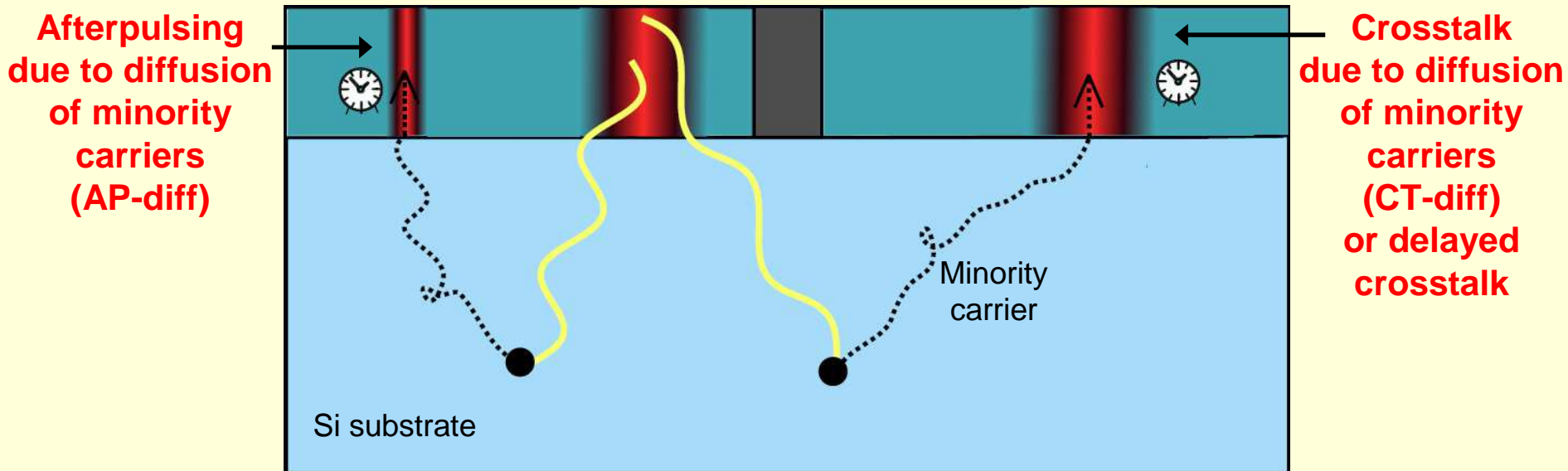
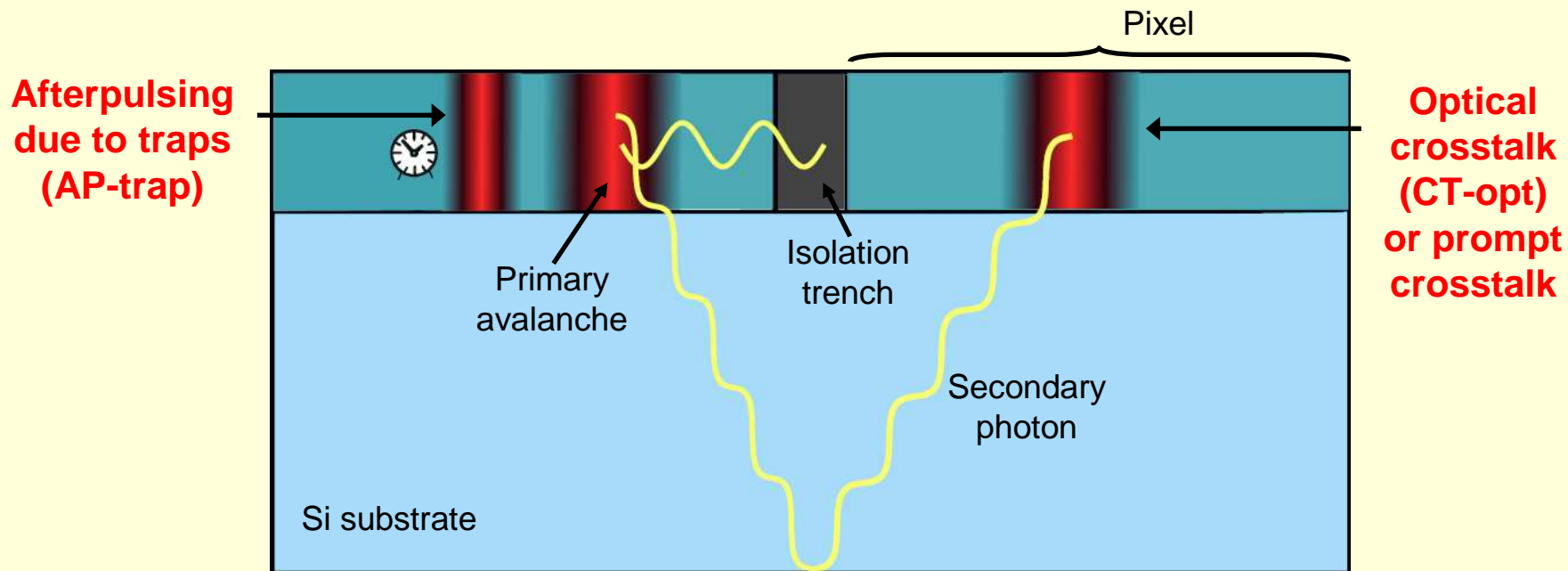


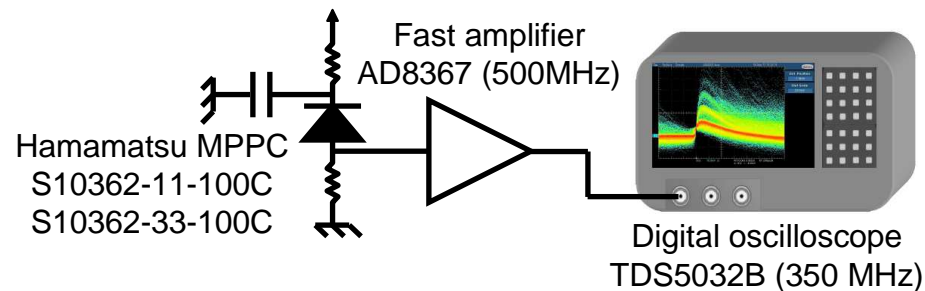
# Modeling crosstalk and afterpulsing in silicon photomultipliers

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Departamento de Física Atómica, Molecular y Nuclear  
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# Definition of terms

