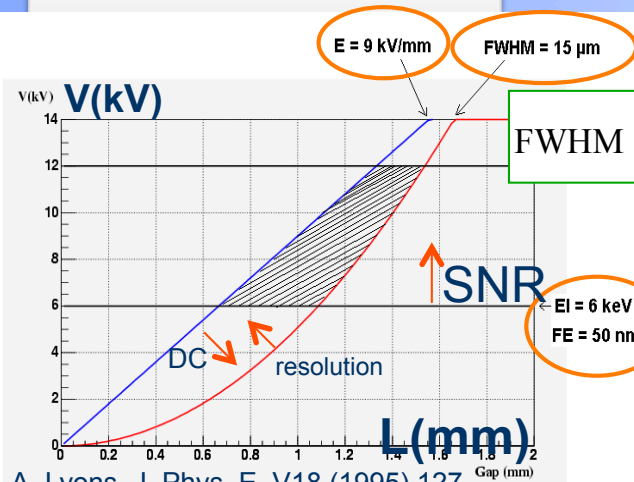
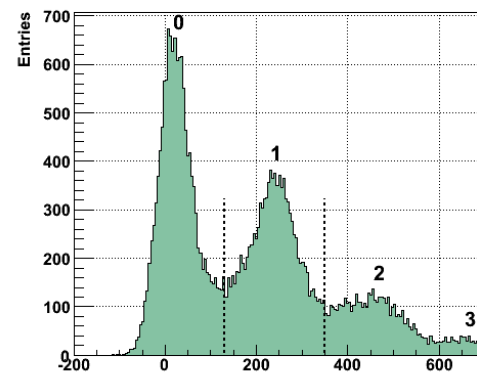
A. Suzuki et al. NIMA 628 (2011) 260

## Part II: HPD - EBCMOS

- Intevac Night Vision EBAPS(TM)
- Photonis SA: EBMI5/LUSIPHER



R. Barbier, et al., Nucl. Instr. and Meth. A (2011), doi:10.1016/j.nima.2011.04.018



A. Lyons J. Phys. E. V18 (1995) 127



Halo due to Back Scattering

INTEVAC

Standard Halo



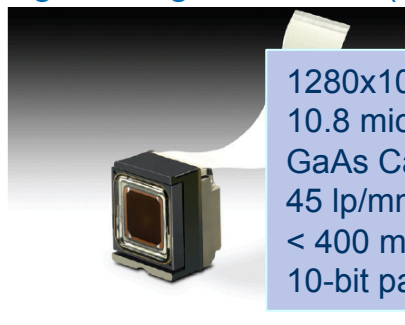
FIGURE 5.1 NightVista camera



Halo suppression

Zero Halo

## Digital Image Intensifier (DI<sup>2</sup>)



1280x1024 (SXGA)  
10.8 micron pitch  
GaAs Cathode  
45 lp/mm  
< 400 mW  
10-bit parallel

E3010M Digital Image Intensifier (DI<sup>2</sup>)

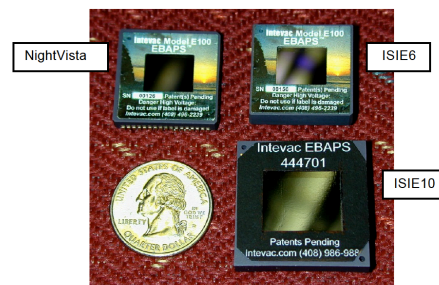


FIGURE 3.2 GaAs EBAPS<sup>®</sup>: NightVista, ISIE6, and ISIE10

4. EBAPS Performance



# Large aperture HAPD for next generation Cherenkov detector

Status and Perspectives of vacuum-based photon detectors  
 T. Iijima NIM A 639 (2011) 137

Table 2

Comparison of a large HAPD to a conventional PMT.

Item	13-in. HAPD	13-in. PMT (R8055)
Single-photon time resolution	190 ps	1400 ps
Single-photon energy resolution	24%	70%
Quantum efficiency	20%	20%
Collection efficiency	97%	70%
Power consumption	≪ 700 mW	~ 700 mW
Gain	10 <sup>5</sup>	10 <sup>7</sup>

Single APD

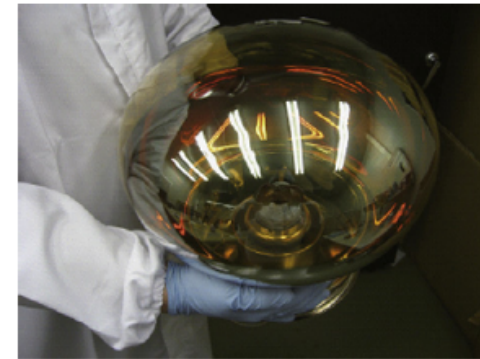
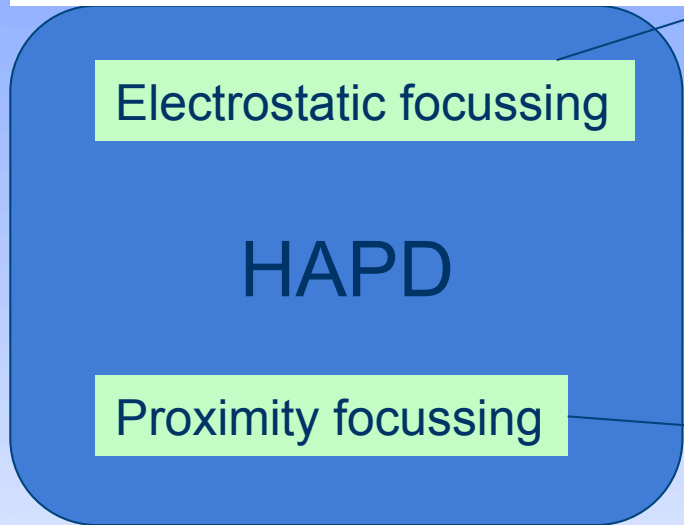
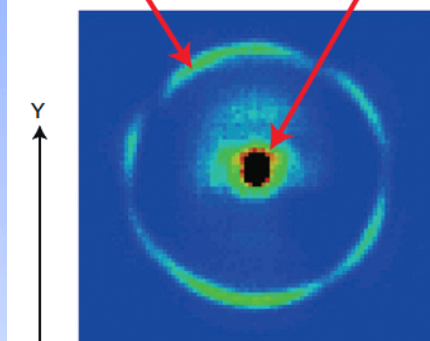


Fig. 8. Large aperture HAPD under development by the collaboration of Tokyo, KEK and Hamamatsu.



Cherenkov photons from the aerogel radiator      Cherenkov photons from the PMT window



HV = 8.5 kV  
 ebGain = 1600  
 $V_{APD} = 350 \text{ V}$   $M = 10-20$   
 Total Gain  $2 \cdot 10^4$

Hamamatsu  
 Under development

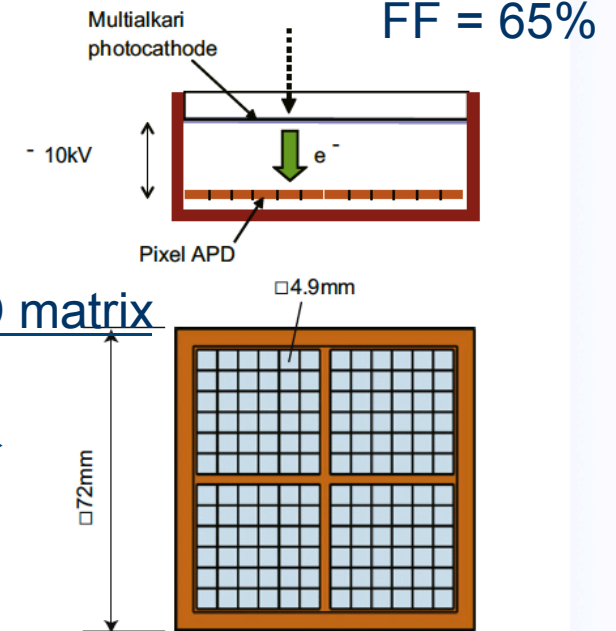


Fig. 5. Schematic drawing of the 144-ch HAPD.

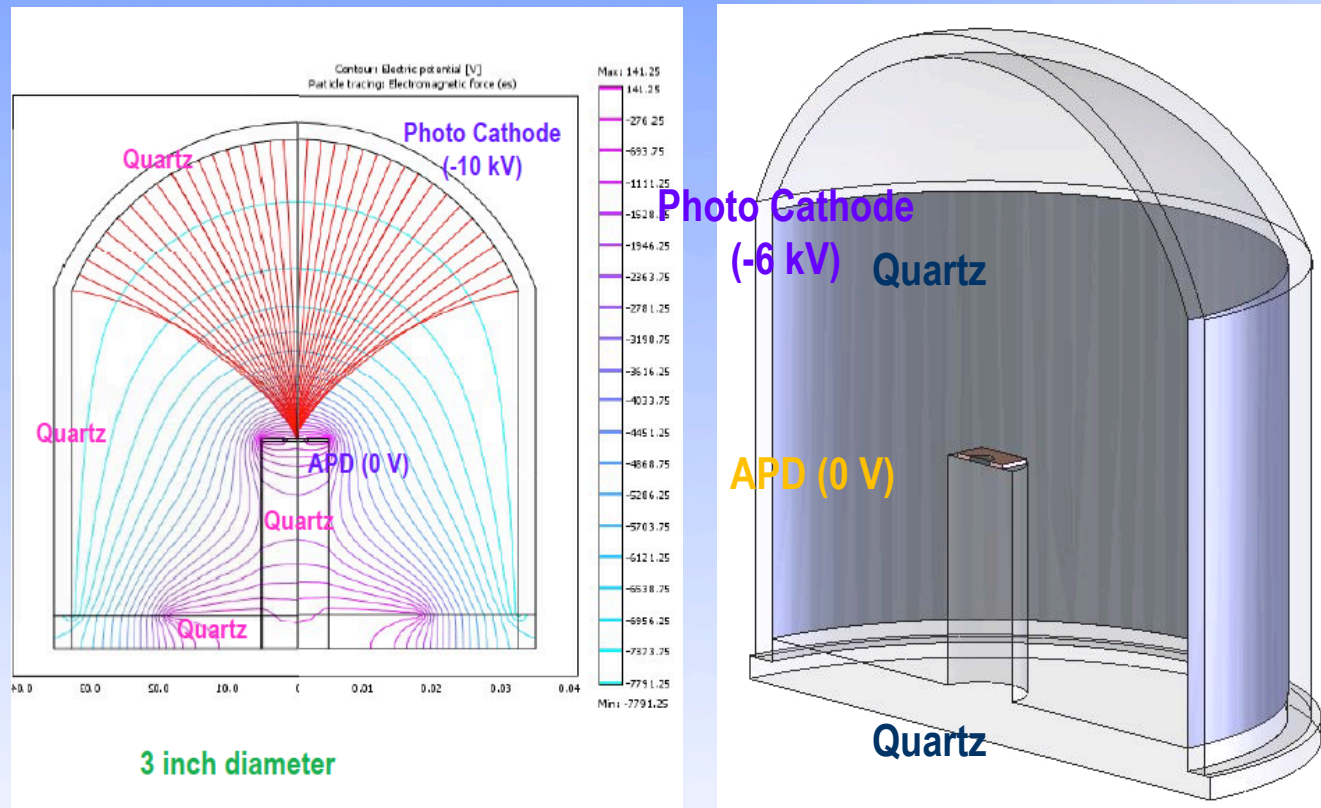
4 x (6x6) APD arrays

## Proximity focussing HPD for Belle II RICH

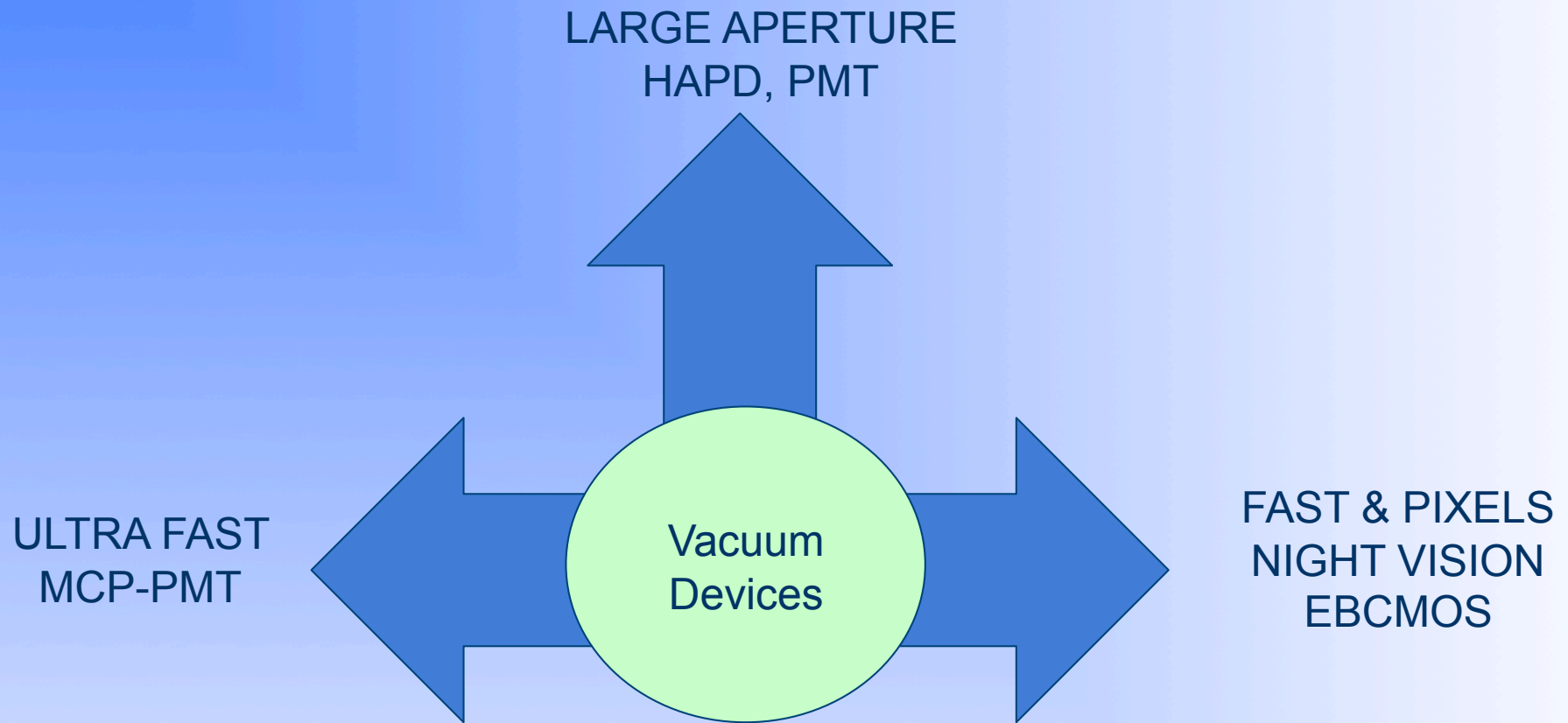
Low DC HAPD under low temperature:

- Dark matter search and double beta decay.
- Special alkali photocathode under extremely low temperature (Xenon -108°C)
- ebGain = 1000
- APD Gain ~100
- low radioactive materials : Quartz < 1 mBq
- Single photon sensitivity

Arisaka group UCLA



**QUPID (Quartz Photon Intensifying Detector)**



# Solid State Devices



Single or multichannel  
photon detectors

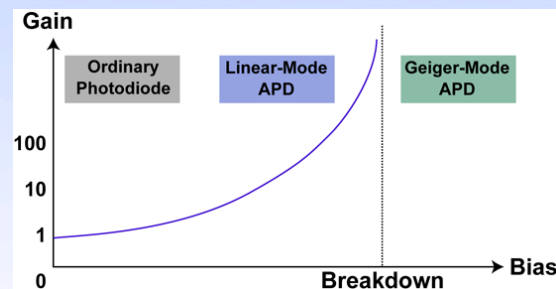
- Photo Diode
- APD
- SPAD
- SiPM
- ...

~mm

~ $\mu\text{m}$

Imaging devices / Megapixels

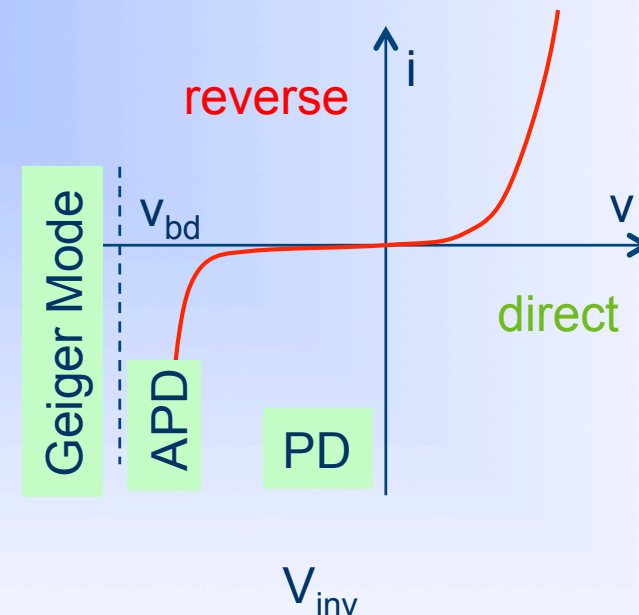
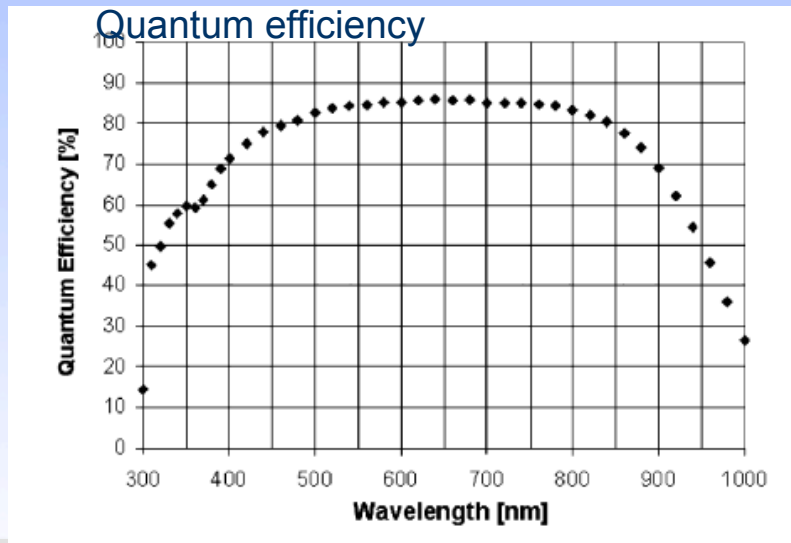
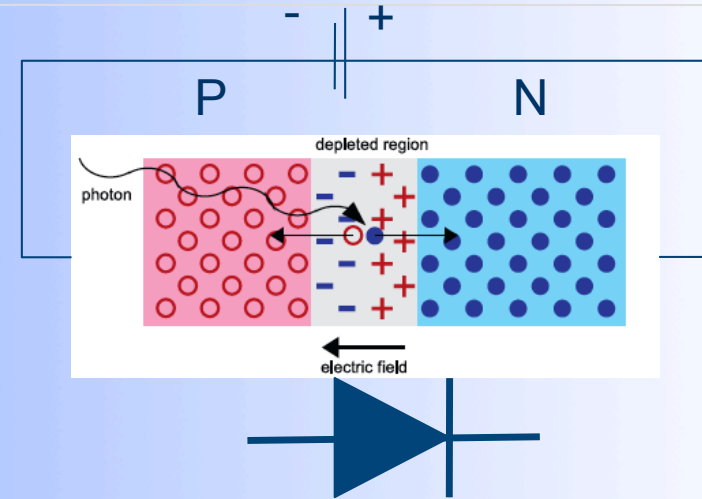
- CCD
- CMOS sCMOS
- EMCCD
- pnCCD
- ...