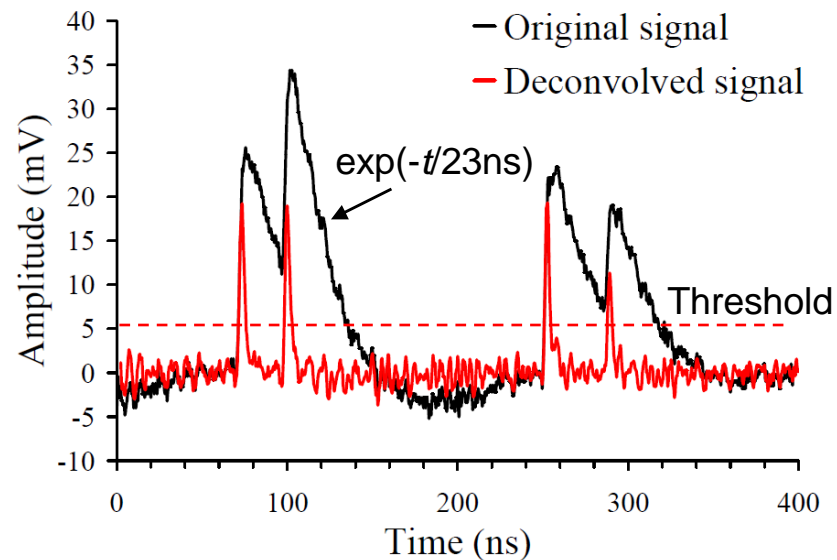


# Experimental method

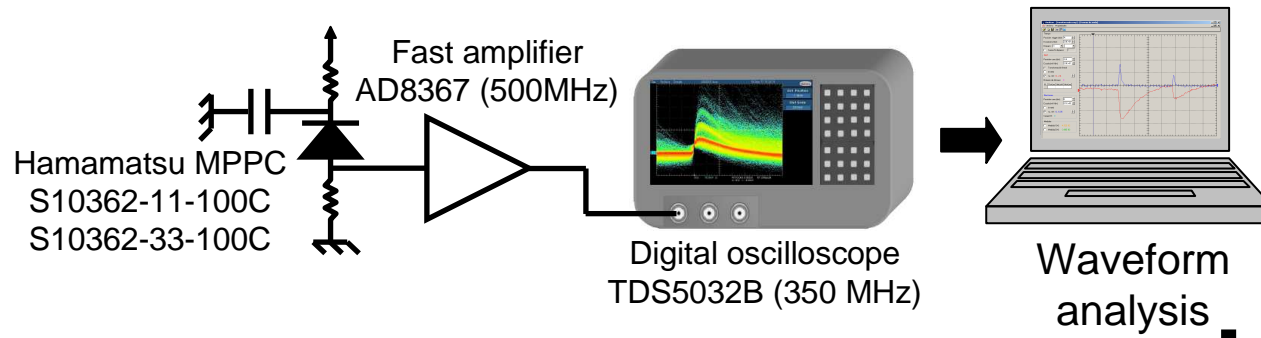


- Dark conditions
- Room temperature
- Nothing attached to the SiPM

- Pulse identification from **deconvolution**
- Arrival time with  $\sim 1$  ns precision
- Close pulses distinguished with a resolution of  $\sim 6$  ns
- Peak of deconvolution proportional to avalanche amplitude
- More precise measurement of amplitude as **pulse height with baseline subtraction**

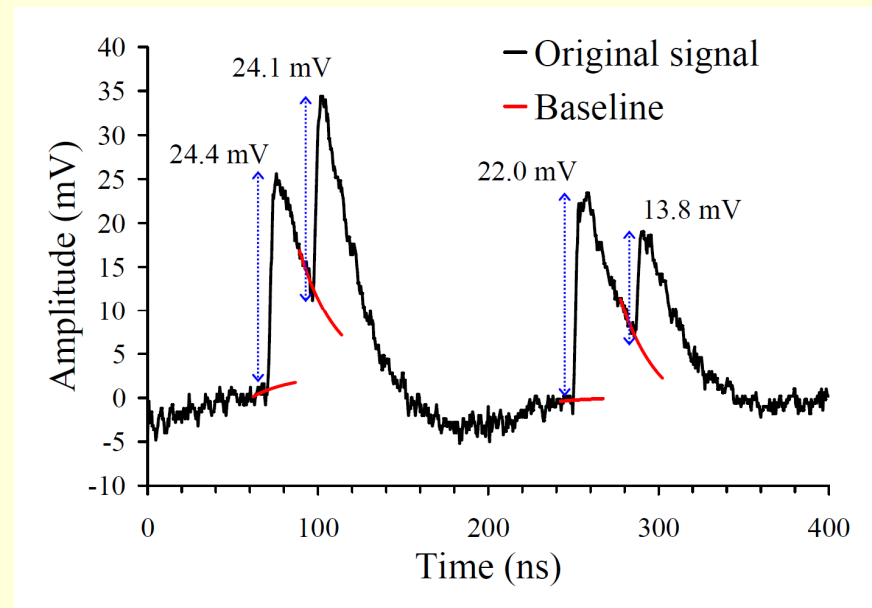


# Experimental method



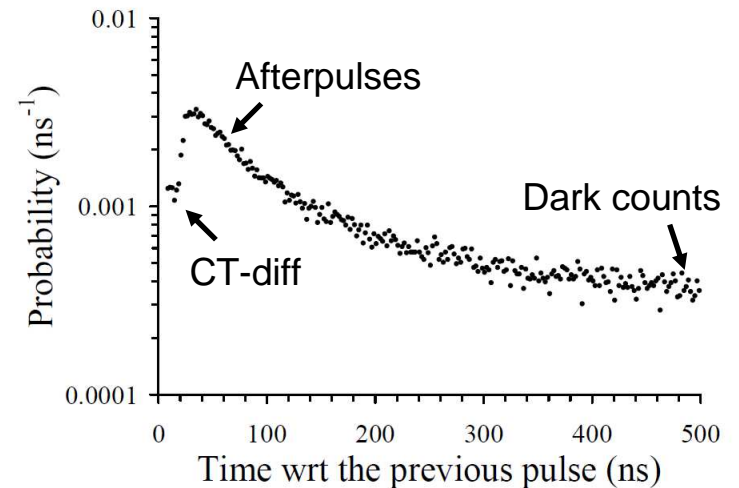
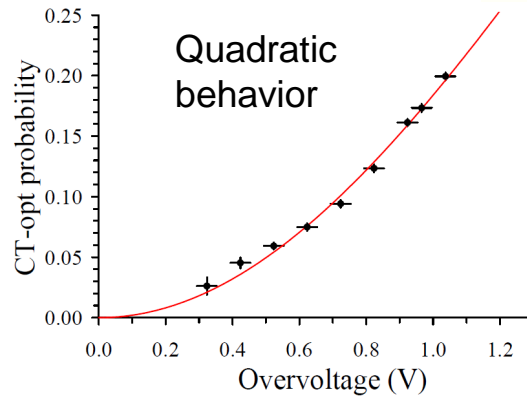
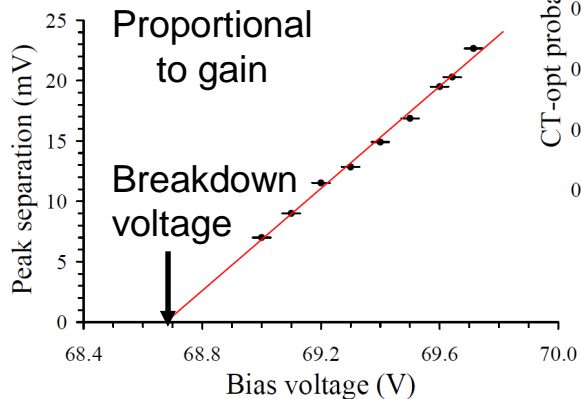
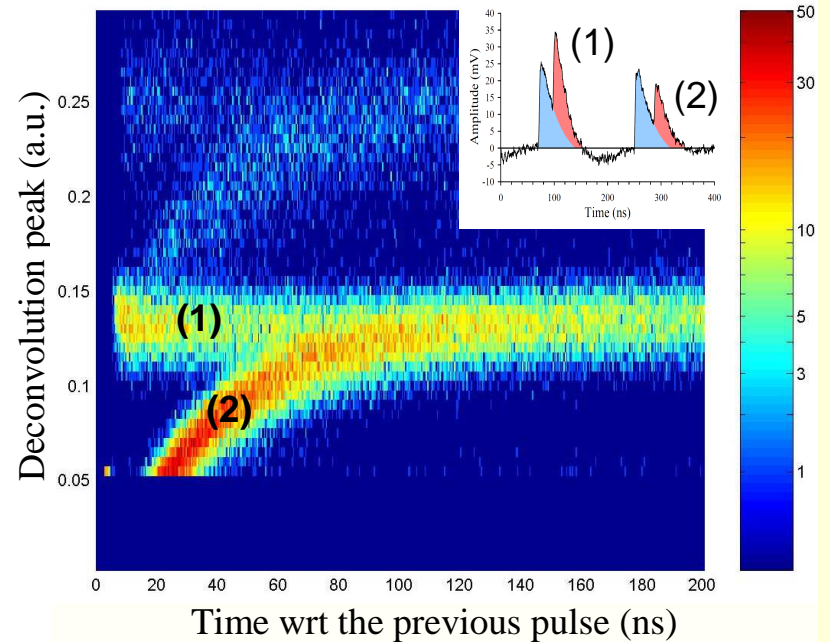
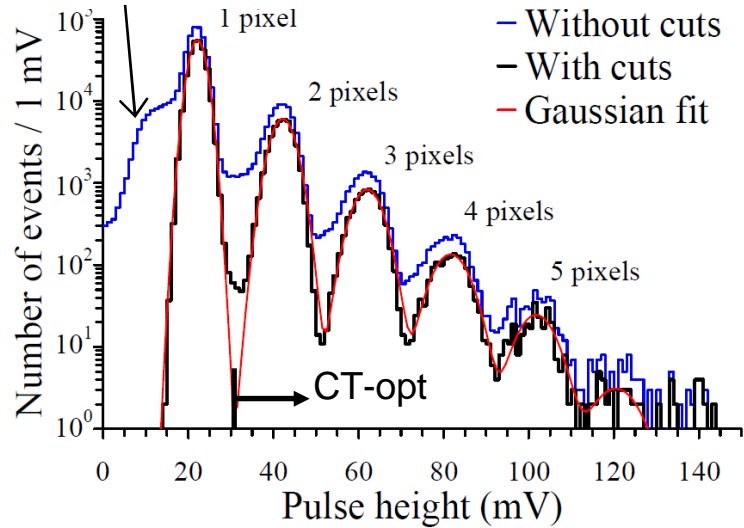
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- Arrival time with  $\sim 1$  ns precision
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- Peak of deconvolution proportional to avalanche amplitude
- More precise measurement of amplitude as **pulse height with baseline subtraction**