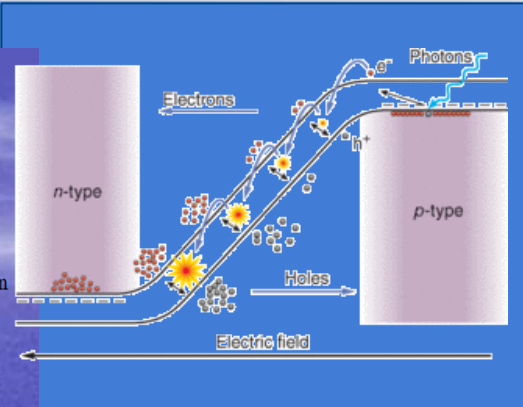
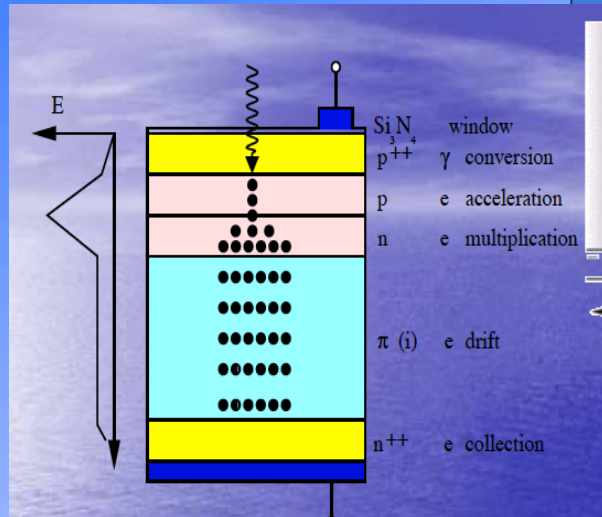


- the PN junction with reverse bias: PhotoDiode
- ✓ Si bulk => N layer (Phosphor doped);
- ✓ P layer on top (Boron doped)
- ✓ Depleted zone (increased by  $V_{inv}$ )
- ✓ If e/h created in the depleted zone
  - ✓ e- → in conduction Band and drift to N layer
  - ✓ h → in valence Band drift to P layer
- ✓ The current is read out with no internal gain.

▪ The PIN Diode:

300  $\mu\text{m}$  of intrinsic (high-purity) layer sandwiched between n+ (P) and p+ (B)  
 This reduces capacitance (reduce noise) sensitive to red



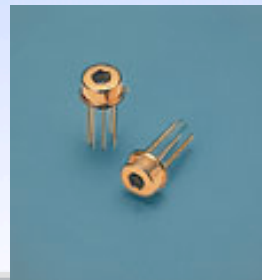


**Basic:**

- High electric Field :  $10^5$  V/cm
  - Electrons and holes are accelerated
  - Multiplication by Impact ionisation for e- and holes !
  - $\alpha$  ionisation coefficient for electrons
  - $\beta$  ionisation coefficient for holes
- ENF minimized if  $\alpha > \beta$  or  $\alpha < \beta$  ie  
 k-factor =  $\beta/\alpha \ll 1$

**Features:**

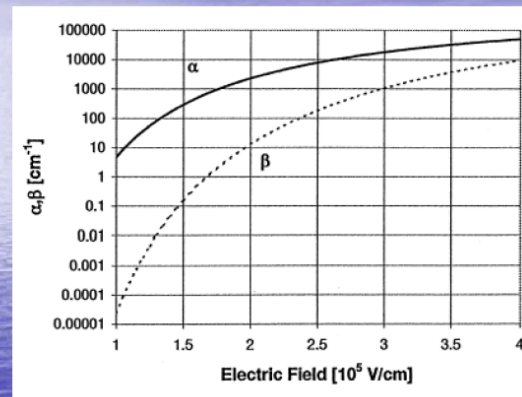
- Gain = 50 to 200
- QE=80%
- Operating Voltage >300 V
- Faster than PD ~ns
- ENF = 2
- Sensitivity of the Gain to
  - Voltage
  - Temperature



hamamatsu

Musienko Tutorial NDIP08

Another way to amplify photoelectric signal: applying high electric field in uniform p-n junction may cause an avalanche multiplication of electrons created by absorbed light.



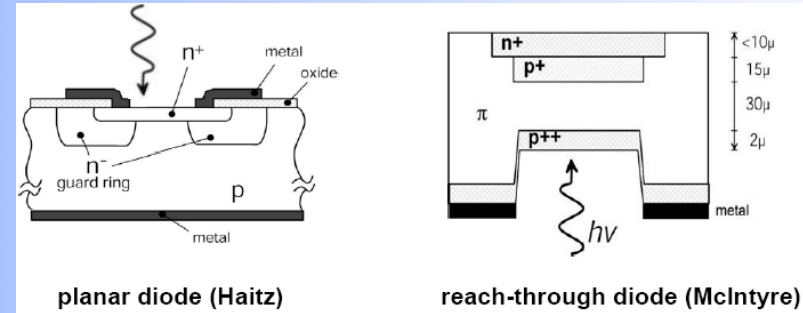
*Ionization coefficients as a function of electric field in silicon*

Silicon is a good material for APD construction: high sensitivity in visible and UV range, significant difference between ionization coefficients for electrons and holes – smaller positive feedback and smaller multiplication noise

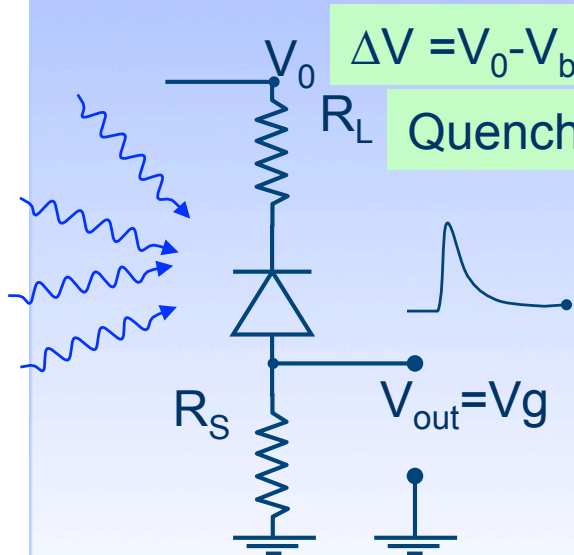
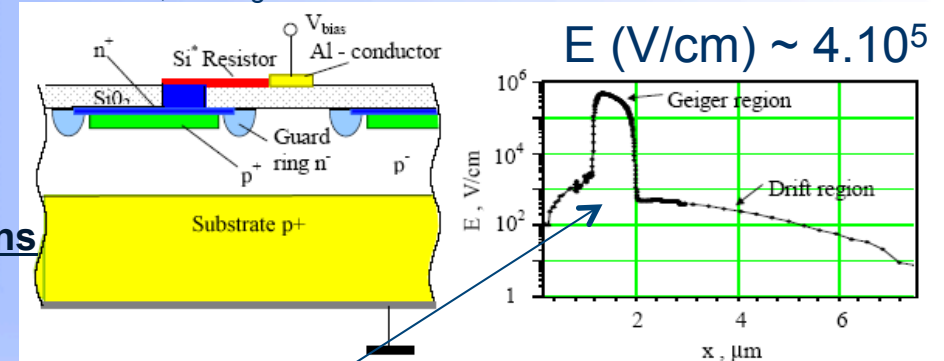
Basic works :Haitz/McIntyre (1966)  
 Pioneers are Russian groups: Sadygov, Golovin

- SPAD Single Photon Avalanche Diode
- SiPM Silicon PhotoMultiplier
- MRS Metallic Resistive Semiconductor
- MPGM APD Multipixel Geiger-mode Avalanche PhotoDiode
- AMPD Avalanche Micro-pixel PhotoDiode
- SSPM Solid State PhotoMultiplier
- GAPD Geiger-mode Avalanche PhotoDiode
- GMPD Geiger-Mode PhotoDiode
- DPPD Digital Pixel PhotoDiode
- MCPC MicroCell Photon Counter
- MAD Multicell Avalanche Diode
- ....

• **Same concept – different technological implementations**



P. Buzhan, B. Dolgoshein *et al* ICFA 2001

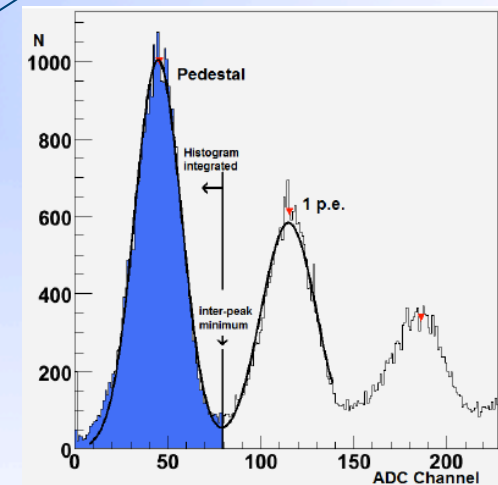


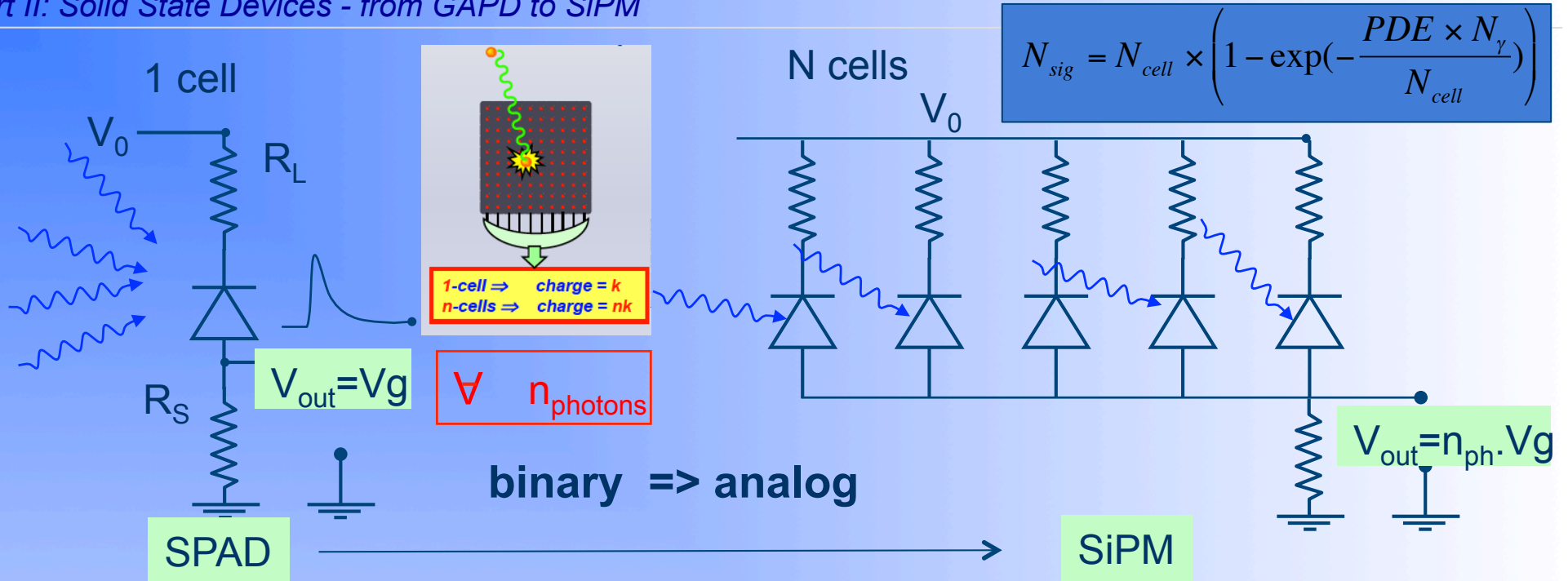
$\Delta V = V_0 - V_{bd}$  « overvoltage»

Quenching Resistor: passive quenching

$\Delta V \sim 4V \rightarrow$  Geiger Mode

IBINARY MODE





- Size: ~1mm square up to 1 cm square (RMD SSPM)
- # of cells: 100 to thousands
- PDE is reduced due to Quenching resistor

•Photon counting

M. Dziewiecki et al. PD09-016

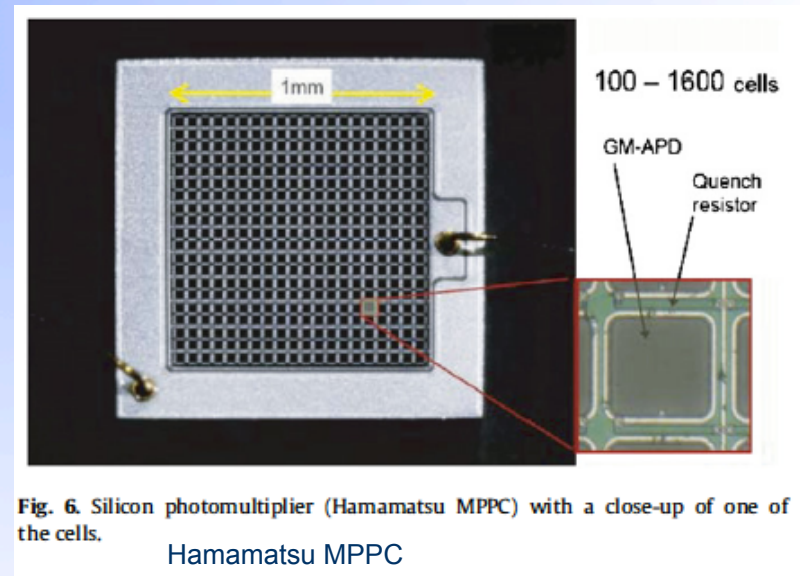
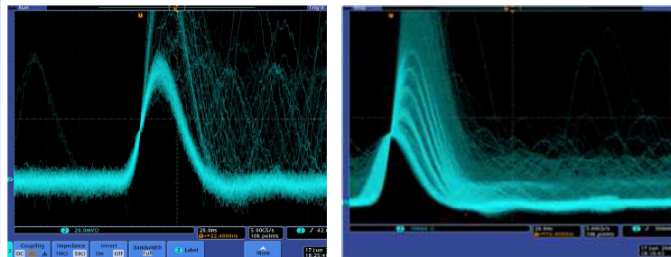


Fig. 6. Silicon photomultiplier (Hamamatsu MPPC) with a close-up of one of the cells.

PD09-019



• **Gain**

- $Q_{\text{pixel}} = \Delta V \cdot C_{\text{pixel}}$ 
  - $C_{\text{pixel}} \sim 50 \text{ fF}$  /  $\Delta V \sim 6 \text{ V}$  ( $\sim 10\%$  of  $V_0$ ) and  $Q_{\text{pixel}} \sim 300 \text{ fC} = 2 \cdot 10^6 e^-$

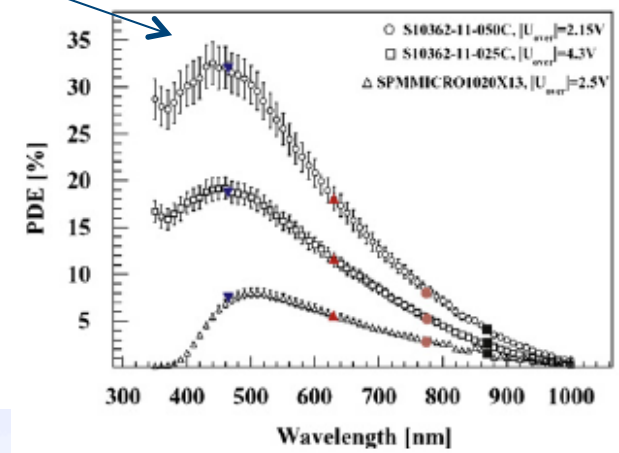
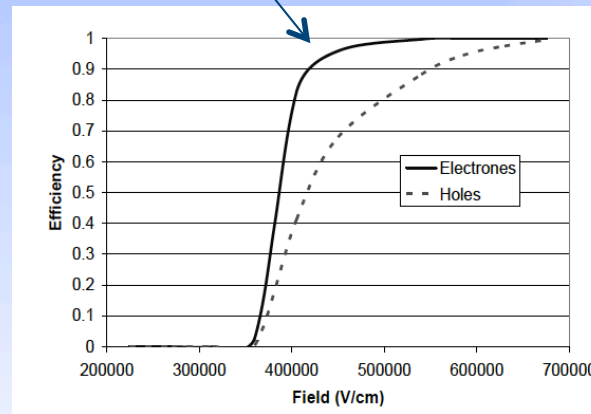
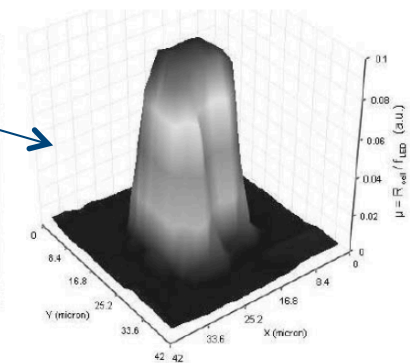
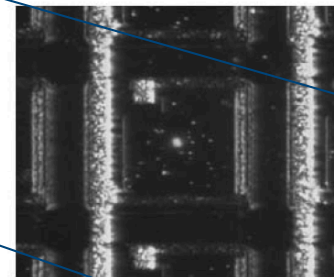
G ~ 2.10<sup>6</sup> @ ΔV ~ 6 V

• **Photon Detection Efficiency**

- PDE = QE .FF .GE
- GE = Geiger efficiency
- FF = Geometrical efficiency

PDE ~ 20%

Silicon Photomultipliers, A New Device For Low Light Level Photon Detection  
Hans-Günther Moser



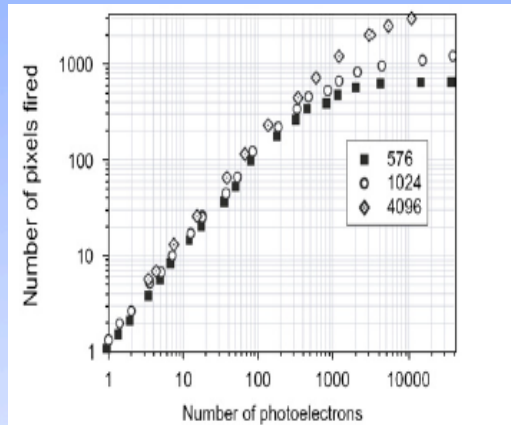
S. Korpar NIMA 639 (2011) 88

## Part II: Solid State Device - SiPM – main features

- Photon counting
- Optical Cross talk; False counting
- Dynamic range is limited by the # of cells:  
Geiger mode gives counting error if > 1 ph/cell !
- Dark count rate 0.1 to 1MHz/mm<sup>2</sup> @ 25°C
- Radiation hardness issue
- Timing resolution 100 ps

S. Korpar NIMA 639 (2011) 88

### • Dynamic Range



### • Dark Rate

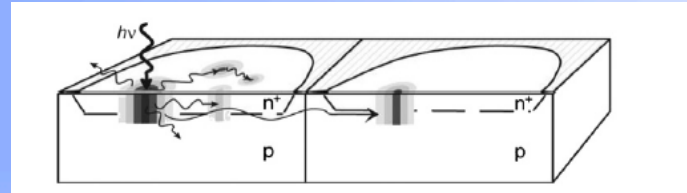
$$\frac{I(T)}{I(T_0)} = I \frac{T^2}{T_0^2} \exp\left(-\frac{E_g}{2kT}\left(1 - \frac{T}{T_0}\right)\right)$$

Leakage current increase from T<sub>0</sub> to T

DC Temperature dependency  
- factor 2 every 8°C

D. Renker Geiger-mode avalanche photodiodes, history, properties and problems NIMA 567 (2006) 84

### • Cross Talk



### • Radiation Hardness

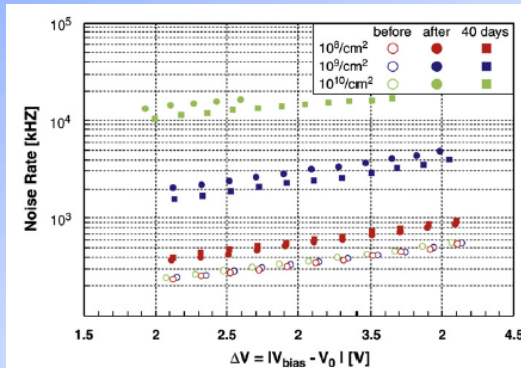
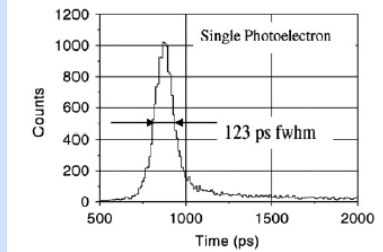
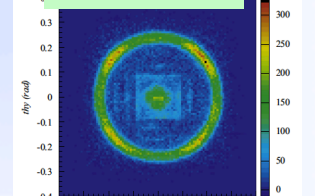
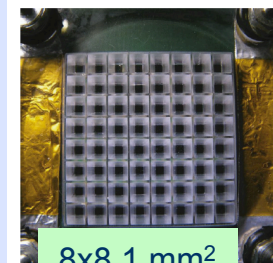


Fig. 15. The noise rate of a SiPM as a function of over-voltage before irradiation with neutrons and after 10<sup>9</sup>, 10<sup>9</sup> and 10<sup>10</sup> neutrons/cm<sup>2</sup> (at 25 °C)[17].

### • Timing



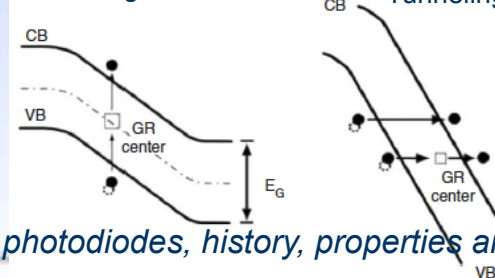
Hamamatsu MPPC  
Pitch 2,54mm



Cherenkov ring

### • DC sources

#### • Thermal generation



#### • Tunneling

# CMOS (HV) technology – active quenching, TDC, logic

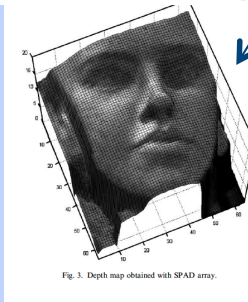
- STM
- MPD
- RMD
- Charbon
- Philips
- ...

**SPAD array**  
**Pros: logic**  
**Cons: PDE**

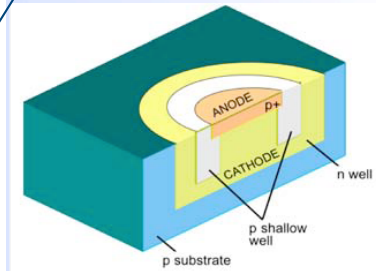
E. Grigoriev et al. / Nuclear Instruments and Methods in Physics Research A 571 (2007) 130–133

Table 2  
SiPM performance summary

Operating voltage	20–60 V
Power consumption	50 $\mu$ W/mm <sup>2</sup>
Gain	10 <sup>2</sup> –10 <sup>7</sup>
Photon detection efficiency (520 HM)	25%
Timing resolution (single photon)	120 ps
Typical sensitive area	1 mm <sup>2</sup>
Typical dynamic range (number of pixels)	1000/mm <sup>2</sup>
Operating temperature	300 K
Dark count rate (at 300 K)	10 <sup>2</sup> –10 <sup>6</sup> /mm <sup>2</sup> s
Sensitivity to magnetic field	Very low
Sensitivity to ionizing particles	Low
Pixel recharge time	100–200 ns
Fill factor	50–80%

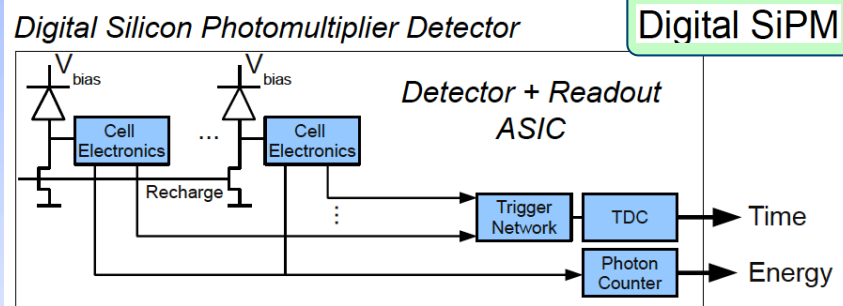
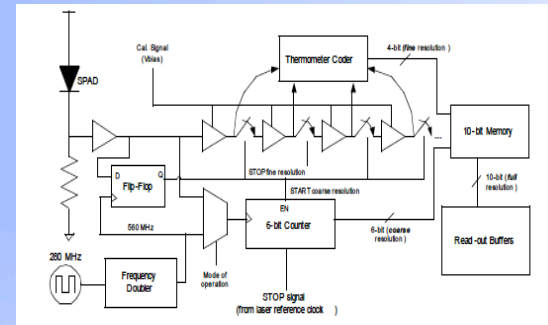
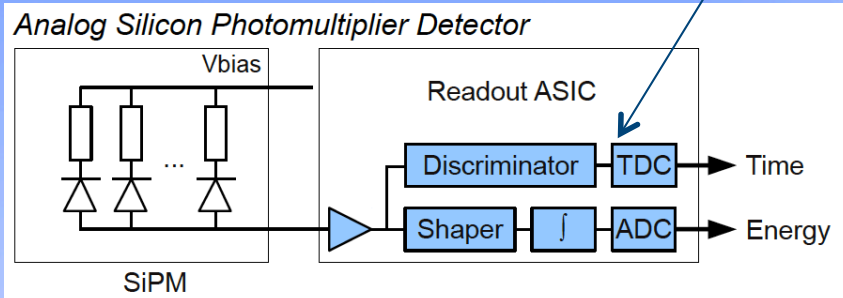


2D : 64x64 pixels  
 Résolution profondeur : 1.3mm  
 $\alpha_c = 50$  ps FWHM  
 Distance : 3.75m



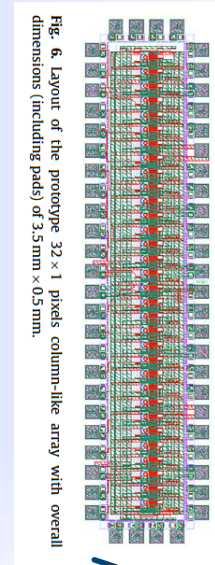
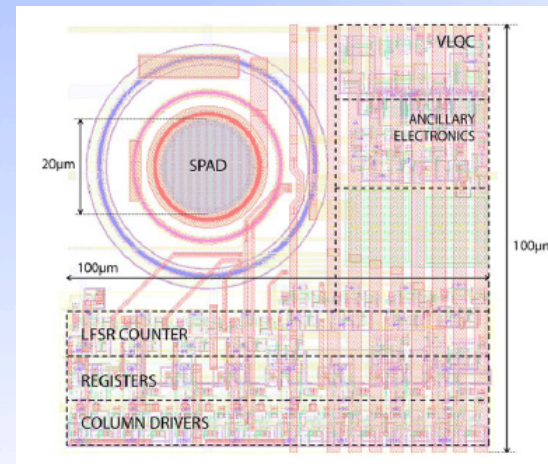
E. Charbon 2007

## A SPAD Architecture



**PHILIPS** IEEE NSS/MIC 2010

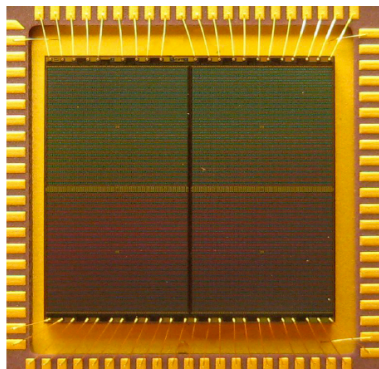
Thomas Frach, Gordian Prescher, Carsten Degenhardt, Andreas Thon, Ben Zwaans



32 SPADs pixel Milano S. Tisa, F. Guerrieri, F. Zappa NIM A610 (2009) 24

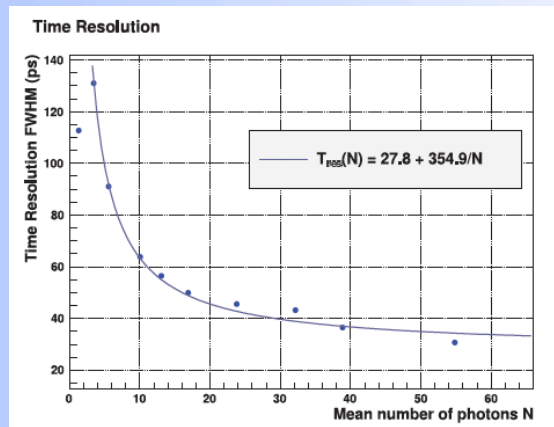
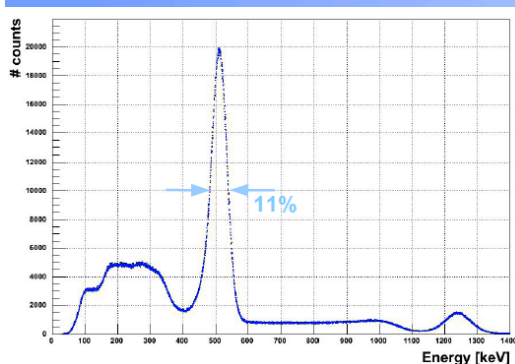
From passive quenching to active quenching and SPAD array in CMOS technology

DLS-6400-22 DSiPM Prototype:

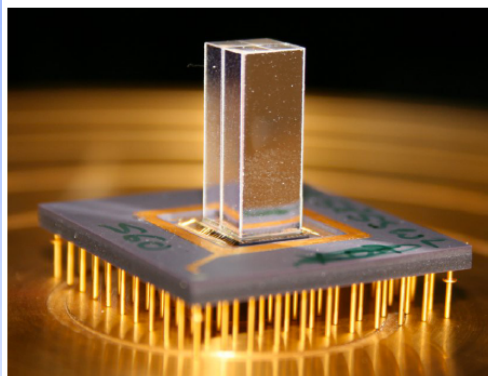


- 25600 Geiger-mode cells
- Two complementary TDCs
- Integrated acquisition controller
- JTAG for configuration & test
- Two serial data outputs
- 48 bond wires

**PHILIPS**

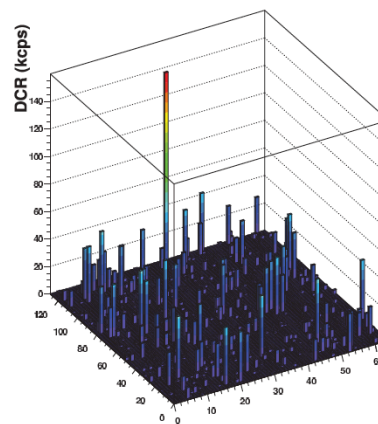


Thomas Frach, Member, IEEE, Gordian Prescher, Carsten Degenhardt, Rik de Gruyter, Anja Schmitz, and Rob Ballizany

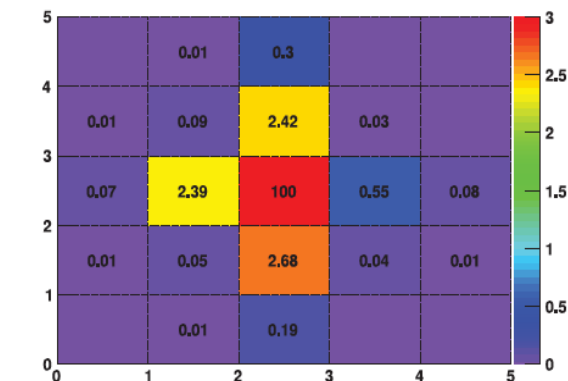


**PET applications**

- 2x2 Array of 3x3x15mm<sup>3</sup> LYSO
- 1:1 coupling using MeltMount
- Illuminated by <sup>22</sup>Na source
- Corrected only for saturation
- dE/E = 11% (combined)



**Optical Crosstalk (%)**



Thomas Frach, Gordian Prescher, Carsten Degenhardt, Andreas Thon, Ben Zwaans

MPI Munich developments on SiPM with Bulk integrated quench resistors:

**J. Ninkovic NIMA 628 (2011) 407**

SOI wafer n-doped sensor wafer 70 micron

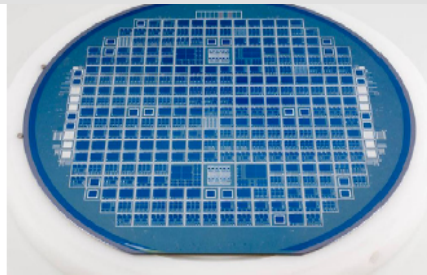


Fig. 2. Photograph of one of the produced 6in. wafers.

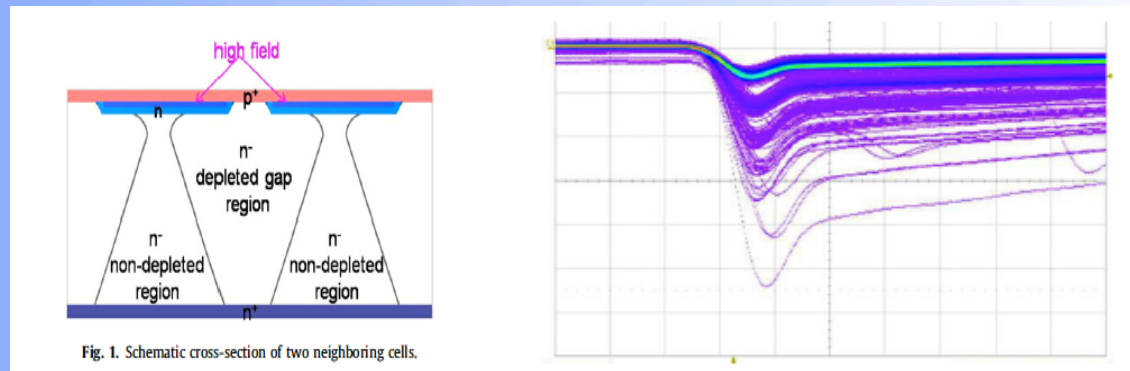


Fig. 1. Schematic cross-section of two neighboring cells.

Pros:

- **Low Cost**
- **Rad. Hard. No Si-SiO<sub>2</sub> depl.**
- **PDE is increase (no Poly)**

Cons:

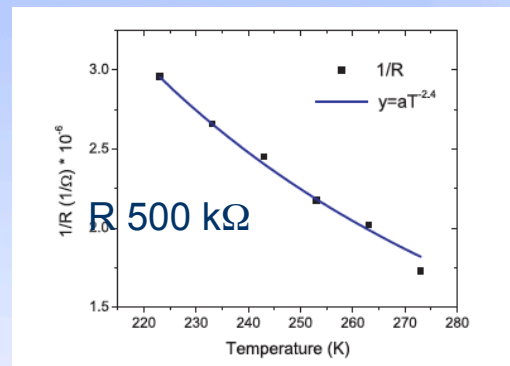
- **Longer Recovery time 1.5 μs**
- **Cooling mandatory for this prototype**

DC 10 MH/mm<sup>2</sup> V=4V @ T=20°C

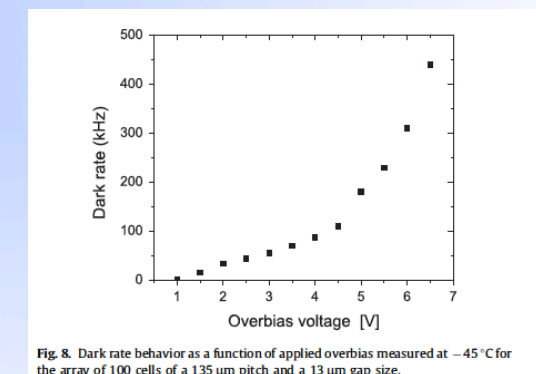
A new name ☺

SiPMI

Silicon Multipixel light detector



Bulk Doping Concentration  
mean=2.8 10<sup>+12</sup> cm



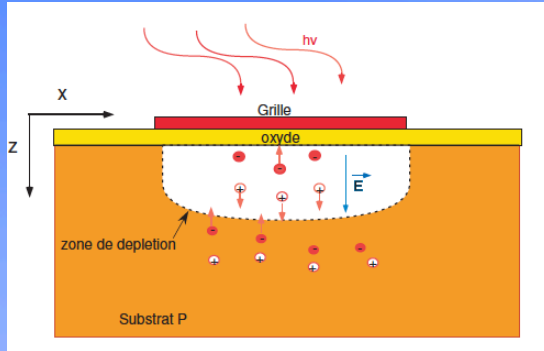
DC -45°C

Still there is a significant room for further improvements:

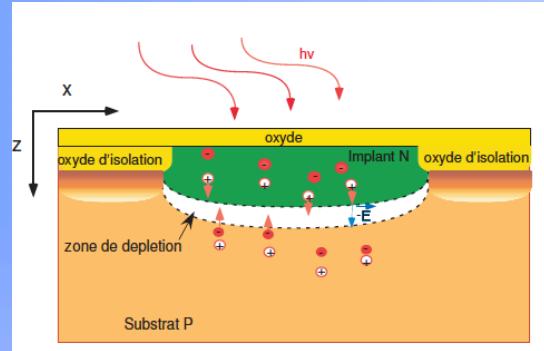
- ✓ HIGHER PHOTON DETECTION EFFICIENCY
- ✓ RADIATION TOLERANCE (HEP)
- ✓ REDUCE COST FOR LARGE DETECTION SURFACE EXPERIMENT
- ✓ DARK COUNT RATE
- ✓ ...