# Experimental results

## Afterpulses

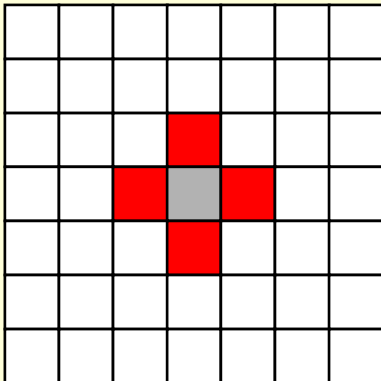


# Modeling optical crosstalk: hypotheses

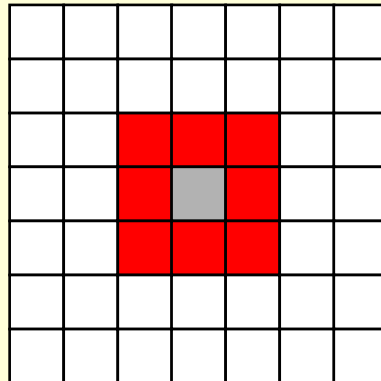
Probability distribution  $P_1(k)$  (1 primary pixel +  $k-1$  CT-opt)

- CT-opt only possible in a **neighborhood of pixels** around the primary one
- **Same probability** to excite any individual neighbor
- **Cascades** of CT excitations limited by **local saturation effects** (border effects ignored)

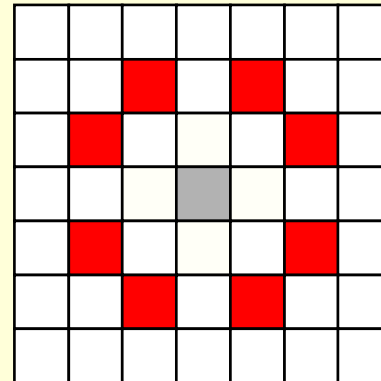
4 nearest neighbors



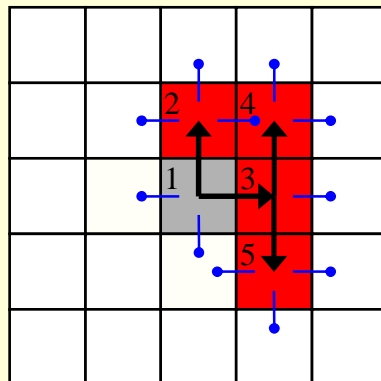
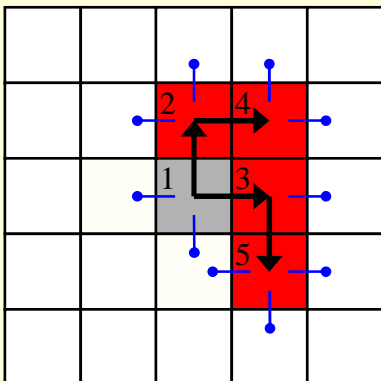
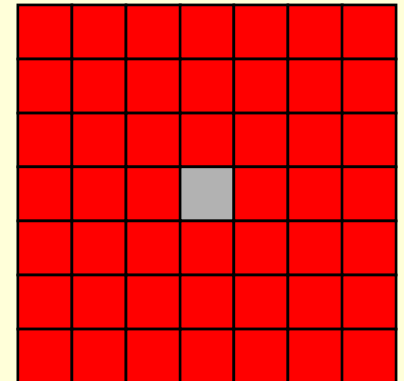
8 nearest neighbors



8 L-connected neighbors



All neighbors



Example for the 4 nearest neighbors:  
Two different CT-opt “histories”  
contributing to  $P_1(5)$

# Modeling optical crosstalk: formulations

L. Gallego et al.  
JINST 8 (2013) P05010

## Analytical expressions for $P_1(k)$ and related parameters

| $k$ | 4 nearest neighbors             | 8 nearest neighbors   | 8 L-connected neighbors              | All neighbors  |
|-----|---------------------------------|---|--------------------------------------|--|
| 1   | $q^4 (= 1 - \varepsilon)$       | $q^8 (= 1 - \varepsilon)$   | $q^8 (= 1 - \varepsilon)$            | $q^{N-1} (= 1 - \varepsilon)$  |
| 2   | $4pq^6$                         | $8pq^{14}$  | $8pq^{14}$                           | $\binom{N-1}{1} p q^{2(N-2)}$  |
| 3   | $18p^2 q^8$                     | $12p^2 q^{18} [1 + 2q + 4q^2]$  | $84p^2 q^{20}$                       | $\binom{N-1}{2} p^2 q^{3(N-3)} [1 + 2q]$   |
| 4   | $4p^3 q^8 [1 + 3q + 18q^2]$     | $4p^3 q^{20} [1 + 3q + 14q^2 + 30q^3 + 61q^4 + 59q^5 + 72q^6]$                        | $24p^3 q^{24} [1 + 3q + 38q^2]$      | $\binom{N-1}{3} p^3 q^{4(N-4)} [1 + 3q + 6q^2 + 6q^3]$                           |
| 5   | $5p^4 q^{10} [8 + 24q + 55q^2]$ | $5p^4 q^{24} [9 + 36q + 98q^2 + 188q^3 + 310q^4 + 372q^5 + 520q^6 + 396q^7 + 341q^8]$ | $4p^4 q^{30} [180 + 540q + 2521q^2]$ | $\binom{N-1}{4} p^4 q^{5(N-5)} [1 + 4q + 10q^2 + 20q^3 + 30q^4 + 36q^5 + 24q^6]$ |