Basic: CCD: Charge-Coupled Device

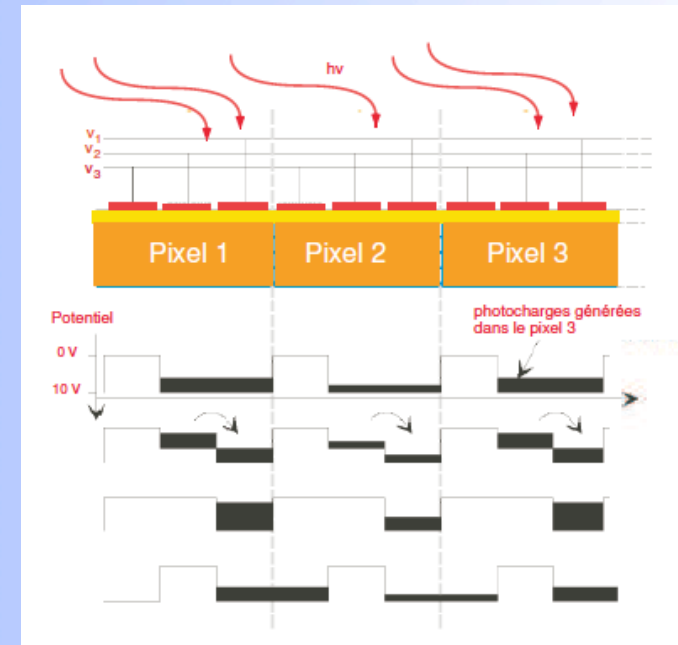
3 phases: R1 R2 R3



MOS capacitance

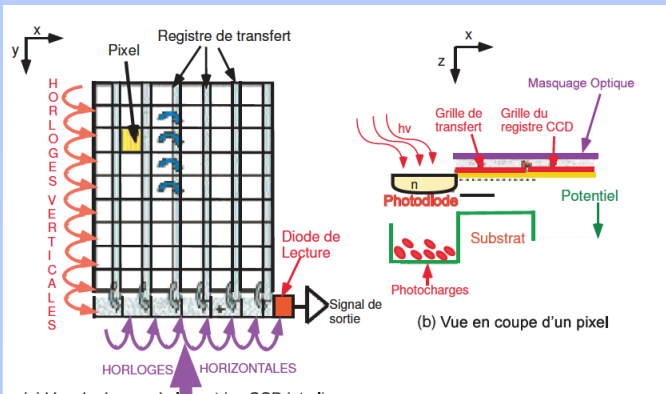


Photodiode P/N junction

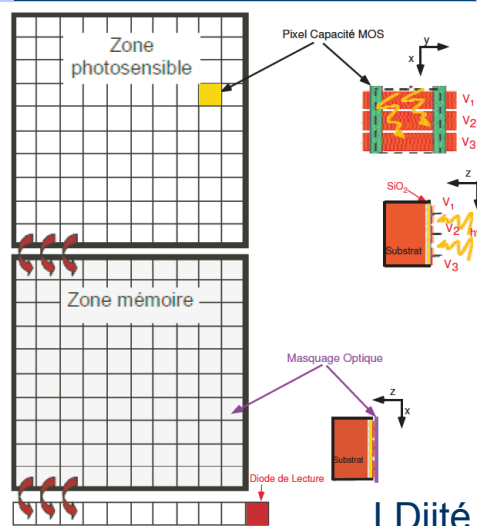


Different readout strategies

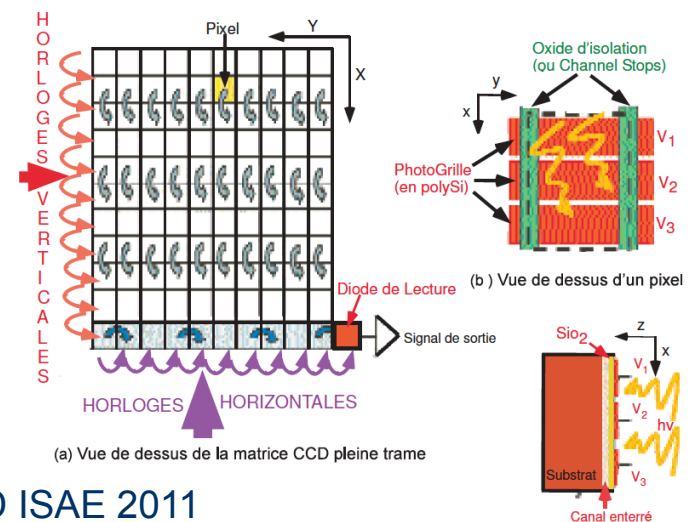
Interline CCD



Frame Transfer CCD



Full Frame CCD



I Djité PhD ISAE 2011

# Electron Multiplying CCD L3V E2V is sensitive to 1 photon

Active Pixels	512 x 512
Pixel Size (W x H; $\mu\text{m}$ )	16 x 16
Image Area (mm)	8.2 x 8.2



ANDOR Tech.

$$G = (1 + P)^{512} = 1000$$

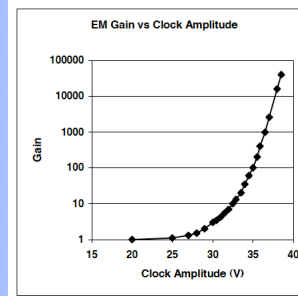
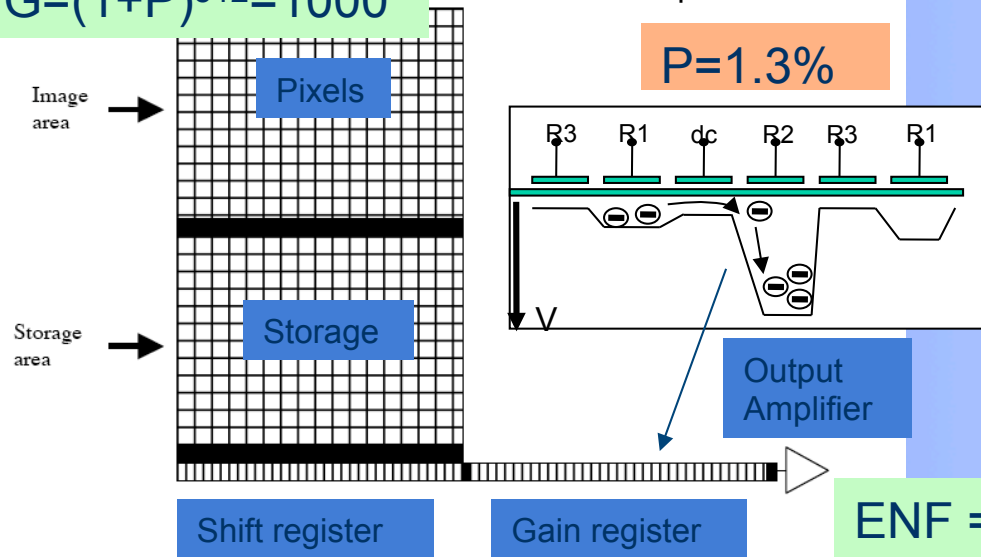
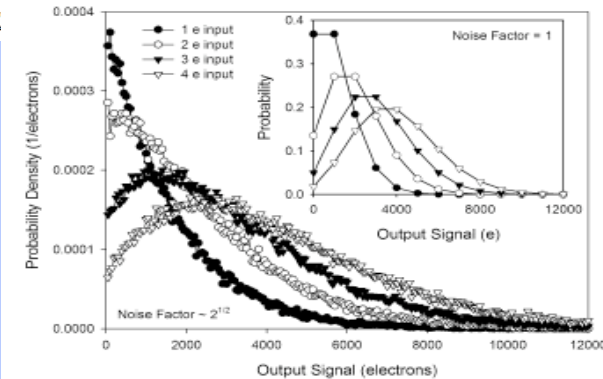


Figure 3. Clock ampli de electro

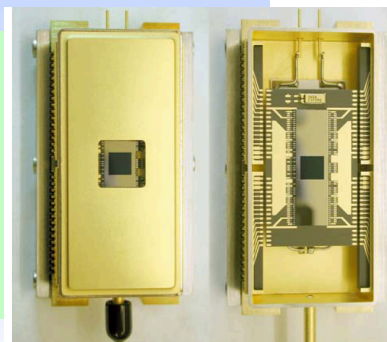


Statistical fluctuation due to multiplication in the gain register !!

$G = 1$  to  $1000$  ( $V_{EM\_Gain} = 35-45$  V)  
Readout Noise  $\sim 40$  e- @ 10 MHz  
**Effective Readout noise**  
 $40/400 \sim 0.1$  e  
**PDE  $\sim 40\%$  in Photon counting mode**

The L3Vision CCD220 with its OCam test camera for AO applications in Europe

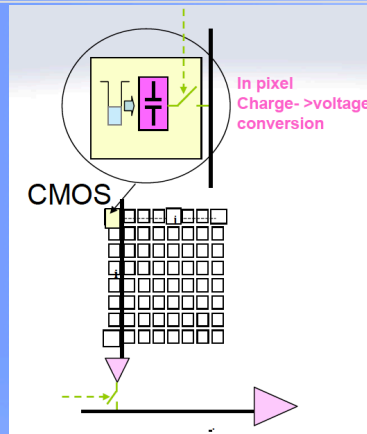
Clock Induced Charge (CIC) effect:  
Spurious Noise = 6 x DC(EBCMOS)  
 $G = \times 1000$  @  $T = -85^\circ\text{C}$   
Clock Induced Charge = 0.5 % events/pix/frame  
For 30 ms integration time  $\rightarrow$  fake rate = 647 Hz/mm<sup>2</sup>



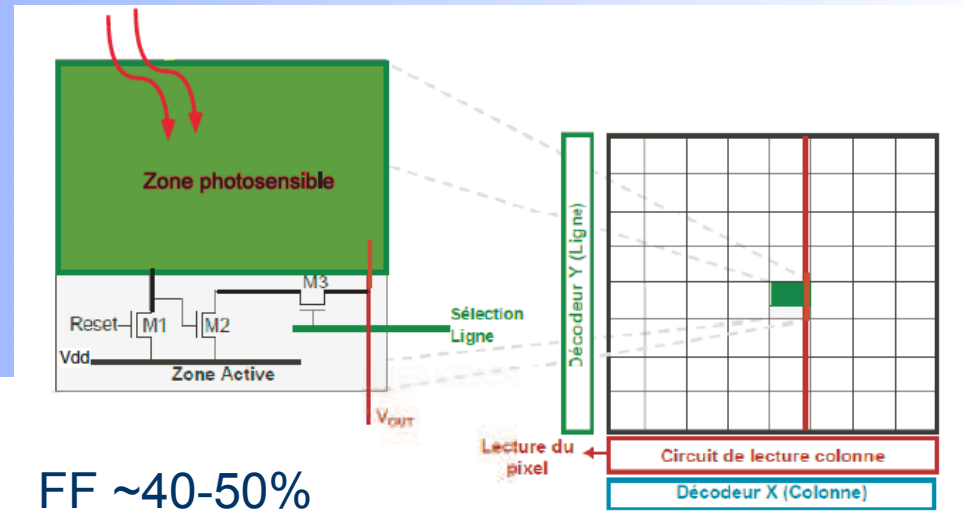
**EMCCD OCam for adaptive optics**  
**CCD 220 e2V**  
BI CCD 240x240 pixels  
1.5 kHz frame rate  
LAM CNRS J. Gach



- Basic: Pixel architecture
- APS : Active Pixel Sensor
- 3T 4T ....

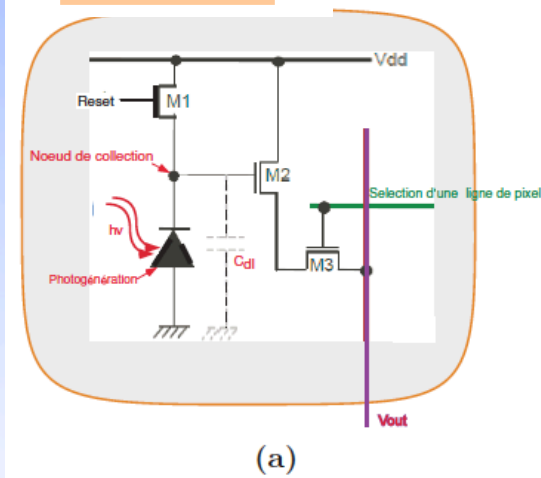
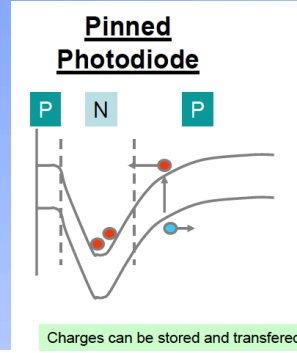
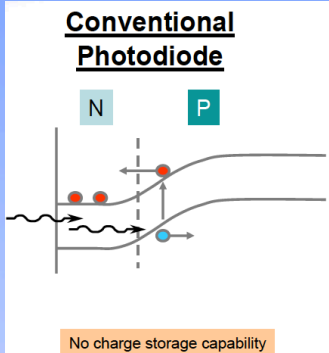


### CMOS principle

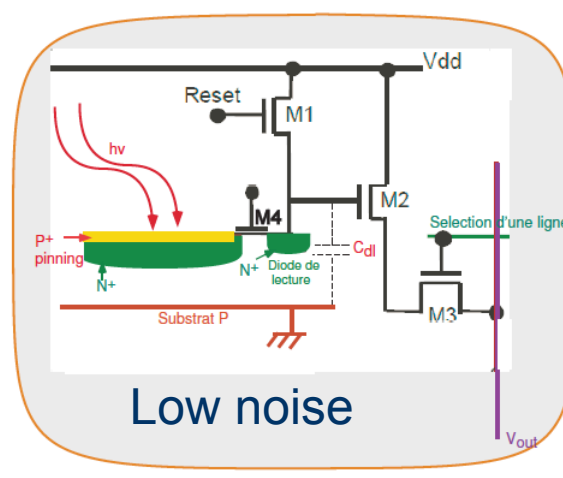


FF ~40-50%

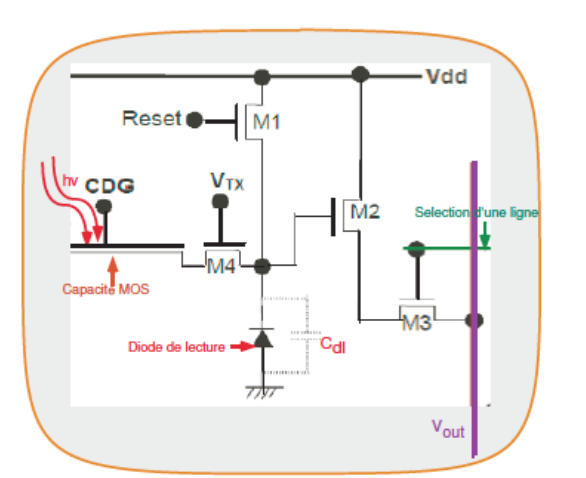
Microlens array can be used



3T Pixel Photodiode



Pinned photodiode



photoMOS

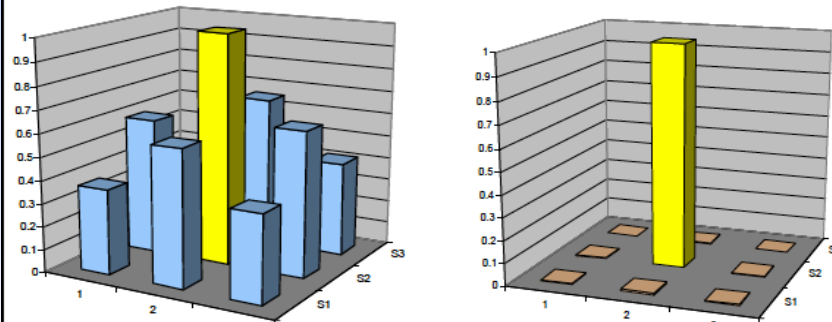
## CMOS pixels array with 100% FF

- Backside thinning
- post processing Boron implant
- Laser annealing
- < 100 nm dead layer

P. De Moor IMEC

- Trenches along pixel boundaries

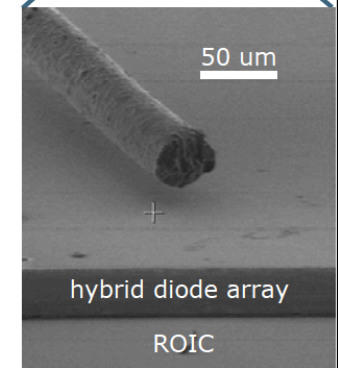
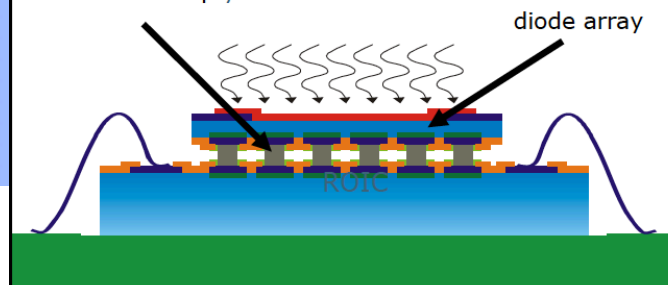
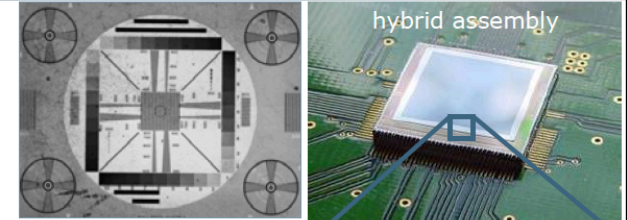
zero cross-talk



## Detector systems: Backside illuminated CMOS imager

- Specifications:

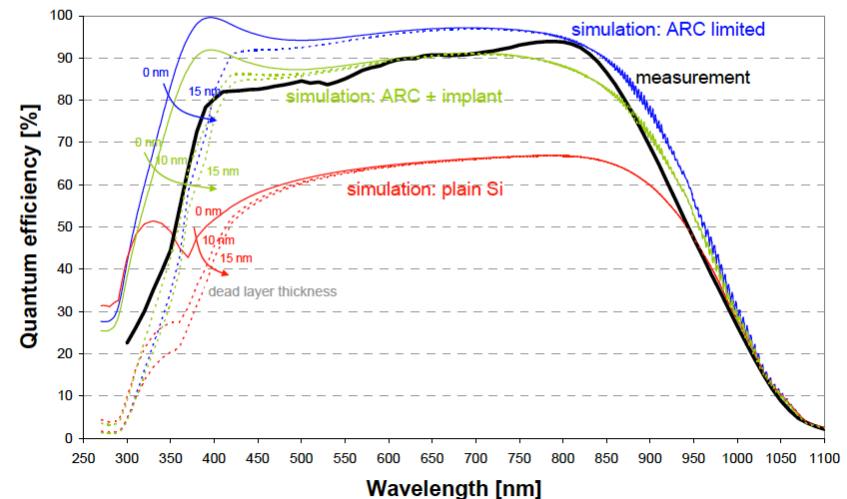
- 22.5  $\mu\text{m}$  pitch
- 1 - 4 Mpixel
- thinned down to +/- 35  $\mu\text{m}$
- In bump yield ~ 99.95 %