$p$ : prob. for 1 neighbor

$q = 1 - p$

$\varepsilon = P_1(k > 1)$

$N$ : number pixels of the array

Geometric extrapolation for  $k > 5$

$$P_1(k) \approx P_1(5) \cdot (1 - r)^{k-5}$$

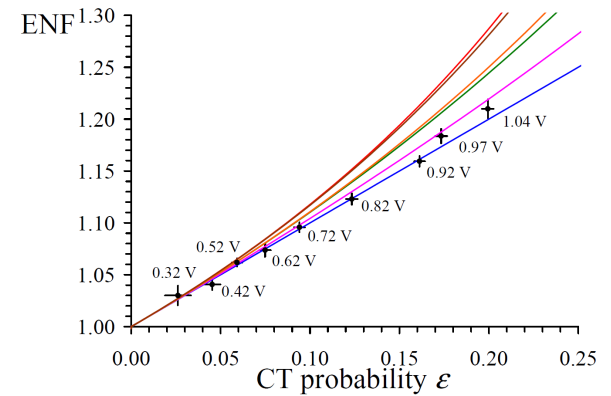
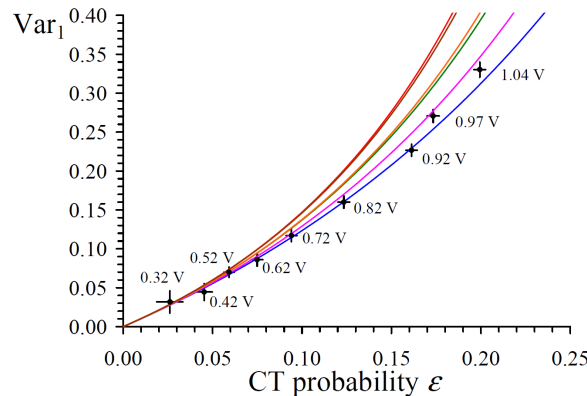
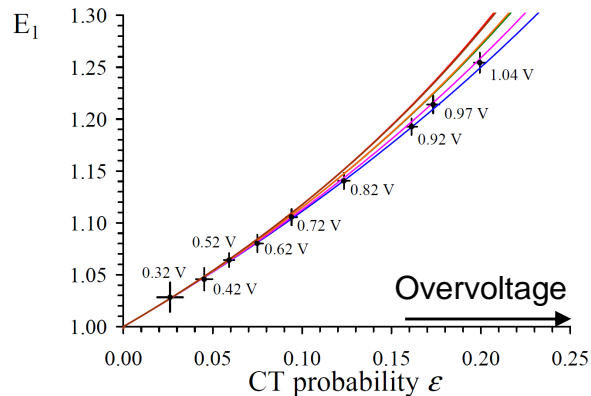
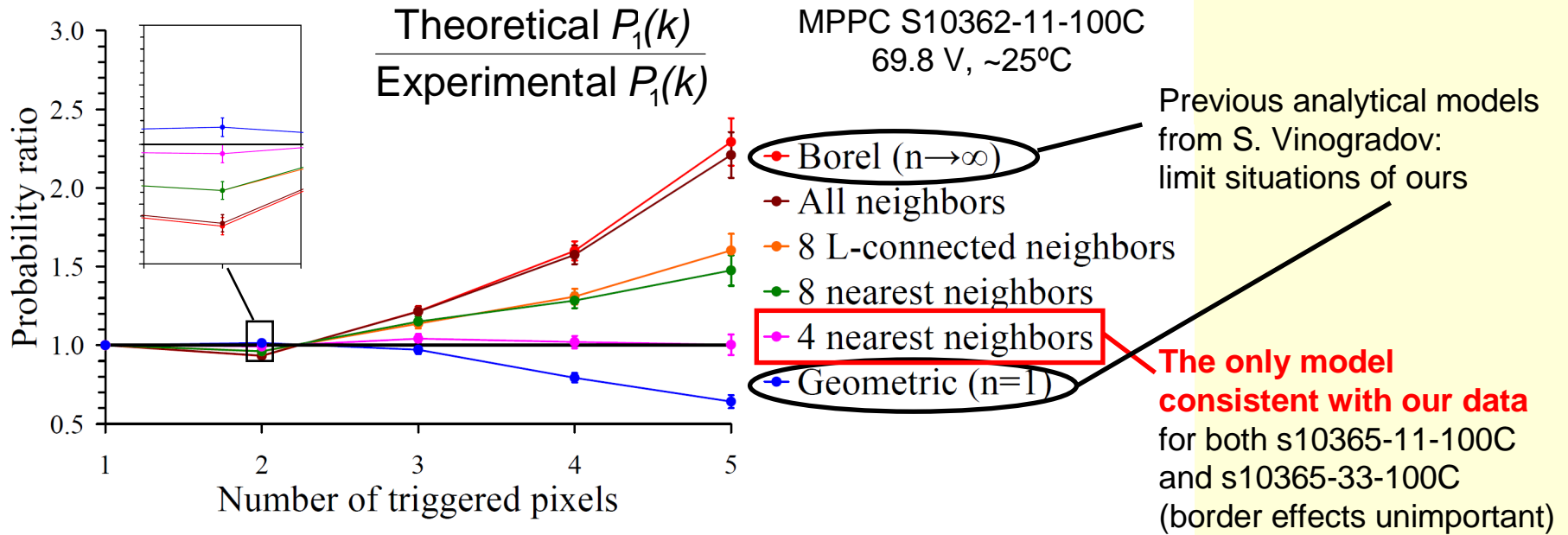
$$r = \frac{P_1(5)}{1 - \sum_{k=1}^4 P_1(k)}$$

$$E_1 \approx \sum_{k=1}^4 k \cdot P_1(k) + P_1(5) \frac{1+4r}{r^2}$$

$$\text{Var}_1 \approx \sum_{k=1}^4 k^2 \cdot P_1(k) + P_1(5) \frac{2+7r+16r^2}{r^3} - E_1^2$$

$$\text{ENF} = \frac{\sum_{k=1}^4 k^2 \cdot P_1(k) + P_1(5) \frac{2+7r+16r^2}{r^3}}{\left[ \sum_{k=1}^4 k \cdot P_1(k) + P_1(5) \frac{1+4r}{r^2} \right]^2}$$

# Validation with data at dark conditions



$$\epsilon = P_1(k > 1) = 1 - P_1(1)$$



# Application to photon counting

Under pulsed illumination, i.e., **simultaneous incoming photons**

$$P_{\text{ph}}(0) = P_{\text{obs}}(0), \quad P_{\text{ph}}(1) = \frac{P_{\text{obs}}(1)}{1 - \varepsilon}$$

$$P_{\text{ph}}(k) = \frac{1}{(1 - \varepsilon)^k} \left[ P_{\text{obs}}(k) - \sum_{n=1}^{k-1} P_{\text{ph}}(n) \cdot P_n(k) \right], \quad k = 2, 3, 4, \dots \quad \text{Recursive}$$

$P_{\text{ph}}(k)$  : prob. distribution of real detected photons  
 $P_{\text{obs}}(k)$  : observed prob. distribution (with CT-opt)  
 $\varepsilon = P_1(k > 1)$  : overall CT-opt probability

$$P_{n+1}(k) = \sum_{i=1}^{k-n} P_n(k-i) \cdot P_1(i), \quad n = 1, 2, 3, \dots$$

Recursive

**$P_1(k)$  calculated for dark conditions**

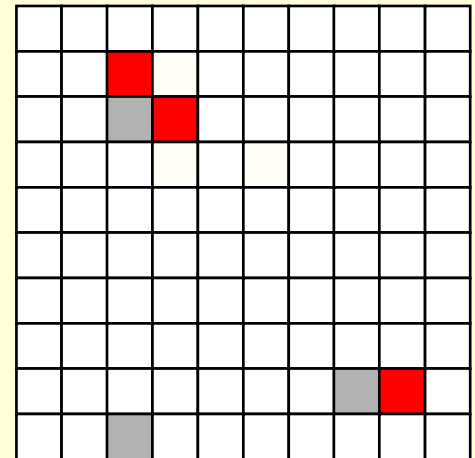
Mean and variance of real number of photons:

$$E_{\text{obs}} = E_{\text{ph}} \cdot E_1$$

**$E_1$  and  $\text{Var}_1$  are known**

$$\text{Var}_{\text{obs}} = E_{\text{ph}} \cdot \text{Var}_1 + \text{Var}_{\text{ph}} \cdot E_1^2$$

Approximation valid for  $k \ll$  total number of pixels, i.e., **linear range**



# Modeling AP and CT-diff: method

Distribution of arrival time wrt the previous pulse (primary). We select primaries with amplitudes of 1 pixel and far from former pulses ( $> 500$  ns)

- Poisson statistics for each source of secondary pulses
- **2 components of AP-trap** with different mean release time
- **Timing** of carrier diffusion and the relative contributions of **AP-diff and CT-diff obtained by Monte Carlo**
- **Pixel recovery and detection threshold effects** included for AP

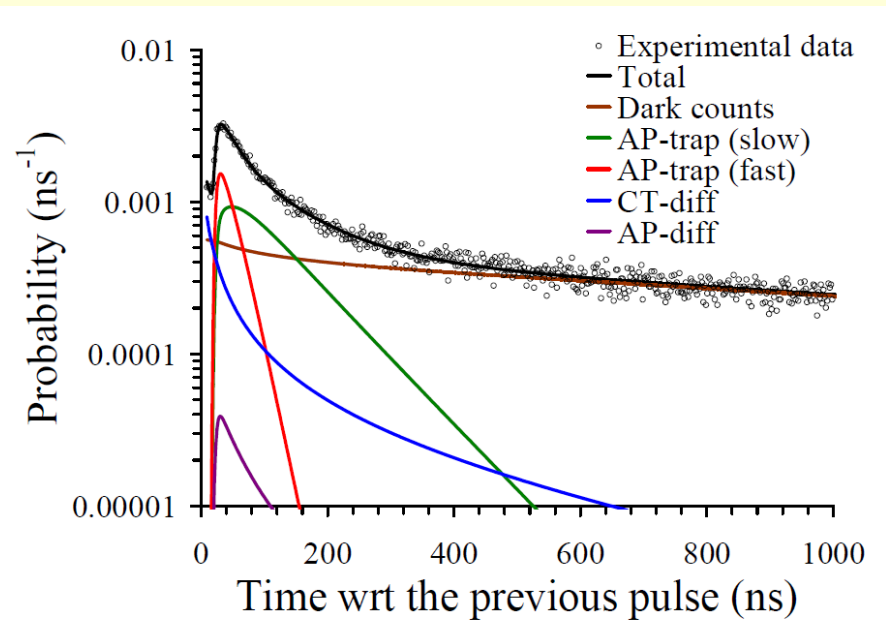
$$P(t)dt = \exp\left\{-R_{\text{DC}}(t - t_{\text{min}}) - \sum_i \lambda_i \int_{t_{\text{min}}}^t f_i(s)ds\right\} \\ \times \left[ R_{\text{DC}} + \sum_i \lambda_i f_i(t) \right] dt$$

$t_{\text{min}} = 10$  ns : minimum  $t$  (analysis limitations)

$R_{\text{DC}}$  : dark count rate

$\lambda_i$  : average number of secondary pulses  
of type  $i$  per primary avalanche

$f_i(t)$  : normalized time distribution of  
secondary pulses of type  $i$



# Effective time distribution of afterpulses

For each AP-trap component

$$f(t) \propto \exp\left(-\frac{t}{\tau}\right) \mu(t) k(t) \quad \tau: \text{mean release time of trapped carriers}$$

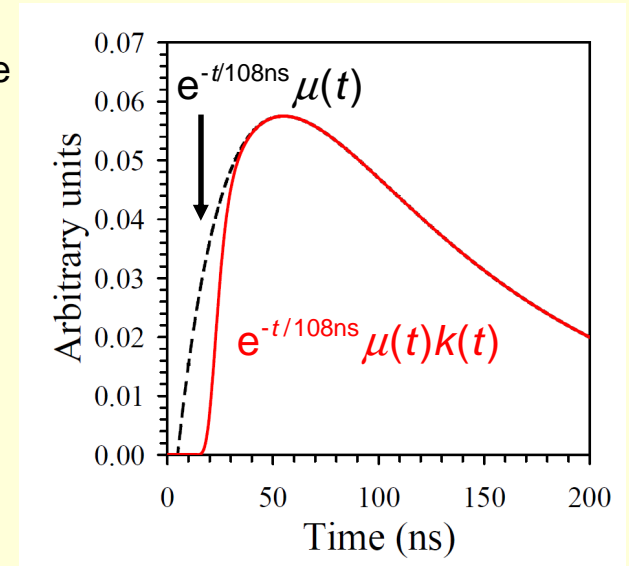
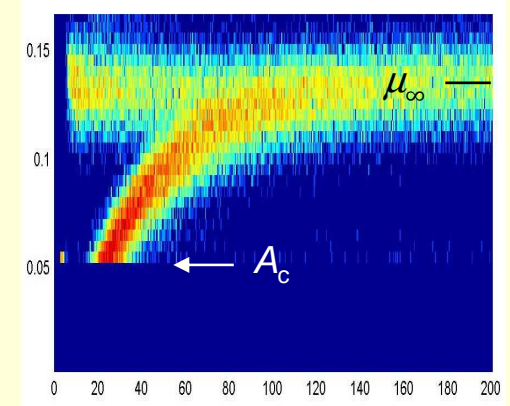
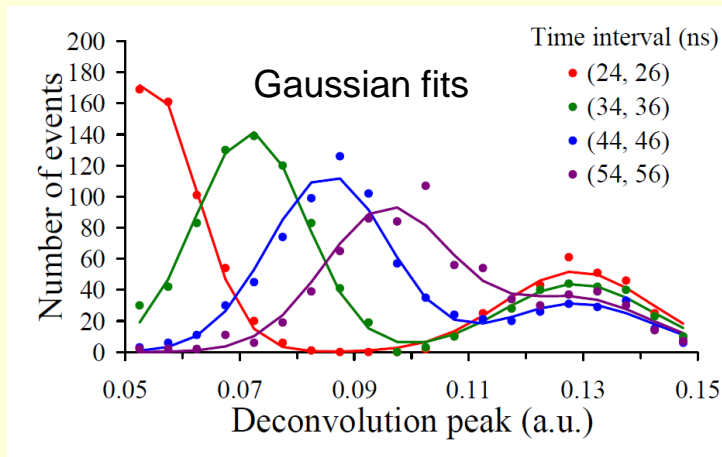
$$\mu(t) = \mu_{\infty} \theta(t - t_0) \left[ 1 - \exp\left(-\frac{t - t_0}{t_{\text{rec}}}\right) \right]$$

Average AP amplitude  
 $\propto$  pixel recovery  $V(t)$   
 $\propto$  avalanche probability

$$k(t) = \frac{1}{\sqrt{2\pi C \mu(t)}} \int_{A_c}^{\infty} \exp\left[-\frac{(A - \mu(t))^2}{2C \mu(t)}\right] dA$$

Fraction of APs above threshold  $A_c$

AP amplitudes at given  $t$  are assumed to follow a Gaussian distribution with mean  $\mu(t)$  and variance  $\sigma^2(t) = C \cdot \mu(t)$

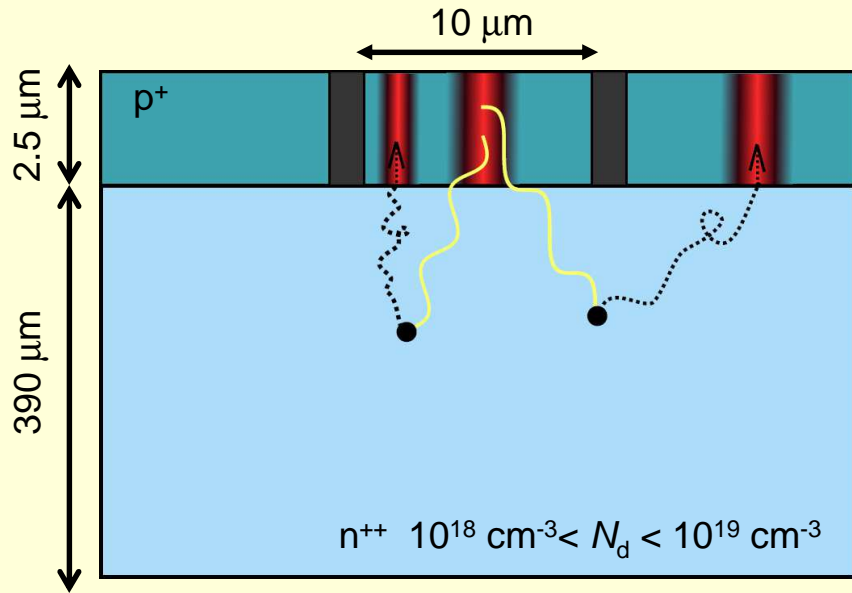


Fitting parameters:

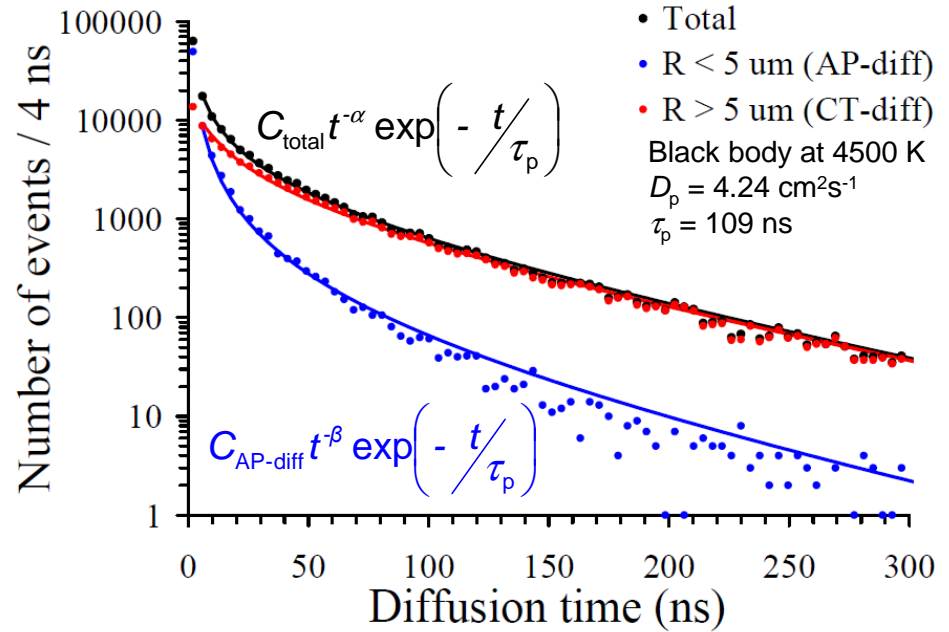
$$\mu_{\infty}, t_0, t_{\text{rec}}, C$$

$$t_0 \approx 5 \text{ ns}, \quad t_{\text{rec}} \approx 37 \text{ ns}$$

# Monte Carlo of carrier diffusion



Necessary parameters taken from the literature with reasonable range of variation