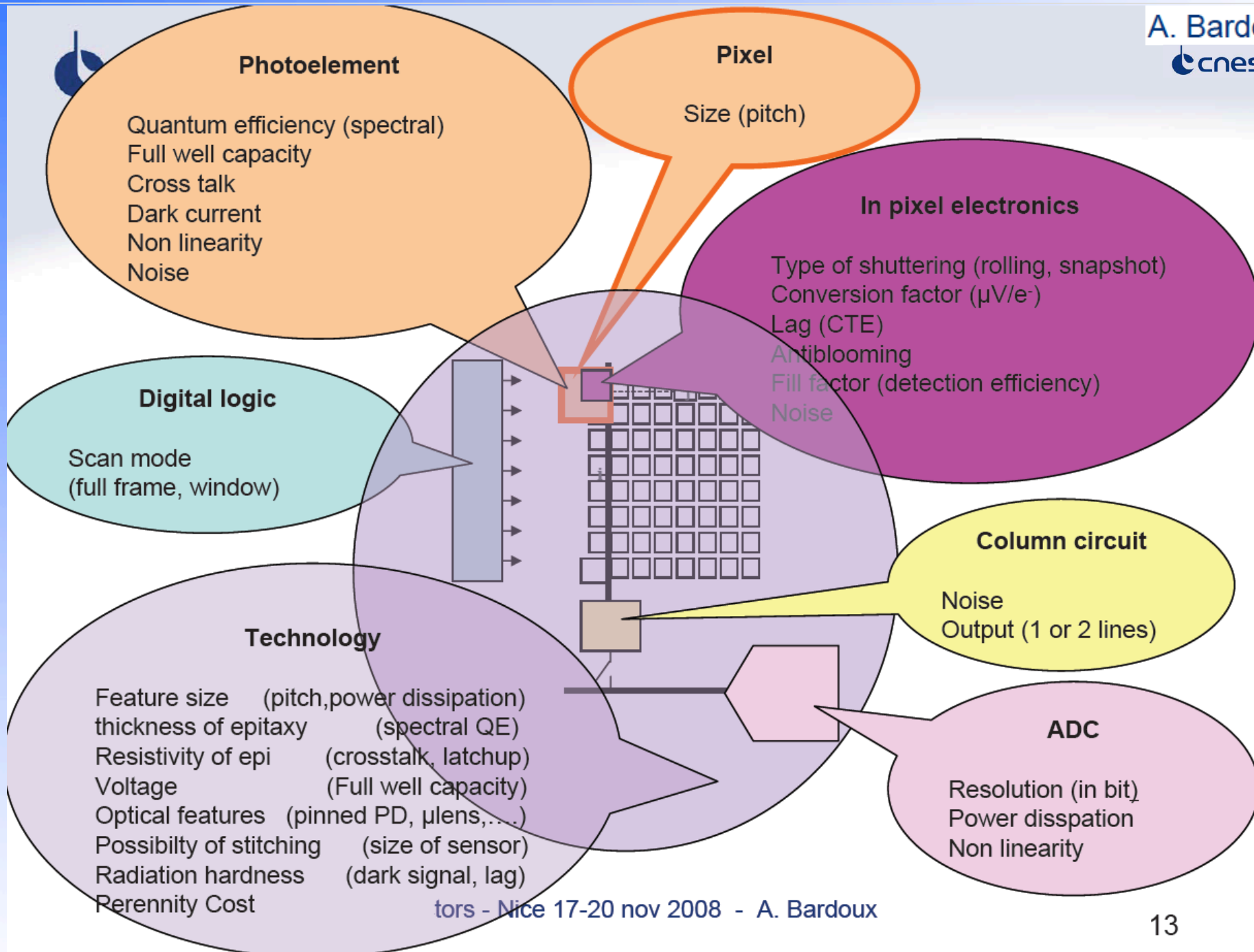


K. De Munck et al., IEDM 2006

## Backside illuminated CMOS imager

- Excellent QE due to ARC and very shallow backside passivation:
- > 80 % from 400 - 850 nm wavelength



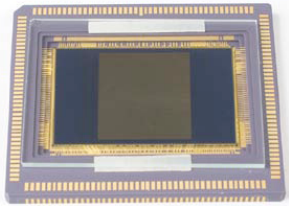


tors - Nice 17-20 nov 2008 - A. Bardoux

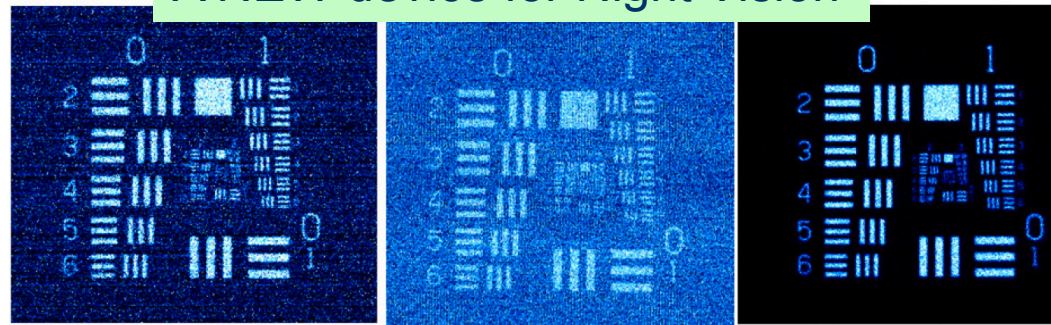
A NEW device for Night Vision

Scientific CMOS

- Chip: Fairchild Imaging
- < 2e RMS Readout Noise
- Camera: Neo ANDOR Tech.



1300x1024  
220 fps



sCMOS (1.5 e<sup>-</sup> noise)

Interline CCD (5 e<sup>-</sup> noise)

Back-illuminated EMCCD (<1 e<sup>-</sup> noise)

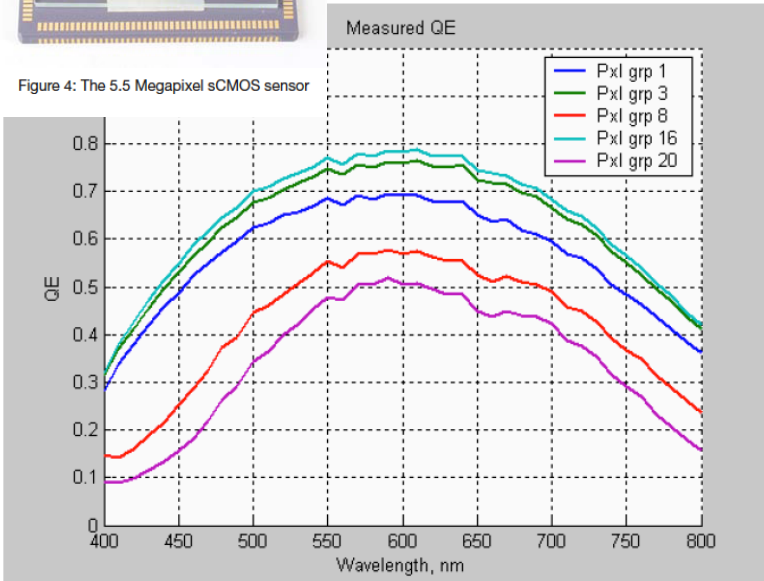


Figure 4: The 5.5 Megapixel sCMOS sensor

2.3. Pixel design

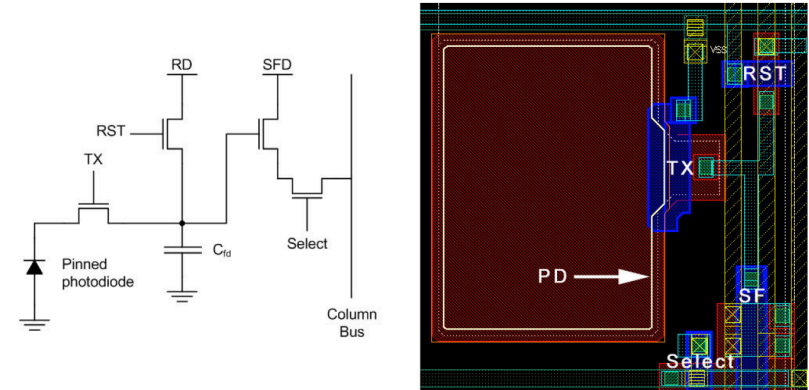


Figure 5. Simplified schematic of a 4T pixel and a sample pixel layout

Figure 12. Measured quantum efficiency of 5 pixel groups (with microlens)  
Paul Vu, Boyd Fowler, Chiao Liu, Janusz Balicki, Steve Mims, Hung Do, and Dan Laxson  
Fairchild Imaging  
1801 McCarthy Blvd., Milpitas, CA 95035, USA

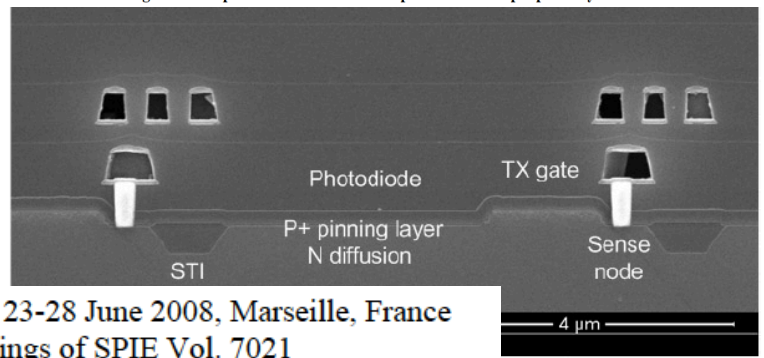


Figure 6. SEM cross-section of Fairchild Imaging 6.5 μm 4T pixel

PRE-PRINT: SPIE Astronomical Telescopes and Instrumentation, 23-28 June 2008, Marseille, France  
Paper #7021-2 to be published in Proceedings of SPIE Vol. 7021

## 3D electronics: Through Silicon Via, Wafer Level Package

Wafer stacks with TSV open a new area for photon detectors:

- Increase FF
- Large area
- Fast (1 TDC/pixel)
- Resolution (pixel)
- Smart Trigger per pixel
- Dynamic (buffer memory)
- Compact
- Embedded
- Sparsification
- Data rate 100 Gbit.s in //
- ...

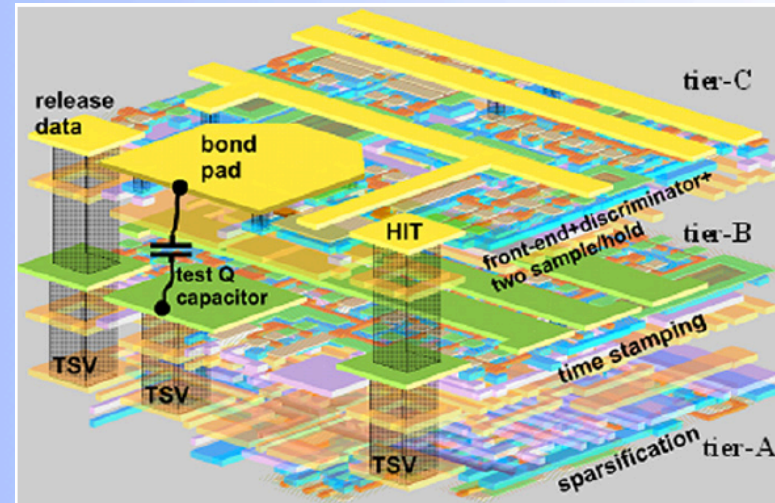
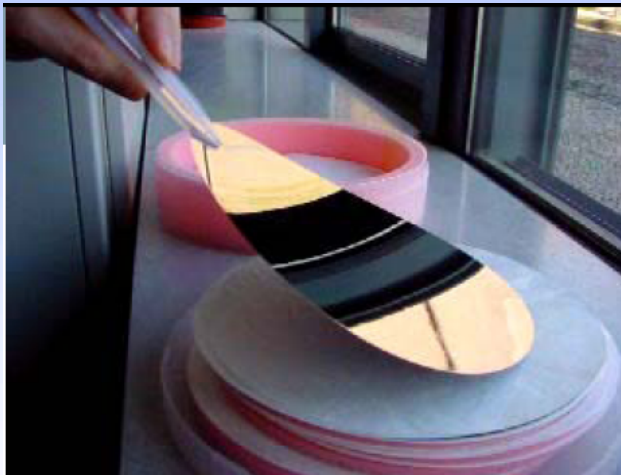


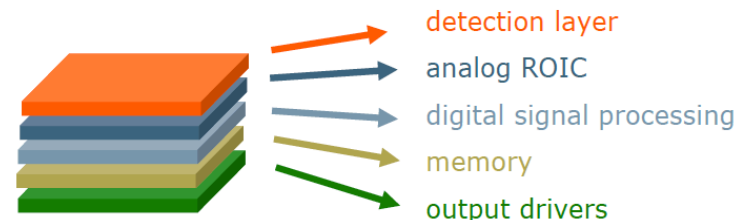
Fig. 11. 3D circuit diagram and 3D layout of pixel cell.

D. Bortoletto *Solid state detectors NIMA 623 (2010) 35*

P. De Moor IMEC

### Conclusions & outlook II

- 3D integration technology will allow manufacturing of advanced detection systems:
  - **complex** imaging detectors using high density 3D interconnects ( $\geq 1$  per pixel) between different intelligent layers:



Thank you for your attention

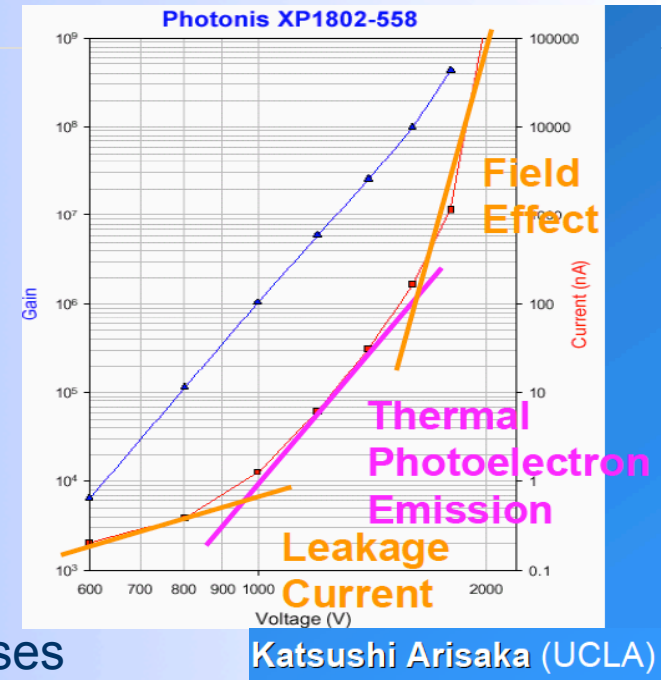
# BACKUP SLIDES

■ Photocathode Dark Noise

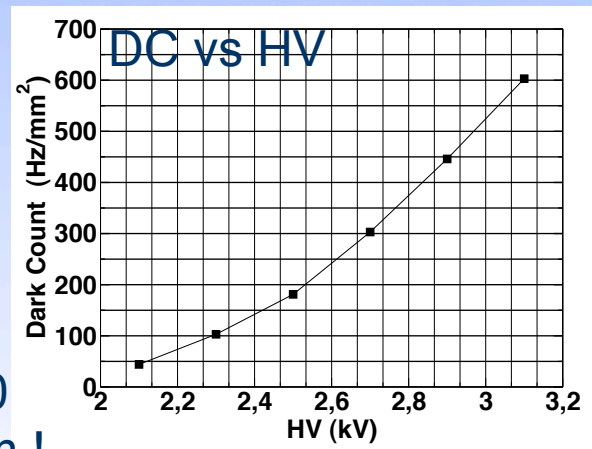
- Dark current (nA)
- Dark count (Hz/mm<sup>2</sup>) (photon counting)

Main effects:

- Leakage current
- Thermionic pe emission (cooling)
- Field effect (HPD)
- Ion feedback: ionization current from residual gases  
An atom is ionized and accelerated : photocathode bombardment  
- aging issue (thin film protection can be used for AsGa Intensifier)



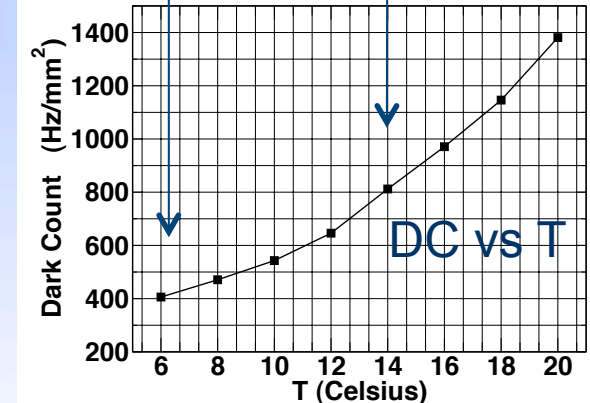
R. Barbier, et al., Nucl. Instr. and Meth. A (2011), doi:10.1016/j.nima.2011.04.018



ebCMOS  
Cathode S20  
E ~ 3 kV/mm !

Thermionic effect

Field effect





# Single-Photon Imaging Devices

```
graph TD; A[Single-Photon Imaging Devices] --> B[EBCMOS]; A --> C[EMCCD]
```

EBCMOS

EMCCD

- Pros:
  - High sensitivity : single photo-electron resolution, ENF~1.3
  - High counting capability
  - Larger sensitive surface than Solid State Devices: Cherenkov detectors
  - Good time resolution < 1ns
  
- Cons:
  - Sensitivity to magnetic field (HEP experiments)
  - Low granularity
  - “Low” QE
  - Need of High Voltage

- Pros:
  - Sensitive to Single Photon
  - Very Fast (Time Of Flight)
  - Gating is possible on the MCP (or modulation 300V)
  - Good spatial resolution in case of ICCD
  
- Cons:
  - Limited life time – ion feedback
  - Limited rate capability
  - HV

- Detect Single Photon with low Dark Count Rate
- Localize the Photon on the sensor
- Localize the Photon Source
- Quantify the Source intensity, count photons
- Track the photon source
- Track all photon sources at less than 1 ms over Megapixels array

# Single-photon sensitive fast ebCMOS camera system for multiple-target tracking of single fluorophores : application to nano-biophotonics.

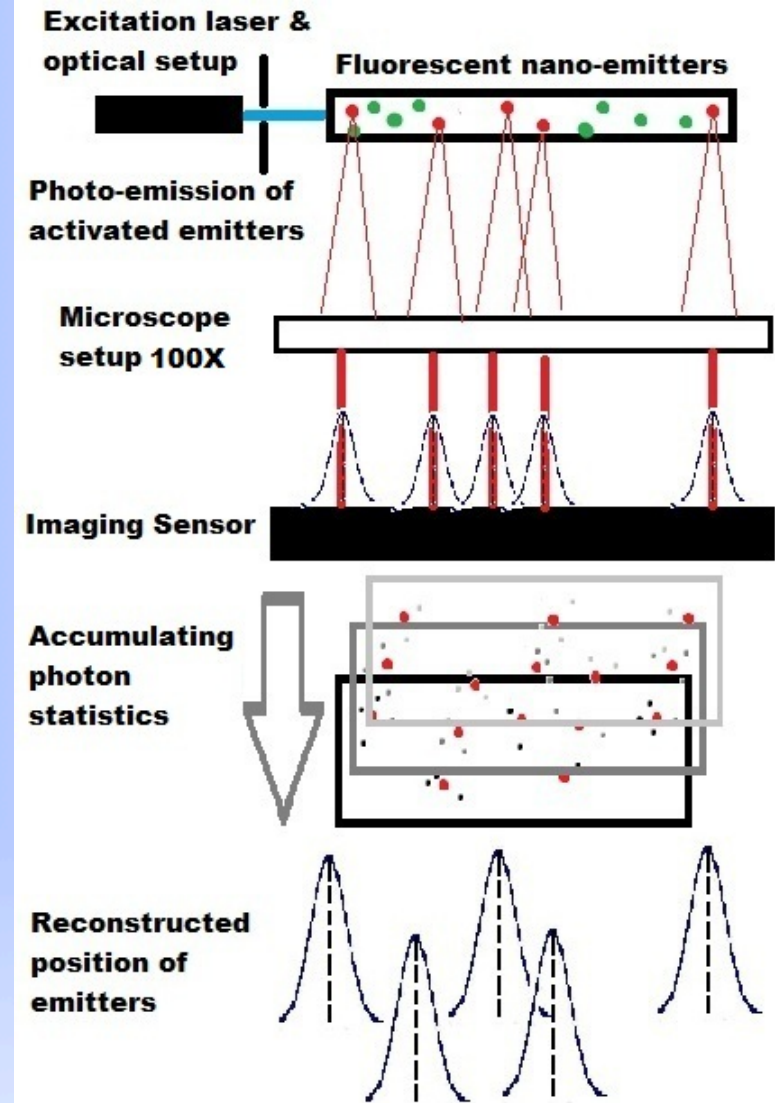
Thomas Cajgfinger, Eric Chabanat, Agnes Dominjon, Quang T. Doan, Cyrille Guerin, Julien Houles, Remi Barbier.