$\tau_p$  : lifetime of minority carriers (p) in Si  $n^{++}$  (before being recombined)

Time distributions:

$$f_{\text{AP-diff}}(t) \propto C_{\text{AP-diff}} t^{-\beta} \exp\left(-\frac{t}{\tau_p}\right) \overbrace{\mu(t)k(t)}^{\text{same as AP-trap}}$$

$$f_{\text{CT-diff}}(t) \propto \left[ C_{\text{total}} t^{-\alpha} - C_{\text{AP-diff}} t^{-\beta} \right] \exp\left(-\frac{t}{\tau_p}\right) \mu_{\infty}$$

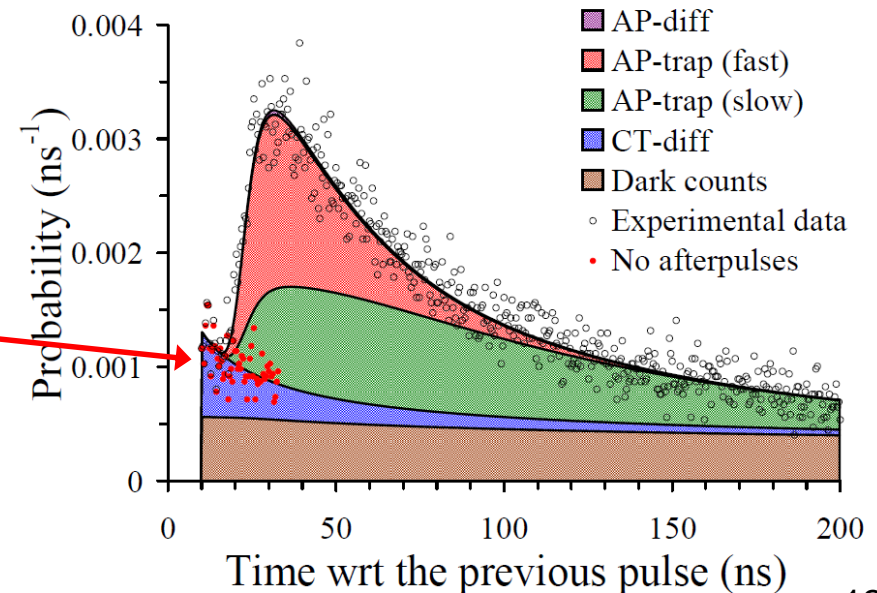
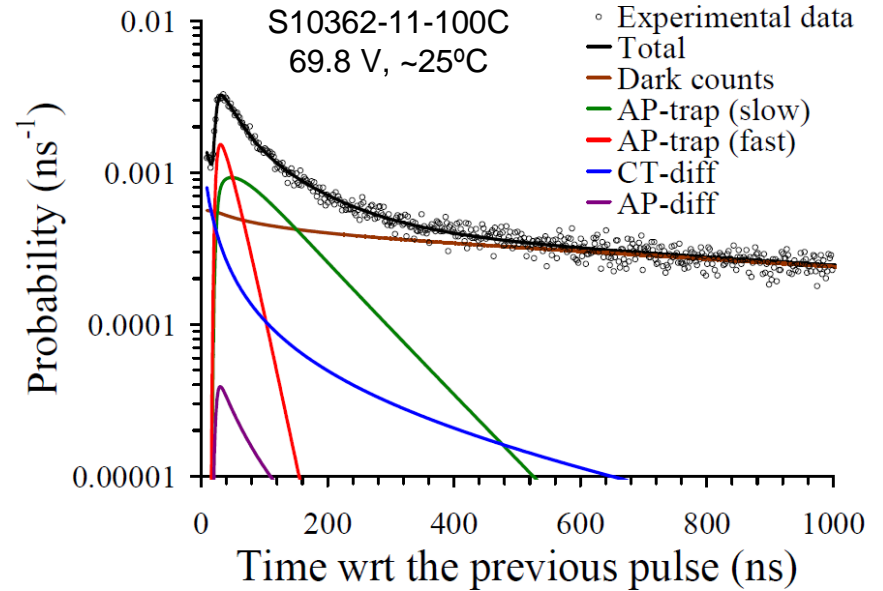
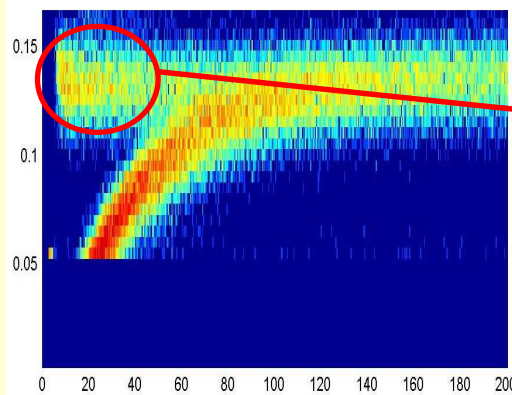
Relative contributions:

$$\left. \begin{array}{l} C_{\text{AP-diff}} \sim C_{\text{total}} \\ \mu(t)k(t) \ll \mu_{\infty} \quad (t < 20 \text{ ns}) \end{array} \right\} \lambda_{\text{AP-diff}} \ll \lambda_{\text{CT-diff}}$$

# Results at given voltage

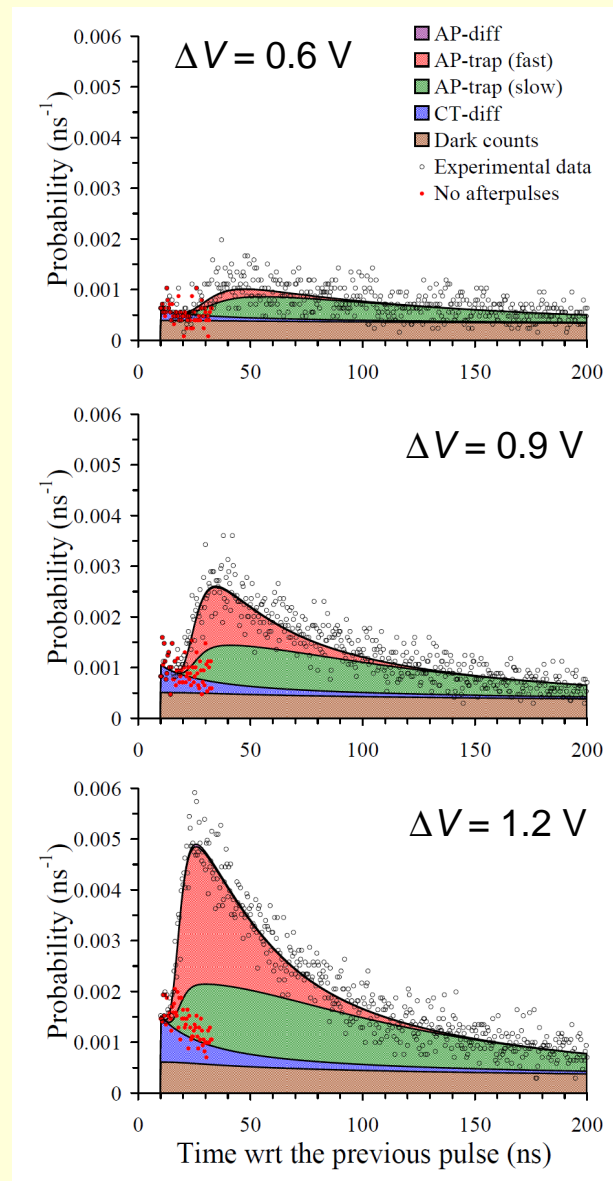
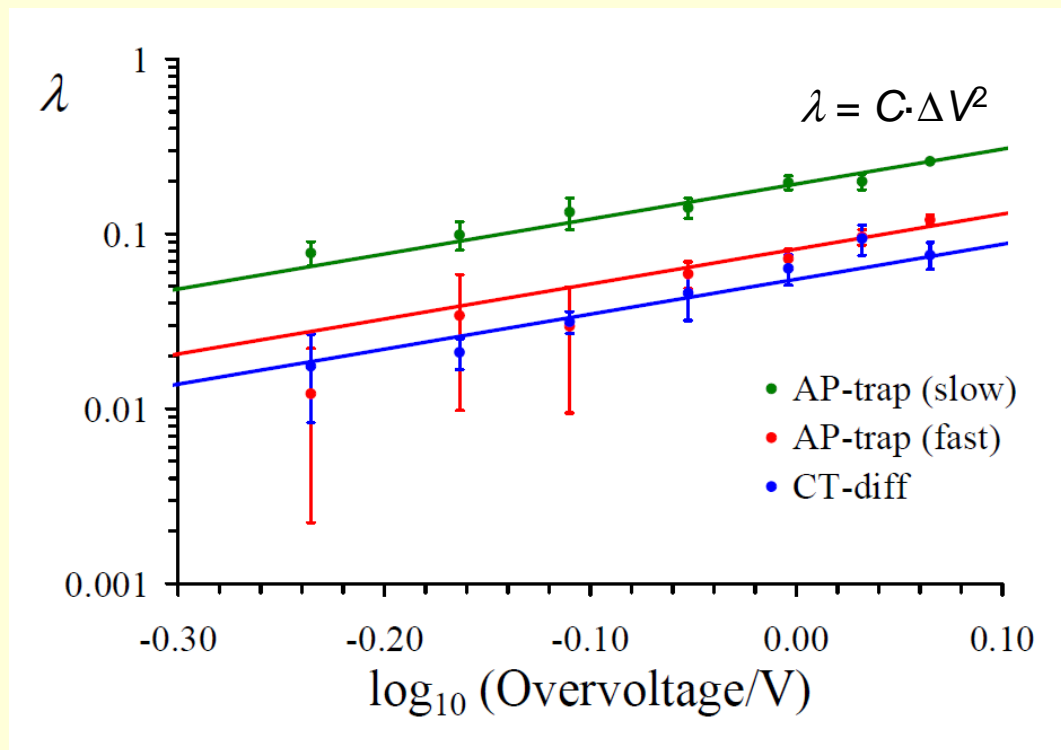
- **2 AP-trap components** actually needed. The slow one is dominant
  - $\tau_{\text{slow}} = 108 \pm 30 \text{ ns}$  ;  $\lambda_{\text{slow}} = 0.170 \pm 0.022$
  - $\tau_{\text{fast}} = 23 \pm 5 \text{ ns}$  ;  $\lambda_{\text{fast}} = 0.065 \pm 0.020$
- **CT-diff also important** at short time
  - $\lambda_{\text{CT-diff}} = 0.062 \pm 0.028$
  - $\lambda_{\text{AP-diff}} < 0.012$
- Best fit for  $\tau_p \sim 1 \mu\text{s}$  ( $N_d \sim 10^{18} \text{ cm}^{-3}$ ), but **not too much sensitive to the parameters implemented in the simulation**

At short time dark counts and CT-diff can be separated from AP



# Dependence on overvoltage

- **Consistency in the time distributions**  $f_i(t)$  as a function of overvoltage  $\Delta V$ . In particular, constant mean release time for both AP-trap components (i.e.,  $\tau_{\text{slow}}$  and  $\tau_{\text{fast}}$ ).
- **Number of secondary pulses** of each type  $\lambda_i$  ( $\sim$  probability) **grows quadratically** as expected



# Conclusions I

- We have developed an experimental method based on a waveform analysis to characterize CT and AP in SiPMs

## Optical crosstalk:

- We constructed a statistical, analytical model taking into account:
  - Pixels have a finite number of neighbors
  - Cascades of CT excitations
  - Saturation effects due to pixel dead time
- Experimental data (S10362-11-100C and S10362-33-100C from Hamamatsu) are consistent with the hypothesis that CT-opt only takes place between adjacent pixels
- Correction for CT-opt effects on photon counting measurements at pulsed illumination of low intensity

# Conclusions II

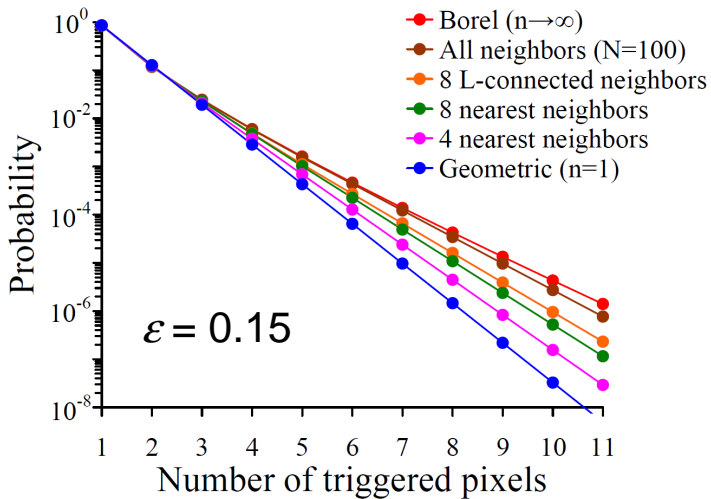
## Afterpulsing and delayed crosstalk

- We constructed a statistical model based on:
  - Poisson statistics for number of secondary pulses
  - 2 types of traps with different mean release time
  - Timing of carrier diffusion and relative probabilities of AP-diff and CT-diff determined by Monte Carlo
  - Pixel recovery time and threshold effects included
- Slow component of AP-trap is dominant but the fast one and CT-diff are significant too
- AP and CT-diff probabilities grow quadratically with overvoltage
- We have not applied the model to any experimental case yet, but it is suitable to be implemented in a Monte Carlo simulation of an experiment to account for these effects



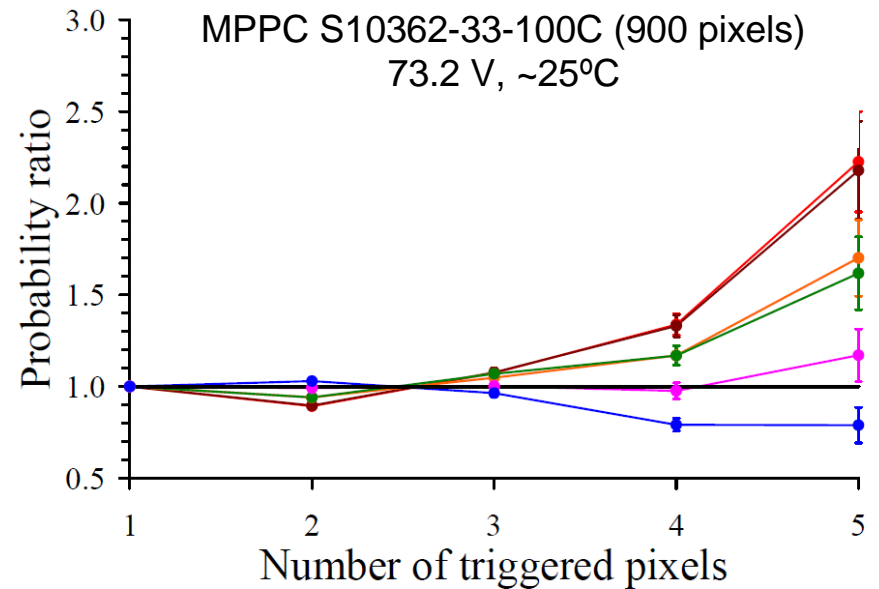
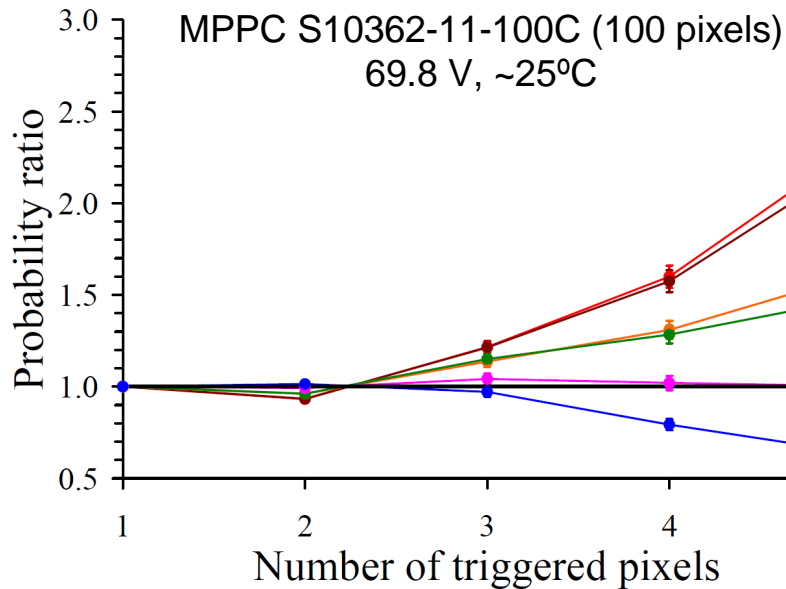
THANK YOU