IPNL, Université de Lyon, Université Lyon 1, CNRS/IN2P3,  
4 rue E. Fermi – 69622 Villeurbanne cedex, France  
[www.ipnl.in2p3.fr/ebcmos/](http://www.ipnl.in2p3.fr/ebcmos/)

27/01/2011

Thomas Cajgfinger IS&T/SPIE 2011 San Francisco Paper 7875-24

- Fluorescence microscopy : 2D imaging system
  - Population of nanometer scale single emitters:
    - Static or dynamic fluorescent beads (protein, Quantum Dots...)
    - Phototoxicity->low signal(~photons/ms)
  - Below objective diffraction limit resolution
- Wish List of imaging sensors:
  - 10 nm resolution on position of targets (=μm on sensor)
  - Fast frame rate ~ kHz
  - Photon counting ~ 1 - 10
  - Multi target tracking ~ 1000



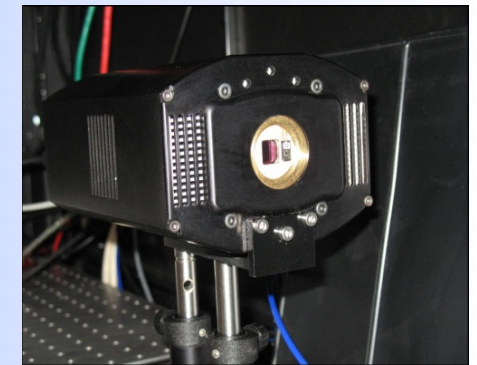
Does that type of camera exist ?

**Single photon sensitive detector:**

- Hybrid detector : electro bombarded CMOS
  - CMOS + photocathode + vacuum tube
- Gain = accelerated e- by electric field in vacuum tube
- Point spread function(psf) :
  - Tube: radial velocity of emitted e-
  - CMOS : thermal diffusion



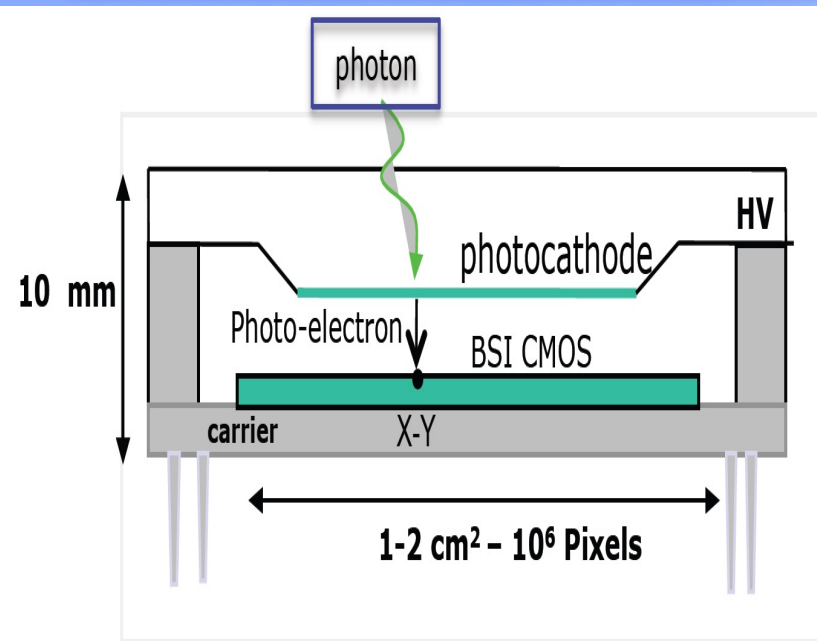
ebCMOS detector



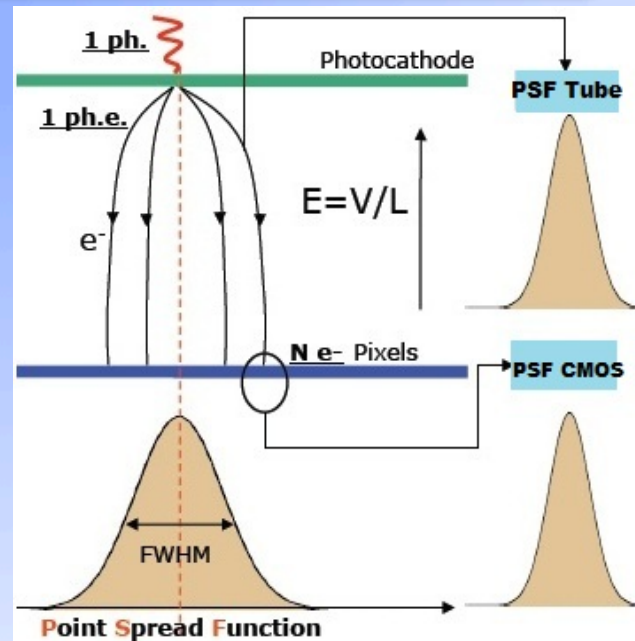
ebCMOS Camera



ebCMOS DAQ



ebCMOS Principle



ebCMOS PSF

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## Quick overview of the ebCMOS camera system prototype

### CMOS

- Back side bombarded
- Back thinned
- Passivation (dead layer) <80nm
- 400x400 pixels X2
- simple 3T pixel
- 10  $\mu\text{m}$  pitch
- 40 MHz clock



Fast frame rate

### Photo Cathode

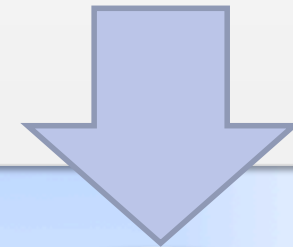
- Gain at 2.5 kV : 300e-
- Quantum Efficiency (530nm) : 25% max
- Cathode S20 : dark count : 15Hz/mm<sup>2</sup> (6.25 10<sup>-6</sup> evt/pix/frame)
- Cathode S25: dark count : 400Hz/mm<sup>2</sup> (1.66 10<sup>-4</sup> evt/pix/frame)



Single photon sensitivity

### Home made DAQ

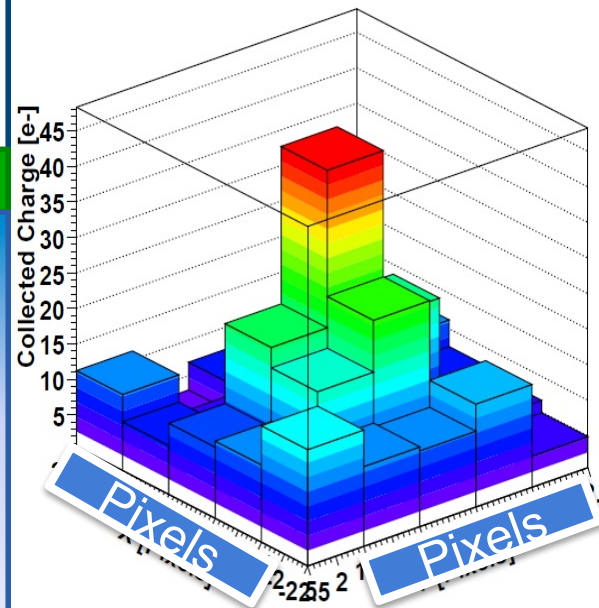
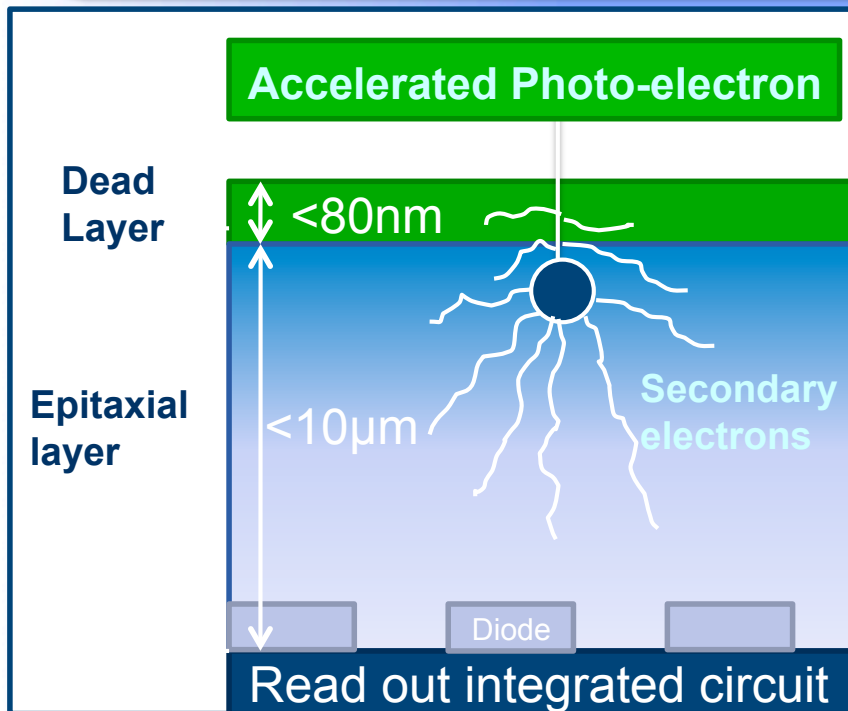
- Continuous acquisition
- Frame rates: 125, 250 & 500 fps
- FPGA DDR custom board
- Ethernet 1 Gb/s
  - Next 10 Gb/s



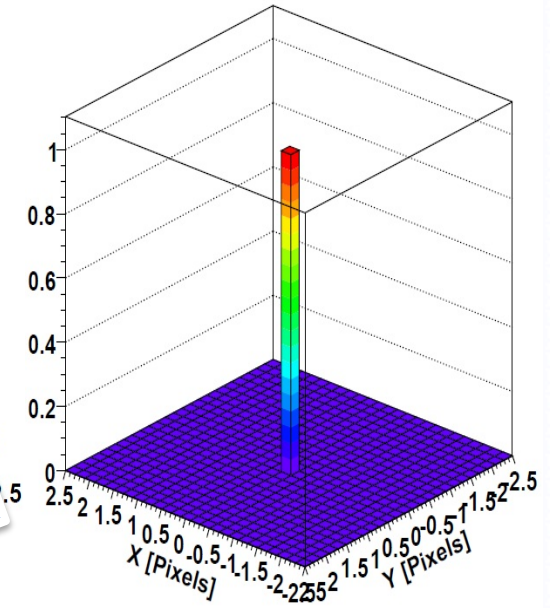
High data throughput



- Secondary e- diffusion & charge sharing
  - Impact pattern
    - Photo-electron reconstruction by clustering
- Computation of centre of gravity (COG) -> intra pixel localization
- Natural CMOS noise filtering
- Counting possibilities with gain linearity



Photoelectron Event

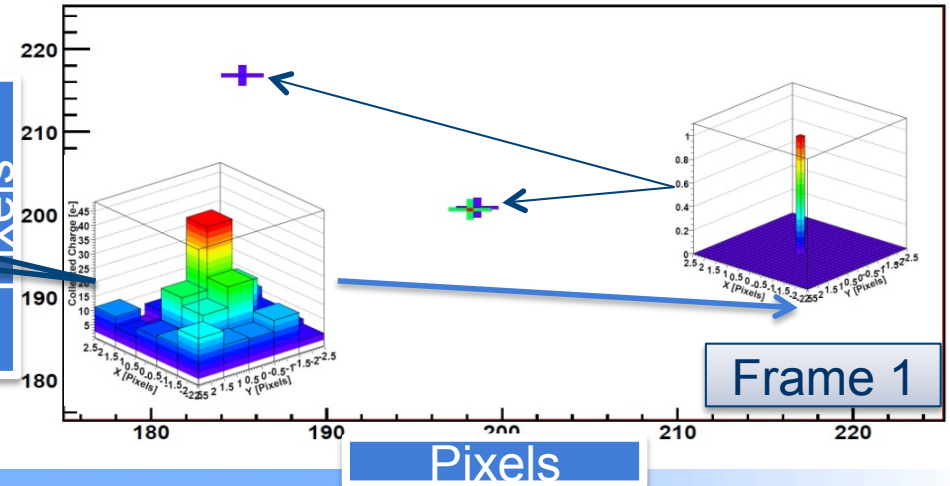
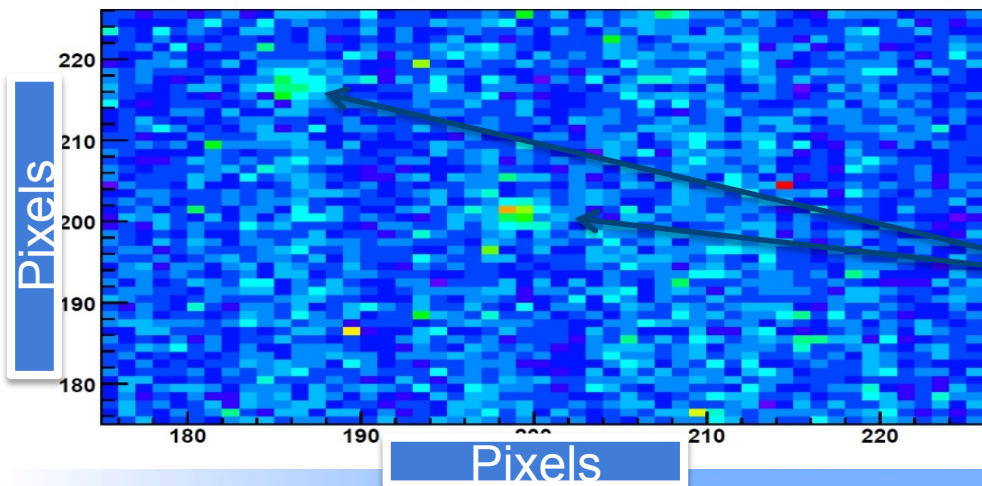


COG reconstruction  
Resolution ~ 2 µm

Diffusion of secondary e- in the CMOS

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# Using building blocks to follow a target



Zoom in a raw frame

Zoom in a reconstructed frame

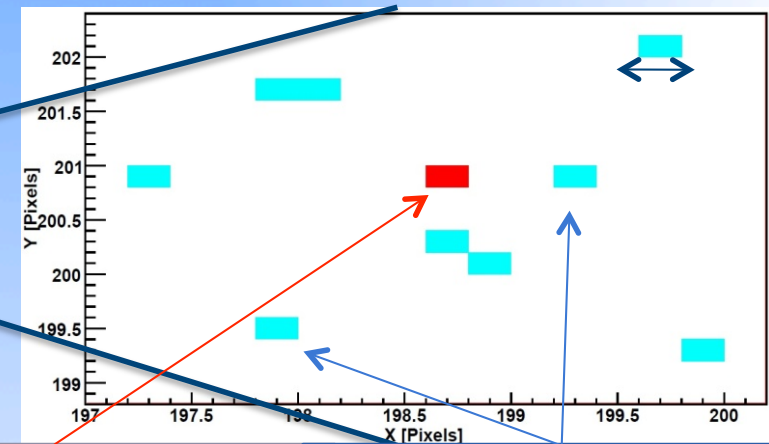
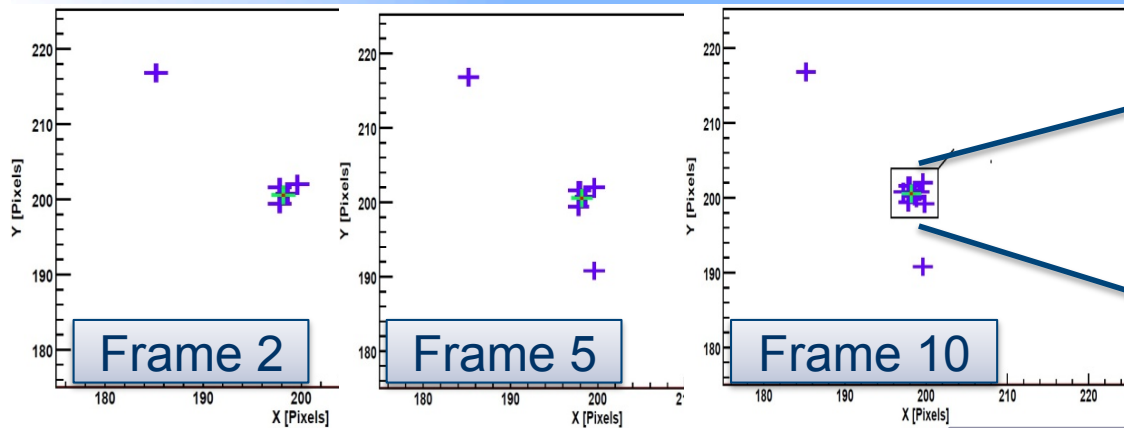


Image stack & Kalman filtering

Reconstructed Target State vector

Photoelectron Measurement vector

Zoom (~4x4pixels)

What resolution can be achieved with this method ?

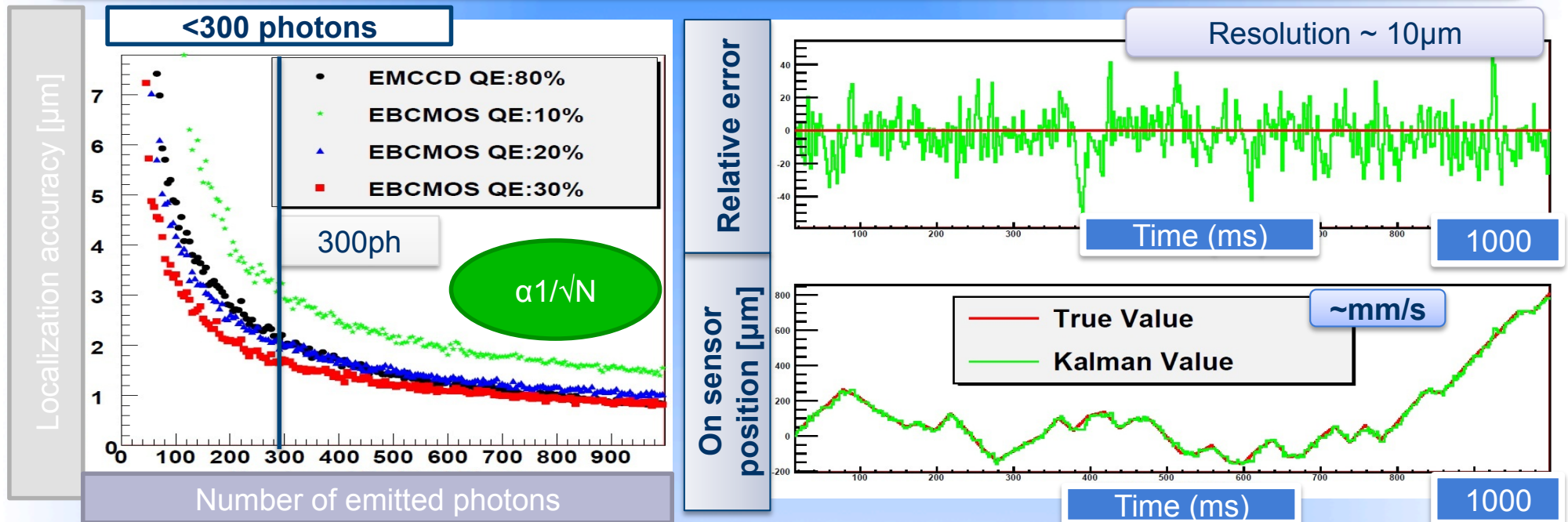
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## Key factor: emitter localization accuracy

### Monte Carlo Simulation:

- Localization accuracy of a spot
- Given conditions
- Static case

- Tracking of a single emitter
- Mean signal : 5 photons/2ms
- Velocity on sensor
  - Up to 5 mm/s (10 pixels/20ms)



- ✓ Comparable resolution EMCCD/ebCMOS QE 20% with faster frame rate
- ✓ Adaptive tool (signal, background, motion...)
- ✓ Promising results

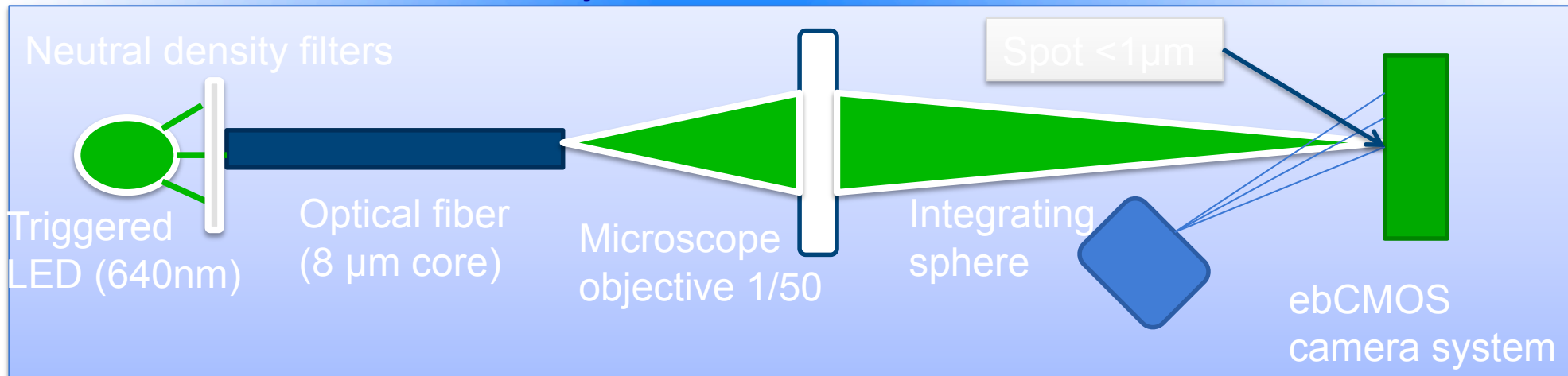
27/01/201

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75

1

**Test bed : spot <math><1\mu\text{m}</math>  
Localization accuracy measurement**

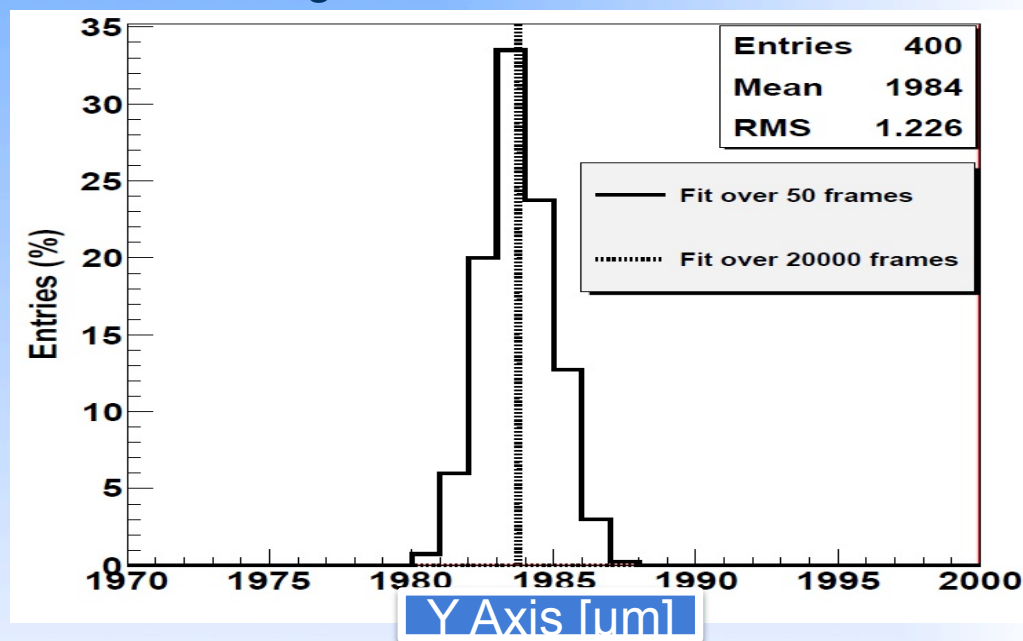


Sketch : focusing a spot ( $\text{Ø} < 1\mu\text{m}$ ) with various background noise conditions

➤ 1.56 photon/2ms mean received signal

➤ Example :

- 50 frames period
- 400 measured positions
- Compared to statistical true position (20 000 frames)
- ~78 photons resolution: 1.2 $\mu\text{m}$



ebCMOS resolution in the noise free case

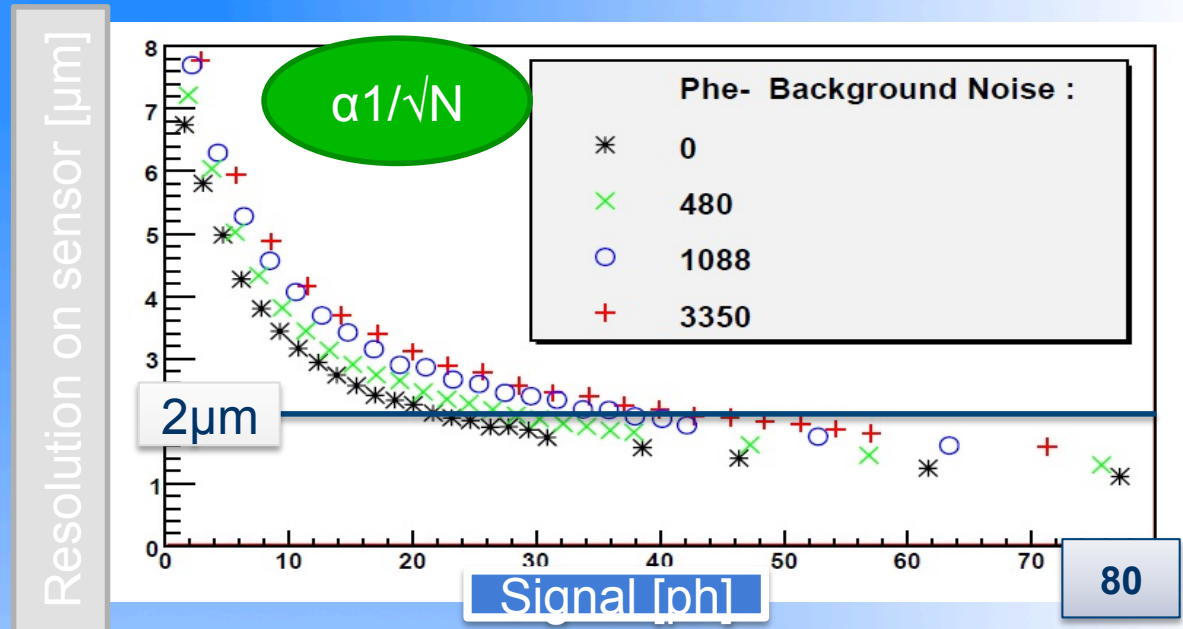
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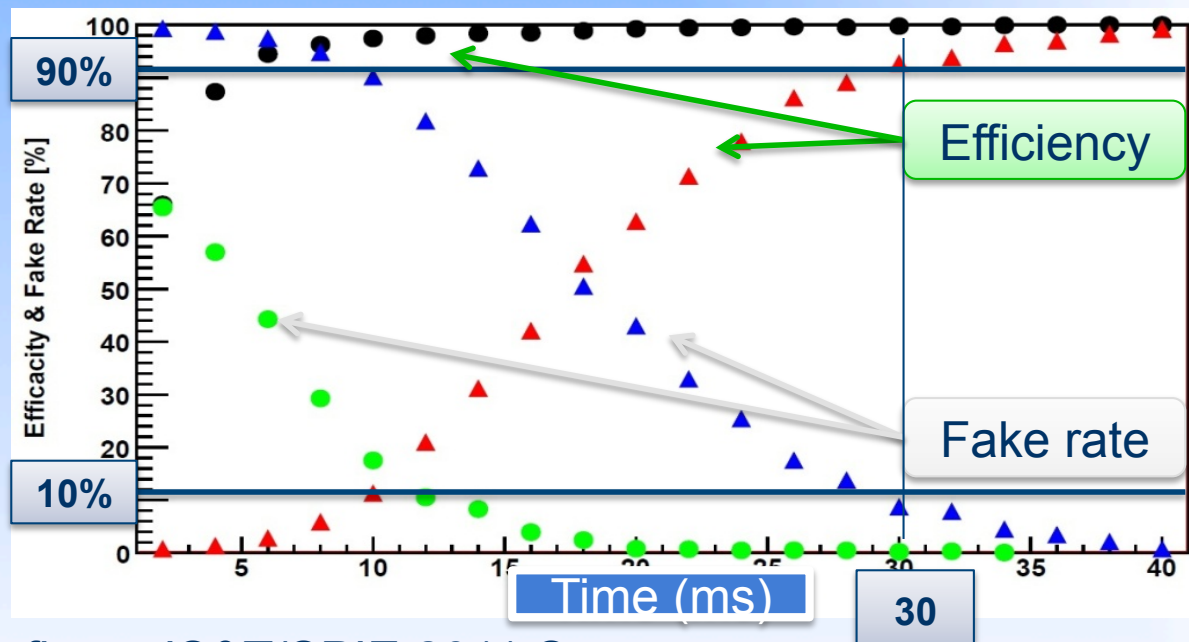
76

## Finding & locating targets

- Resolution vs. signal for 4 settings
- Spot  $\sim 1.56 \text{ ph}/2\text{ms}$



- ✓ **Localization accuracy:**
  - ✓  $< 3 \mu\text{m}$  after 30 photons
  - ✓  $< 2 \mu\text{m}$  in noise free case
- ✓ **Finding targets:**
  - ✓ Fake rate  $< 10\%$  & efficiency  $> 90\%$  after 30ms



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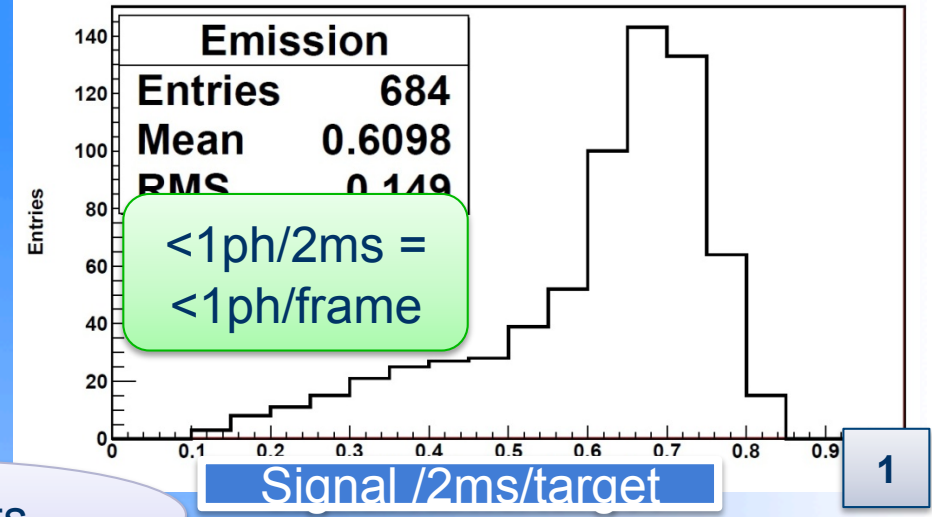
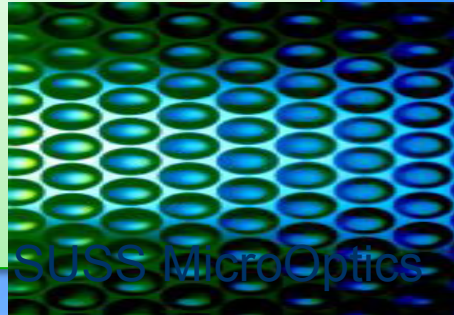
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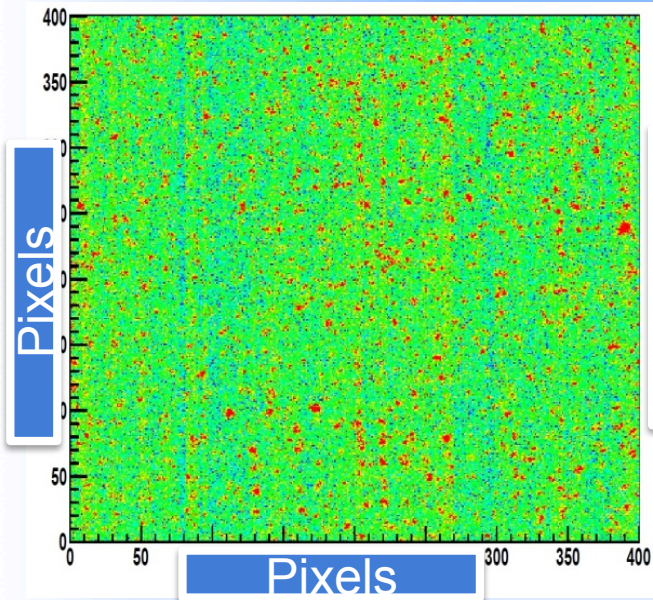
Multi target tracking :  
684 microlenses spots

- Microlenses array illuminated by collimated optical fiber
- **150 μm pitch**
- Detected signal :
  - 0.1 - 0.8 ph/2ms

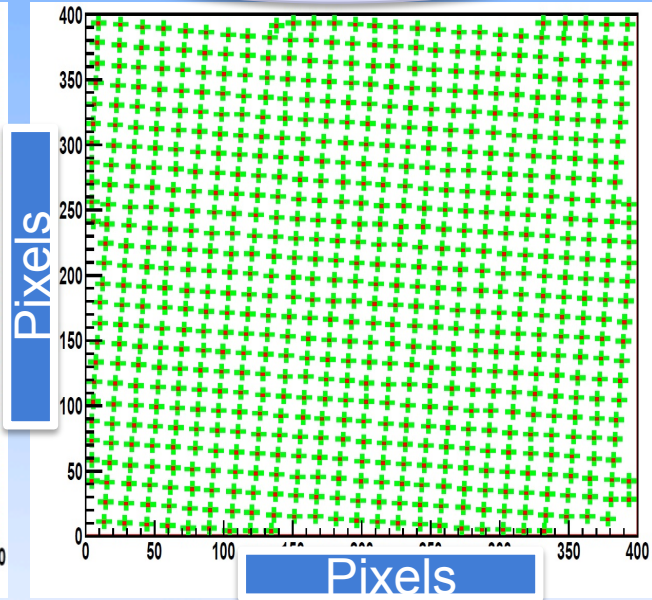


684 spots

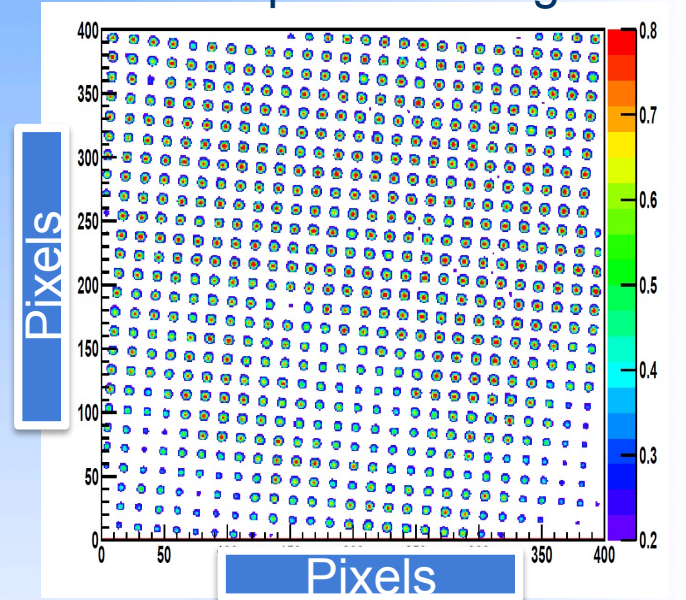
Signal / 2ms / target  
Microlenses Spot Mean Signal



One raw frame



Targets after ~ 20 frames

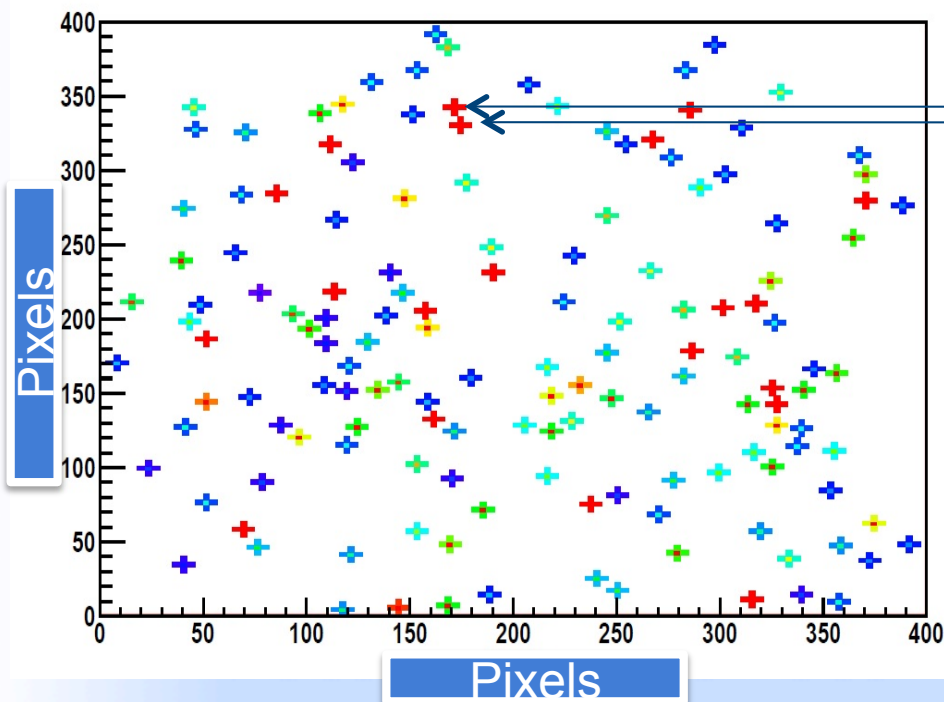


Average signal (3500 frames)