# Backup



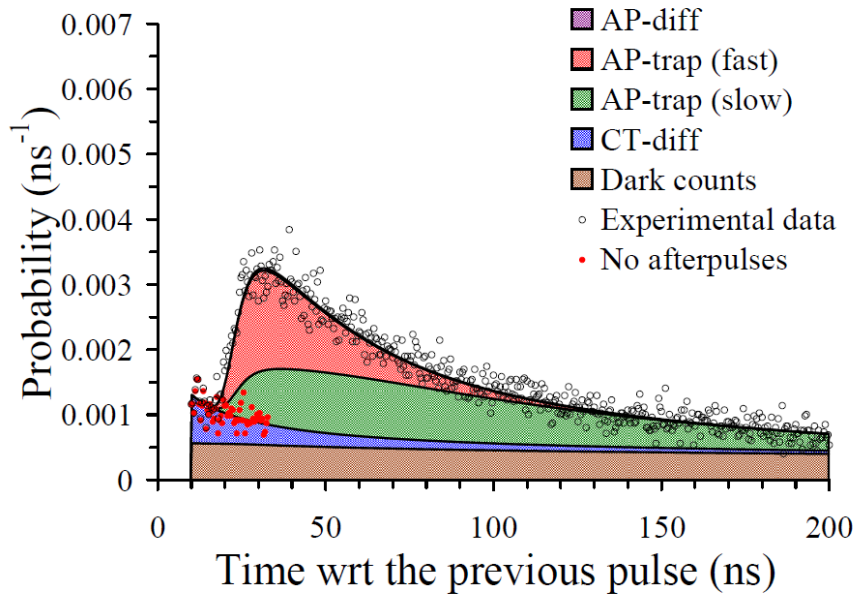
## Theoretical probability distributions

Results independent of the size of the array  
→ border effects are unimportant

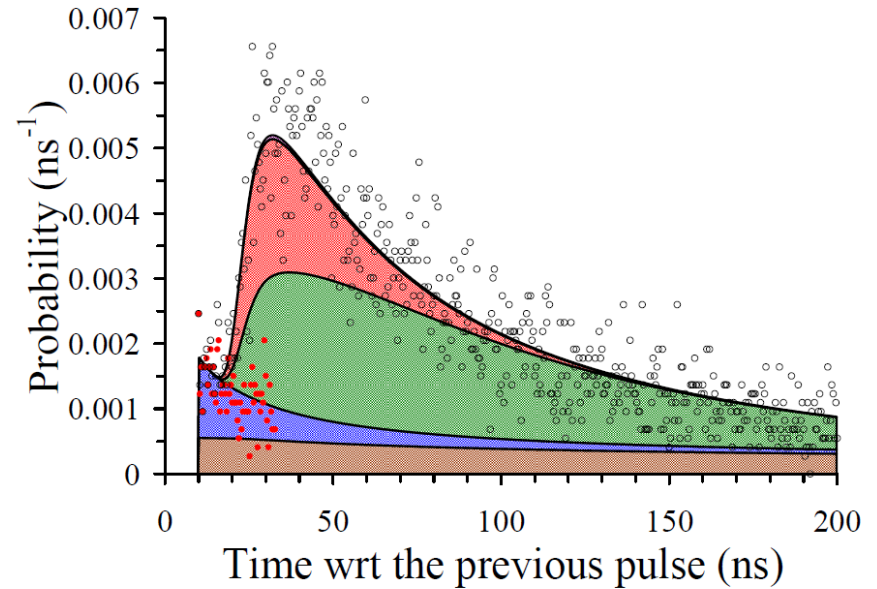


# Backup

Selecting primary pulses with amplitudes of 1 pixel



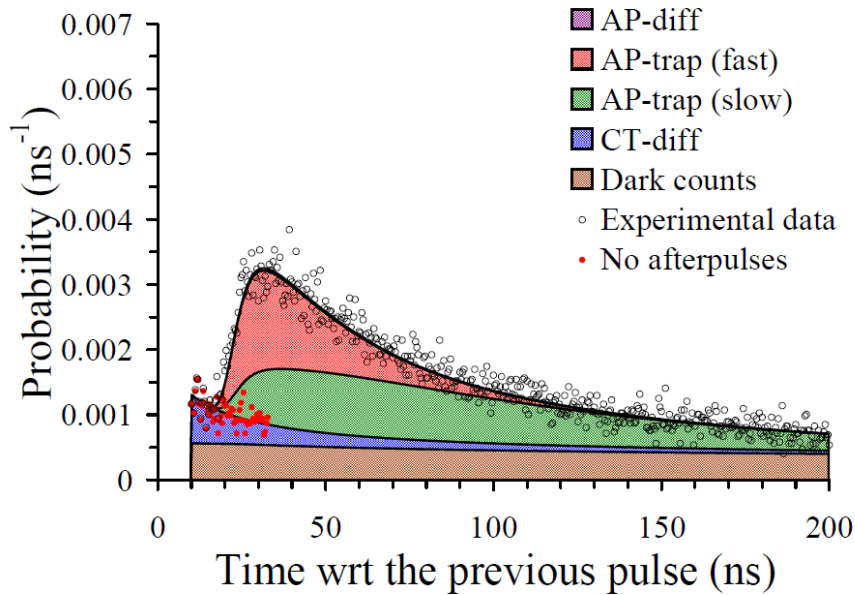
Selecting primary pulses with amplitudes of **more than 1 pixel**



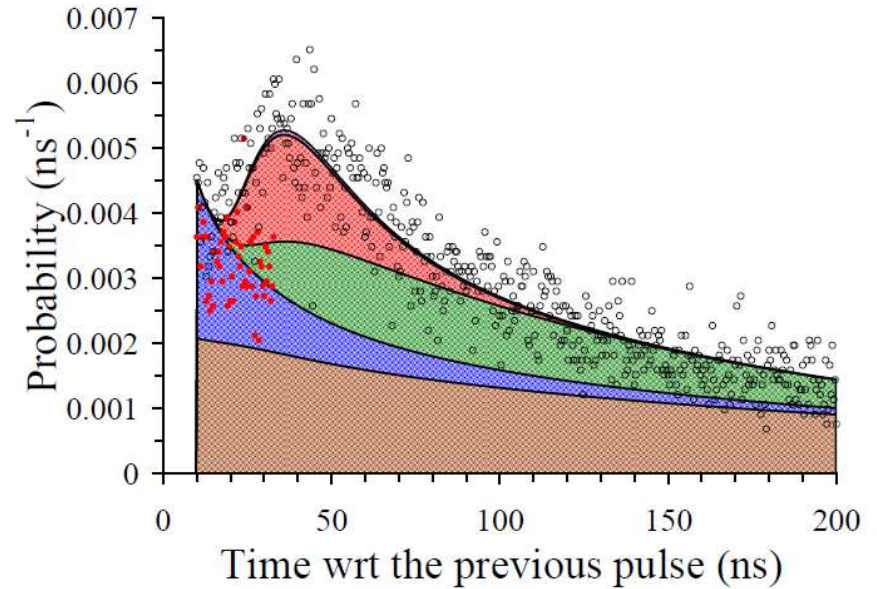
Probabilities of AP and CT-diff increase by a factor  $\sim 2$  as expected

# Backup

MPPC S10362-11-100C (100 pixels)  
69.8 V, ~25°C



MPPC S10362-33-100C (900 pixels)  
73.2 V, ~25°C



Consistency in the time distributions  
More dark counts  
Higher CT-diff probability