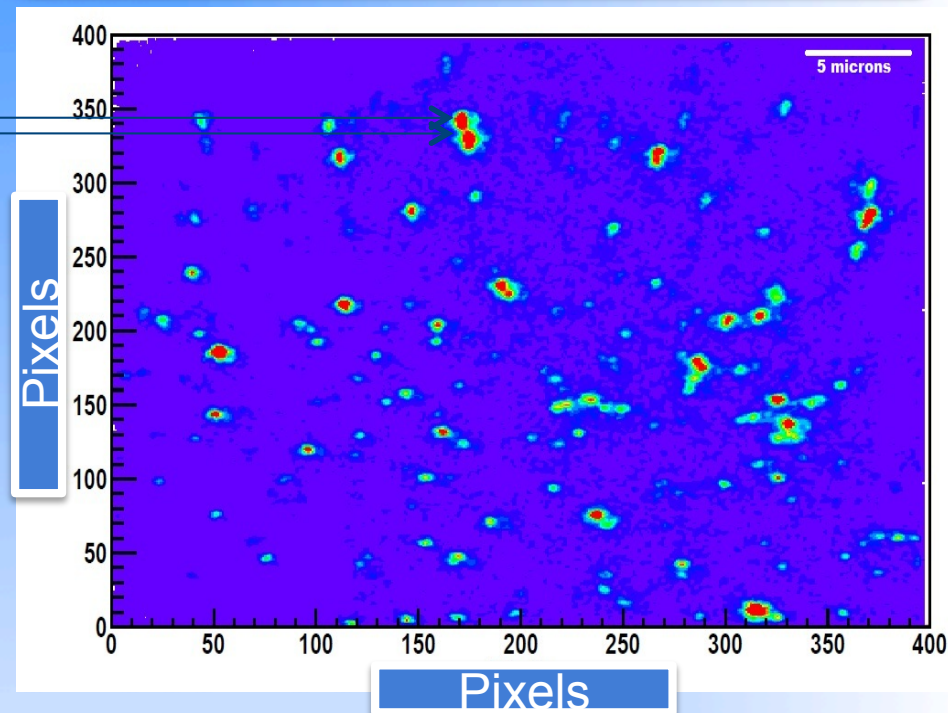
## Imaging in situ data: Quantum Dots

- Setup at Nanoptec Center in Lyon:
  - Spin-coated QDs
  - Wide field microscopy setup
  - Magnification: 100X

- Quantum Dots ID:
  - Emission wavelength: 605 nm (Invitrogen)
  - Excitation wavelength: 473 nm
  - QD size: 10-20 nm



Detected targets after ~ 20 frames



Average image (20 000 frames)

Parallel processing and data extraction

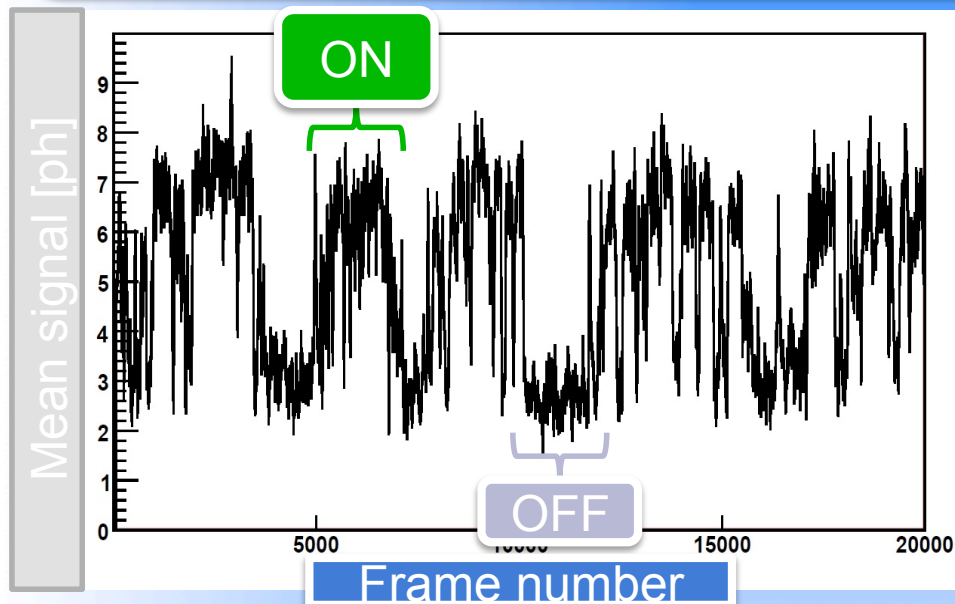
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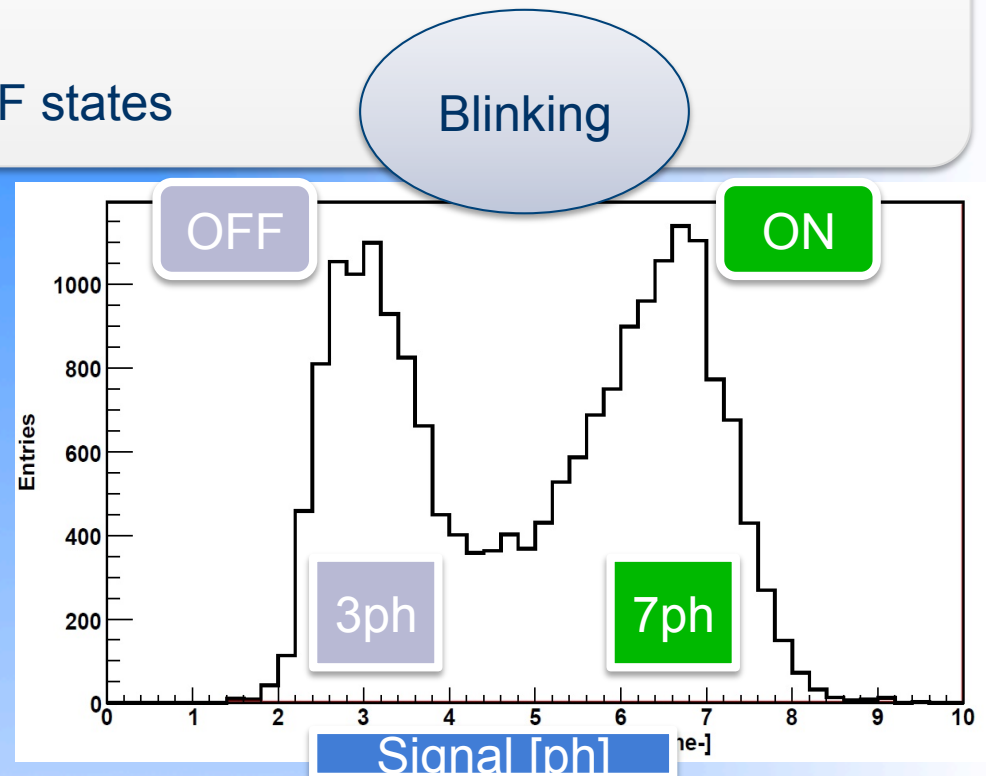
79

## Photon counting & temporal tracking

- Temporal signal tracking:
  - 30 frame average signal
  - Blinking Quantum Dots: ON and OFF states



Temporal Tracking of a Blinking QD



Signal Distribution of a Blinking QD

- ✓ Parallel tracking of 300 nano-photo-emitters :
  - ✓ Position (localization accuracy  $\sim \mu\text{m}$  on sensor)
  - ✓ Signal (photon counting)
  - ✓ High data throughput
  - ✓ No time limit

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Proof of concept and in situ testing of the tracking of a large number of nanoscale photo-emitters on a large field of view with micrometric resolution and single photon sensitivity at millisecond time scale using an ebCMOS camera and home made DAQ & HCI system.

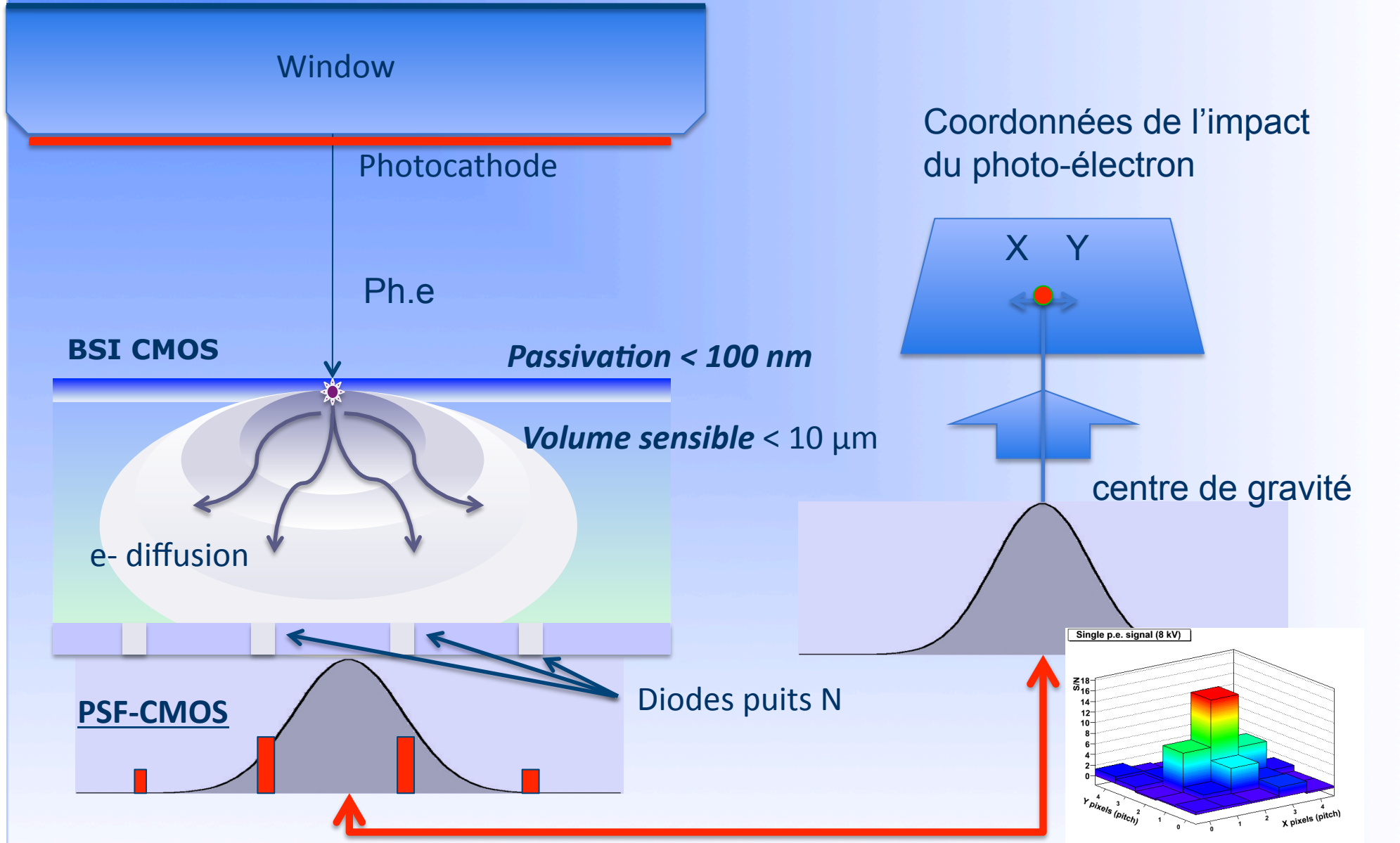
**Camera system improvements:**

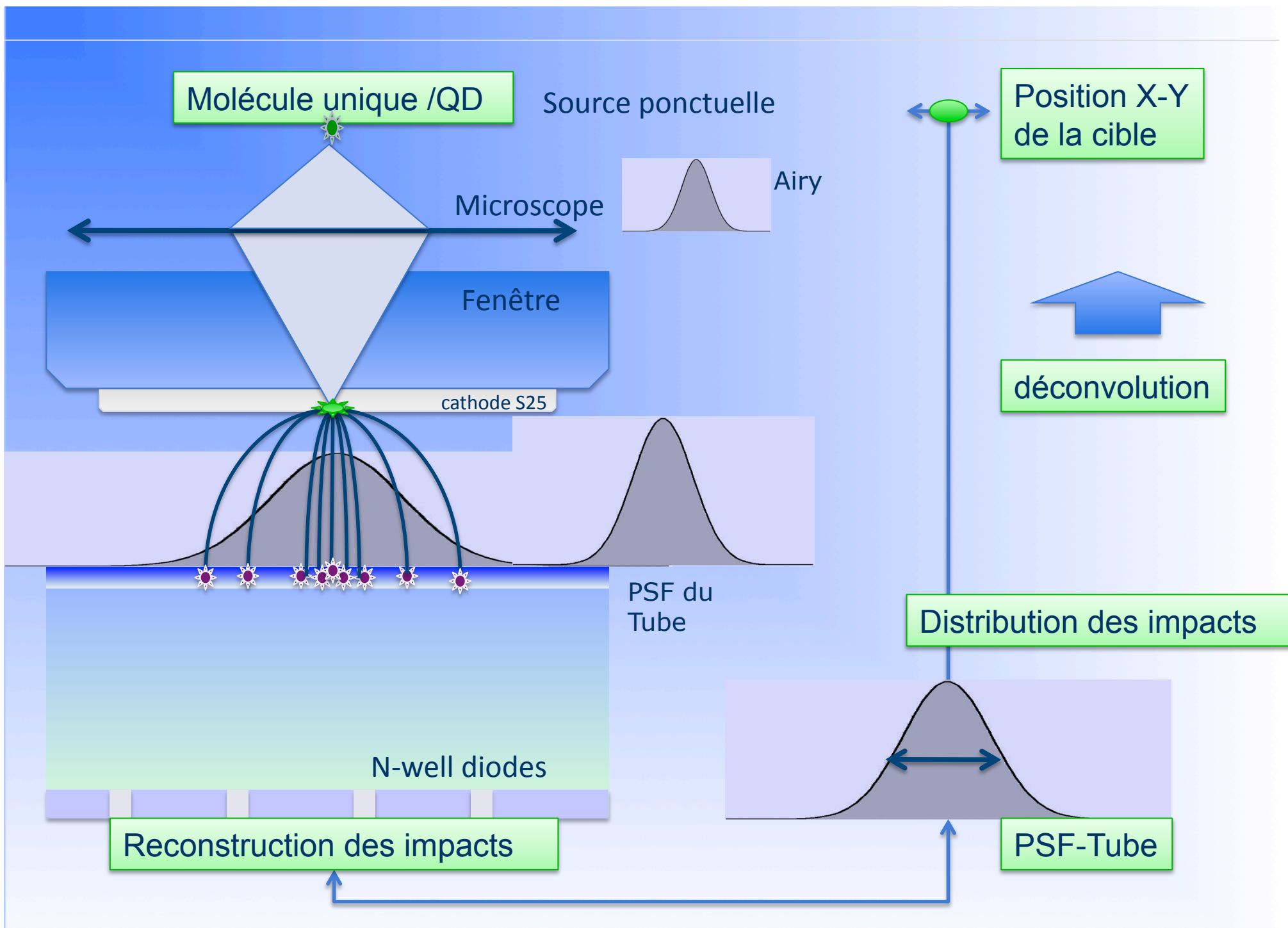
- ✓Larger and faster CMOS
- ✓Target tracking with parallel processing : FPGA & GPU computing
- ✓Real time implementation

**Applications:**

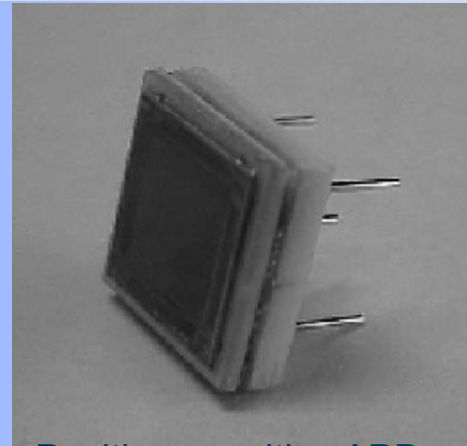
- Physical properties of nano-objects: mean square displacement, viscosity...
- Photo Activated Localization Microscopy (PALM)

- Localisation accuracy on spot position





- ✓ Many Sizes are available
- ✓ Many wavelength sensitivity
- ✓ APD Arrays
- ✓ APD in vacuum tubes: HAPD
- ✓ Compactness for full design of PET system (PET...)
- ✓ Need ASICs – temperature regulation



Position sensitive APD  
8x8mm<sup>2</sup>

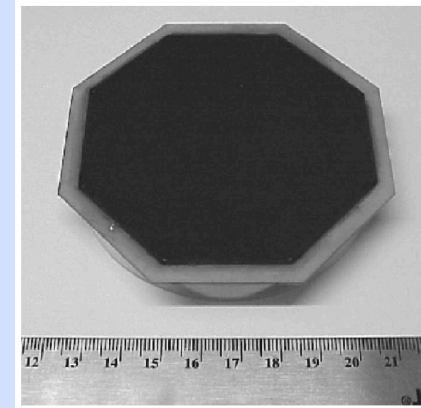


Fig. 2. A photograph of a 45 cm<sup>2</sup> APD.

Large APD  
45 cm<sup>2</sup>

M. McClish et al. NIM A567 (2006) 36



# Synthesis on pixels

	techno	fill factor	QE	charge to voltage gain	noise	linearity	lag	charge capacity	anti bloom	snapshot CDS	snapshot IWR
3T	+	+	-	-	-	-	+	=	yes	no	no
3T pinned	=	+	+	+	+	+	+	-	no	no	no
5 T pinned	=	=	+	+	+	+	-	-	yes	no	yes
7 T pinned	=	--	+	+	+	+	-	-	yes	yes	yes
6 T photogate	+	-	--	+	+	+	-	+	yes	no	yes
6T photogate thinned	-	-	++	+	+	+	-	+	yes	no	yes
hybrid	--	++	++	+	+	+	+	+	yes	yes	yes

Note : +, =, and - are relatives in one column

- The performances of a photodetector should be systematically discussed with the background question: what did I want to see ?
- First: fill your checklist and choose your hierarchy of priorities:
  - Counting ? Linearity ? Single Photon sensitivity ?
  - Localize ? Imaging ? Large Field of View ? Detection surface ?
  - dynamic range ?
  - time stamping ? dead time ?
  - ...
- This is why presenting a tutorial on many different photodetector performances is in some sense bizarre... but ... not completely stupid ...
- Sometimes different possibilities of detectors are offered and chose the “best” for the application is not obvious.
- The detection technologies (Semiconductor mainly) evolve rapidly. It could happen that two technologies merge to open new application fields.